

# Quantum Field Theory - Lecture 3

Our aim now is to find real ( $\phi^* = \phi$ ) solutions to the Klein-Gordon equation,

$$(\partial^2 + m^2)\phi = 0.$$

## Fourier-transform method

We have the operator  $\partial^2 + m^2$  that annihilates  $\phi(x)$ . A standard way to find the solutions in such situations is to use Fourier space. Define

$$\text{real space field} \rightarrow \phi(t, \vec{x}) = \int \frac{d^3k}{(2\pi)^3} \phi(t, \vec{k}) e^{i\vec{k}\cdot\vec{x}}$$

$$\text{momentum space field} \rightarrow \phi(t, \vec{k}) = \int d^3x \phi(t, \vec{x}) e^{-i\vec{k}\cdot\vec{x}}$$

Now go to the Klein-Gordon equation and compute:

$$\int \frac{d^3k}{(2\pi)^3} (\partial_t^2 - \vec{\nabla}^2 + m^2) \phi(t, \vec{k}) e^{i\vec{k}\cdot\vec{x}} = 0 \Rightarrow$$

$$\Rightarrow \int \frac{d^3k}{(2\pi)^3} [\ddot{\phi}(t, \vec{k}) - (i\vec{k}) \cdot (i\vec{k}) \phi(t, \vec{k}) + m^2 \phi(t, \vec{k})] e^{i\vec{k}\cdot\vec{x}} = 0$$

$$\Rightarrow \int \frac{d^3k}{(2\pi)^3} [\ddot{\phi}(t, \vec{k}) + \underbrace{(\vec{k}^2 + m^2)}_{\omega_{\vec{k}}^2} \phi(t, \vec{k})] e^{i\vec{k}\cdot\vec{x}} = 0$$

We can satisfy this equation if

$$\ddot{\phi}(t, \vec{k}) + \omega_{\vec{k}}^2 \phi(t, \vec{k}) = 0$$

i.e. if  $\phi(t, \vec{k})$  solves the differential equation for simple harmonic motion with frequency  $\omega_{\vec{k}}$ . Such solutions take the form

$$\phi(t, \vec{k}) = \phi(0, \vec{k}) e^{\pm i\omega_{\vec{k}} t}$$

The naive solution is

$$\begin{aligned} \phi_{\text{naive}}(t, \vec{x}) &= \int \frac{d^3k}{(2\pi)^3} \phi(0, \vec{k}) e^{\pm i\omega_{\vec{k}} t} e^{i\vec{k} \cdot \vec{x}} \\ &\rightarrow \int \frac{d^3k}{(2\pi)^3} \phi(0, \vec{k}) e^{-i\vec{k} \cdot \vec{x}} \end{aligned}$$

$\omega_{\vec{k}} = \sqrt{\vec{k}^2 + m^2}$

where we chose the negative sign in  $e^{\pm i\omega_{\vec{k}} t}$  and wrote  $e^{-i\vec{k} \cdot \vec{x}} = e^{-i\omega_{\vec{k}} t + i\vec{k} \cdot \vec{x}}$ . However now our naive solution is not real, but that is easy to fix since, for any complex number  $z$ ,  $z + z^*$  is real:

$$\phi(t, \vec{x}) = \int \frac{d^3k}{(2\pi)^3} \left[ \phi(0, \vec{k}) e^{-i\vec{k} \cdot \vec{x}} + \phi^*(0, \vec{k}) e^{i\vec{k} \cdot \vec{x}} \right]$$

In order to guarantee Lorentz invariance of the integration measure we normalise as follows:

$$\phi(t, \vec{x}) = \int \frac{d^3k}{(2\pi)^3} \frac{1}{2\omega_{\vec{k}}} \left( a_{\vec{k}} e^{-i\vec{k} \cdot \vec{x}} + a_{\vec{k}}^* e^{i\vec{k} \cdot \vec{x}} \right)$$

$\omega_{\vec{k}} = \sqrt{\vec{k}^2 + m^2}$

This is our solution to the Klein-Gordon equation and now we want to quantise it.

## Quantisation of single SHO

In classical mechanics

$$H = \frac{p^2}{2m} + \frac{1}{2} m\omega^2 x^2, \quad k = m\omega^2$$

$\omega = \sqrt{k/m}$

In the canonical approach to quantisation we proceed as follows:

- (i) promote  $p, x, H$  to operators  $\hat{p}, \hat{x}, \hat{H}$
- (ii) impose commutation relations ( $\hbar = 1$ )

$$[\hat{x}, \hat{x}] = [\hat{p}, \hat{p}] = 0, \quad [\hat{x}, \hat{p}] = i$$

(iii) in the Schrödinger picture the state

$$|\psi(t)\rangle \text{ evolves according to}$$

$$\hat{H} |\psi(t)\rangle = i \frac{\partial}{\partial t} |\psi(t)\rangle.$$

There is a different approach that is more useful as it generalises to QFT, namely the ladder operator approach. Here we write the Hamiltonian in the form

$$\hat{H} = \omega \left( \hat{a}^\dagger \hat{a} + \frac{1}{2} \right)$$

where

$$\hat{a} = \frac{1}{\sqrt{2}} \left( \sqrt{m\omega} \hat{x} + \frac{i}{\sqrt{m\omega}} \hat{p} \right)$$

$$\hat{a}^\dagger = \frac{1}{\sqrt{2}} \left( \sqrt{m\omega} \hat{x} - \frac{i}{\sqrt{m\omega}} \hat{p} \right).$$

Since  $[\hat{x}, \hat{x}] = [\hat{p}, \hat{p}] = 0$  and  $[\hat{x}, \hat{p}] = i$  we can show that

$$[\hat{a}, \hat{a}^\dagger] = 1$$

$$[\hat{H}, \hat{a}] = -\omega \hat{a}$$

$$[\hat{H}, \hat{a}^\dagger] = \omega \hat{a}^\dagger$$

Now if we have an eigenstate  $|n\rangle$  of the Hamiltonian, then

$$\hat{H} (\hat{a}^\dagger |n\rangle) = [\hat{H}, \hat{a}^\dagger] |n\rangle + \hat{a}^\dagger \hat{H} |n\rangle$$

$$= \omega \hat{a}^\dagger |n\rangle + E_n \hat{a}^\dagger |n\rangle$$

$$= (\omega + E_n) \hat{a}^\dagger |n\rangle$$

and thus we may denote

$$\hat{a}^\dagger |n\rangle \propto |n+1\rangle,$$

where  $|n+1\rangle$  is an eigenstate of  $\hat{H}$  with energy  $E_{n+1} = E_n + \omega$ . Similarly,

$$\hat{H}(\hat{a}|n\rangle) = (E_n - \omega)\hat{a}|n\rangle$$

and

$$\hat{a}|n\rangle \propto |n-1\rangle, \quad E_{n-1} = E_n - \omega.$$

For any energy eigenstate  $|n\rangle$ ,  $\hat{a}^\dagger$  raises its energy by one unit of  $\omega$  and  $\hat{a}$  lowers it by one unit of  $\omega$ . The ground state is the state  $|0\rangle$  such that

$$\hat{a}|0\rangle = 0 \quad \leftarrow \text{we do not want negative energies}$$

and then

$$\hat{H}|0\rangle = \omega(\hat{a}^\dagger\hat{a} + \frac{1}{2})|0\rangle = \frac{1}{2}\omega|0\rangle.$$

⋮

$$\begin{array}{l} \hat{a} \downarrow \hat{a}^\dagger \uparrow \text{---} |2\rangle \quad E_2 = 5\omega/2 \\ \hat{a} \downarrow \hat{a}^\dagger \uparrow \text{---} |1\rangle \quad E_1 = 3\omega/2 \\ \hat{a} \downarrow \hat{a}^\dagger \uparrow \text{---} |0\rangle \quad E_0 = \omega/2 \end{array}$$

It turns out that all states can be built from  $|0\rangle$ :

$$|n\rangle = \frac{(\hat{a}^\dagger)^n}{\sqrt{n!}}|0\rangle, \quad \langle n|m\rangle = \delta_{nm} \text{ for any } m, n \geq 0.$$

## Generalisation to multiple decoupled SHO's

Suppose we have  $N$  SHO's:

$$H = \sum_{i=1}^N \hat{H}_i, \quad \hat{H}_i = \frac{\hat{p}_i^2}{2m} + \frac{1}{2}m\omega^2 \hat{x}_i^2. \quad \leftarrow \text{same } m, \omega \text{ for all } i$$

Now we write  $\hat{H}_i = \omega \left( \hat{a}_i^\dagger \hat{a}_i + \frac{1}{2} \right)$  and these  $a$ 's satisfy

$$[\hat{a}_i, \hat{a}_j] = [\hat{a}_i^\dagger, \hat{a}_j^\dagger] = 0, \quad [\hat{a}_i, \hat{a}_j^\dagger] = \delta_{ij}.$$

We denote states by

$$|n_1, n_2, \dots, n_N\rangle = |n_1\rangle \otimes |n_2\rangle \otimes \dots \otimes |n_N\rangle$$

where each of the  $N$  SHOs is in a state  $|n_i\rangle$  independently of the others (product state). The operator  $\hat{a}_i^\dagger$  acts as follows:

$$\hat{a}_i^\dagger |n_1, n_2, \dots, n_i, \dots, n_N\rangle = |n_1, n_2, \dots, n_i+1, \dots, n_N\rangle.$$

The vacuum state is that of all SHOs in their vacuum state:

$$a_i |0, 0, \dots, 0\rangle = 0 \quad \text{for all } i=1, \dots, N.$$

Excited states are given by

$$|n_1, \dots, n_N\rangle = \frac{(\hat{a}_1^\dagger)^{n_1} \dots (\hat{a}_N^\dagger)^{n_N}}{\sqrt{n_1!} \dots \sqrt{n_N!}} |0, \dots, 0\rangle.$$

This is called the occupation number representation.

### Summary

- Solutions to Klein-Gordon equation are linear superpositions of an infinite number of SHOs for each mode  $\vec{k}$ .
- We solved the SHO with raising/lowering (or creation/annihilation) operators.

## Recap of Day 1

- Talked about what QFT is and why we use it.
- Fundamental degrees of freedom: fields
- We can write Lagrangians and Hamiltonians (densities) with fields
- Free massive scalar field:  $\mathcal{L} = \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - \frac{1}{2} m^2 \phi^2$   
 $\mathcal{H} = \frac{1}{2} (\pi^2 + \vec{\nabla} \phi \cdot \vec{\nabla} \phi + m^2 \phi^2)$   
 $\pi = \dot{\phi}$
- EOM:  $(\partial^2 + m^2) \phi = 0$   
Klein-Gordon equation
- Solutions in Fourier space: each Fourier mode ( $\vec{k}$  component) satisfies the simple harmonic motion equation with  $\omega_{\vec{k}}^2 = \vec{k}^2 + m^2$ .
- Quantised SHOs using raising/lowering operators.

## \* Invariance of integration measure

Using  $k^h$  we may write the manifestly Lorentz invariant

$$M = \frac{d^4 k}{(2\pi)^4} 2\pi \delta^{(4)}(k^2 - m^2) \theta(k^0)$$

enforces  $k^0 > 0$

to make sure that K-G eq. is satisfied

Then,

$$M = \frac{d^3 k dk^0}{(2\pi)^3} \delta^{(4)}((k^0 - \omega_{\vec{k}})(k^0 + \omega_{\vec{k}})) \theta(k^0)$$

$$= \frac{d^3 k dk^0}{(2\pi)^3} \frac{1}{2k^0} (\delta^{(4)}(k^0 - \omega_{\vec{k}}) \theta(k^0) + \delta^{(4)}(k^0 + \omega_{\vec{k}}) \theta(k^0))$$

$$= \frac{d^3 k}{(2\pi)^3} \frac{1}{2\omega_{\vec{k}}}$$

$$\delta(f(x)) = \sum_{x|f(x)=0} \frac{1}{|f'(x)|} \delta(x)$$

which is the normalisation we used above.