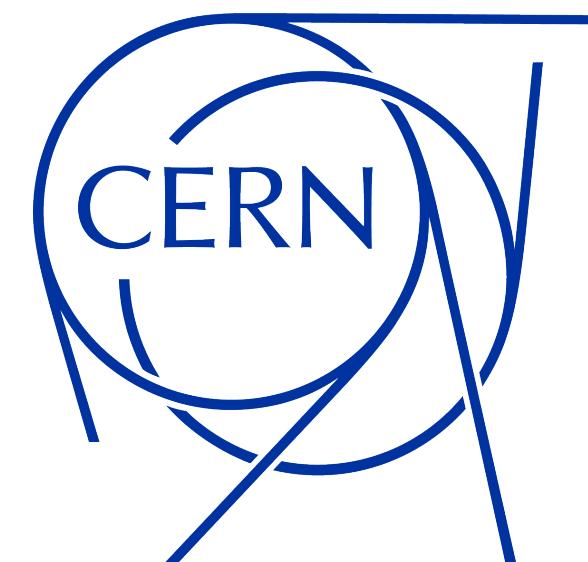




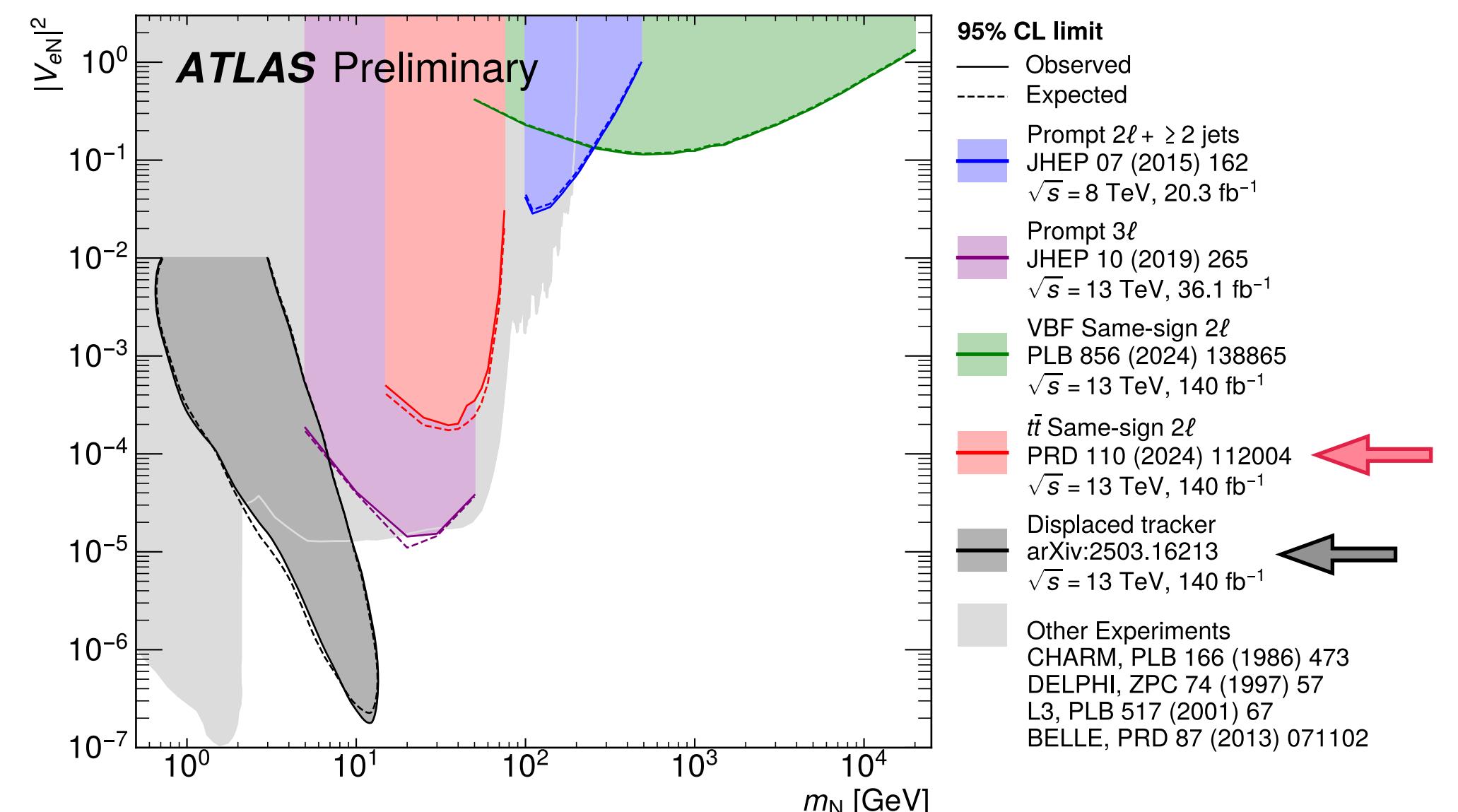
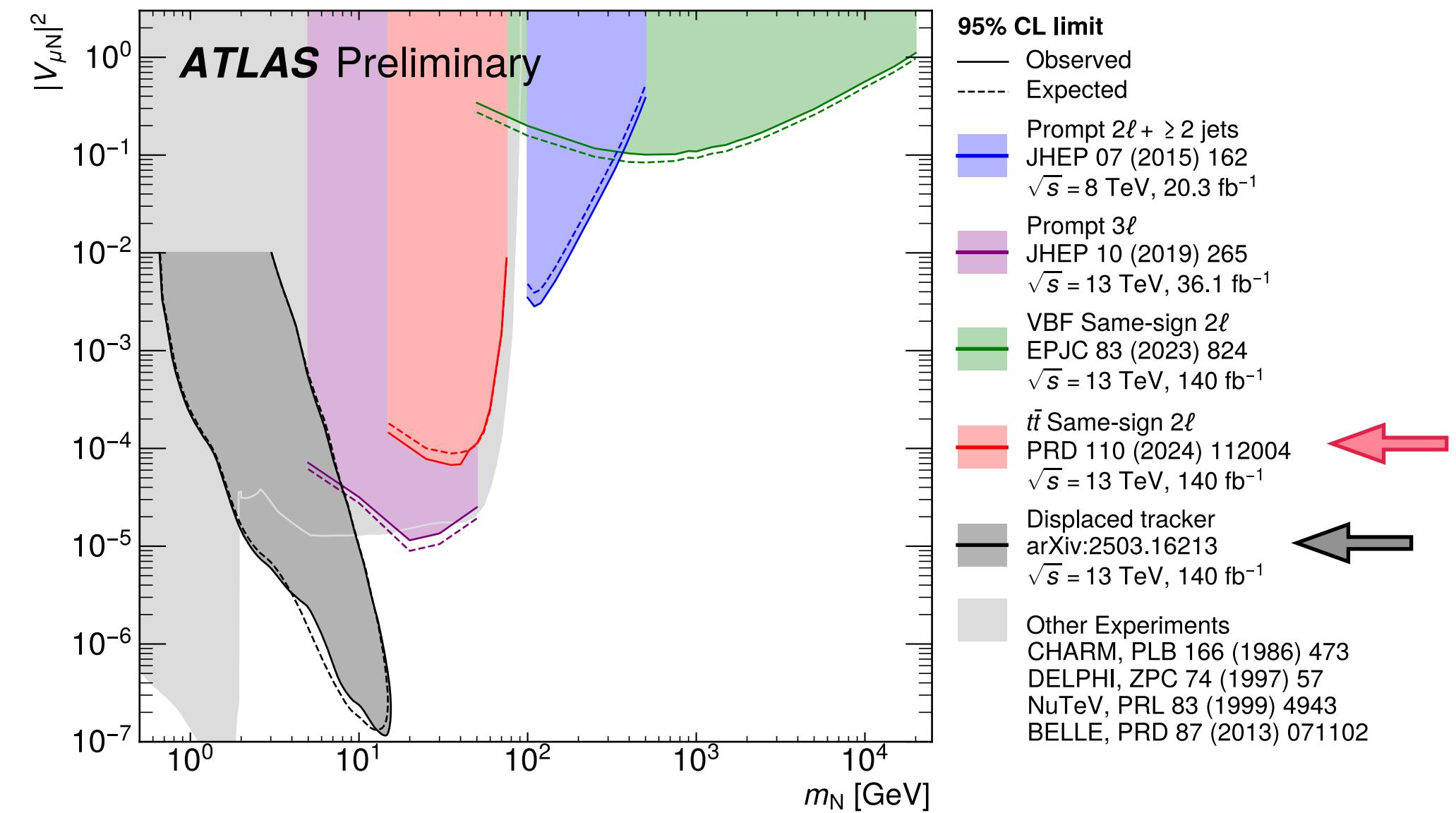
# Direct searches for new neutral leptons with ATLAS

Margaret Lutz



# Neutral lepton searches in ATLAS

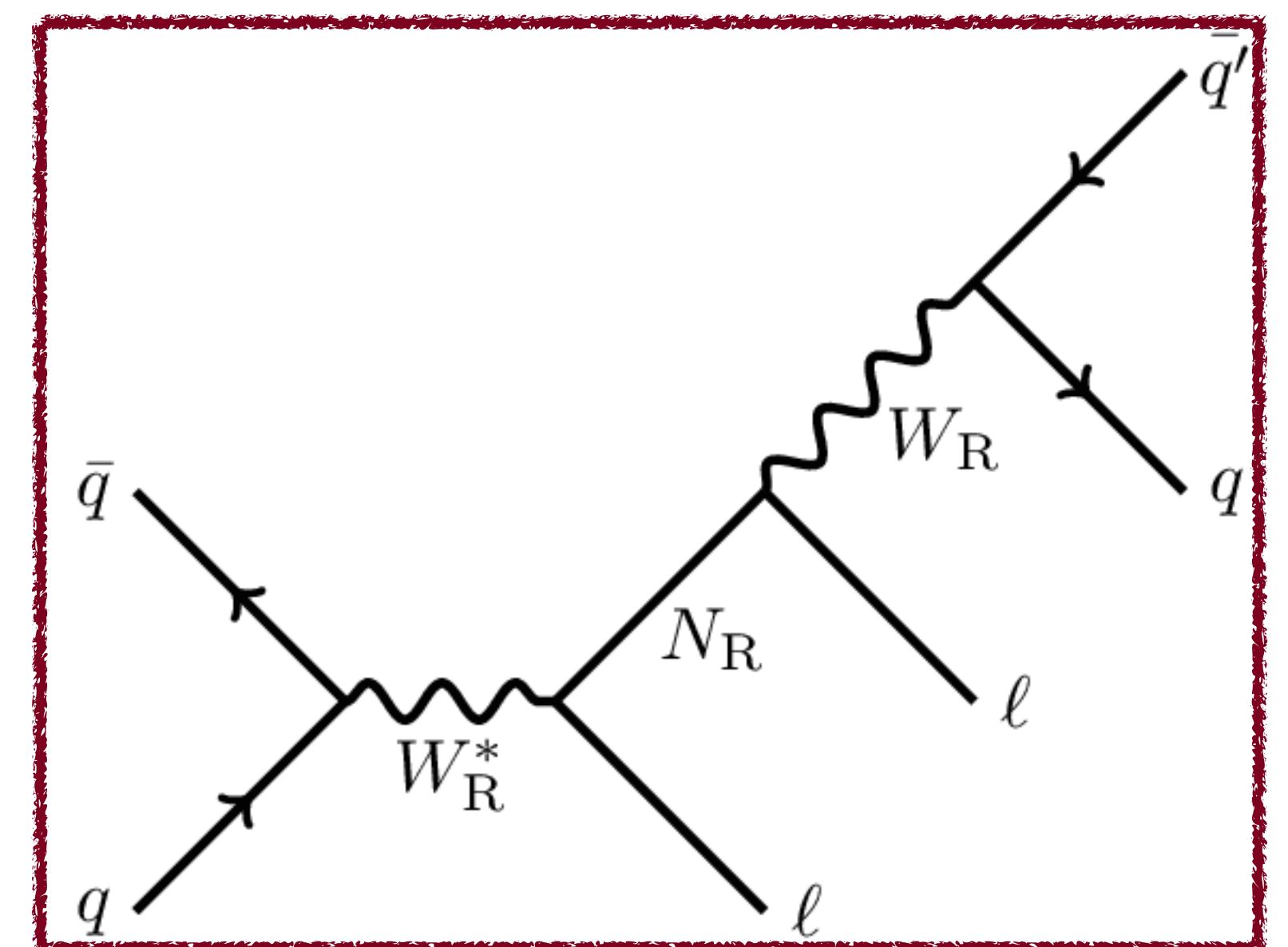
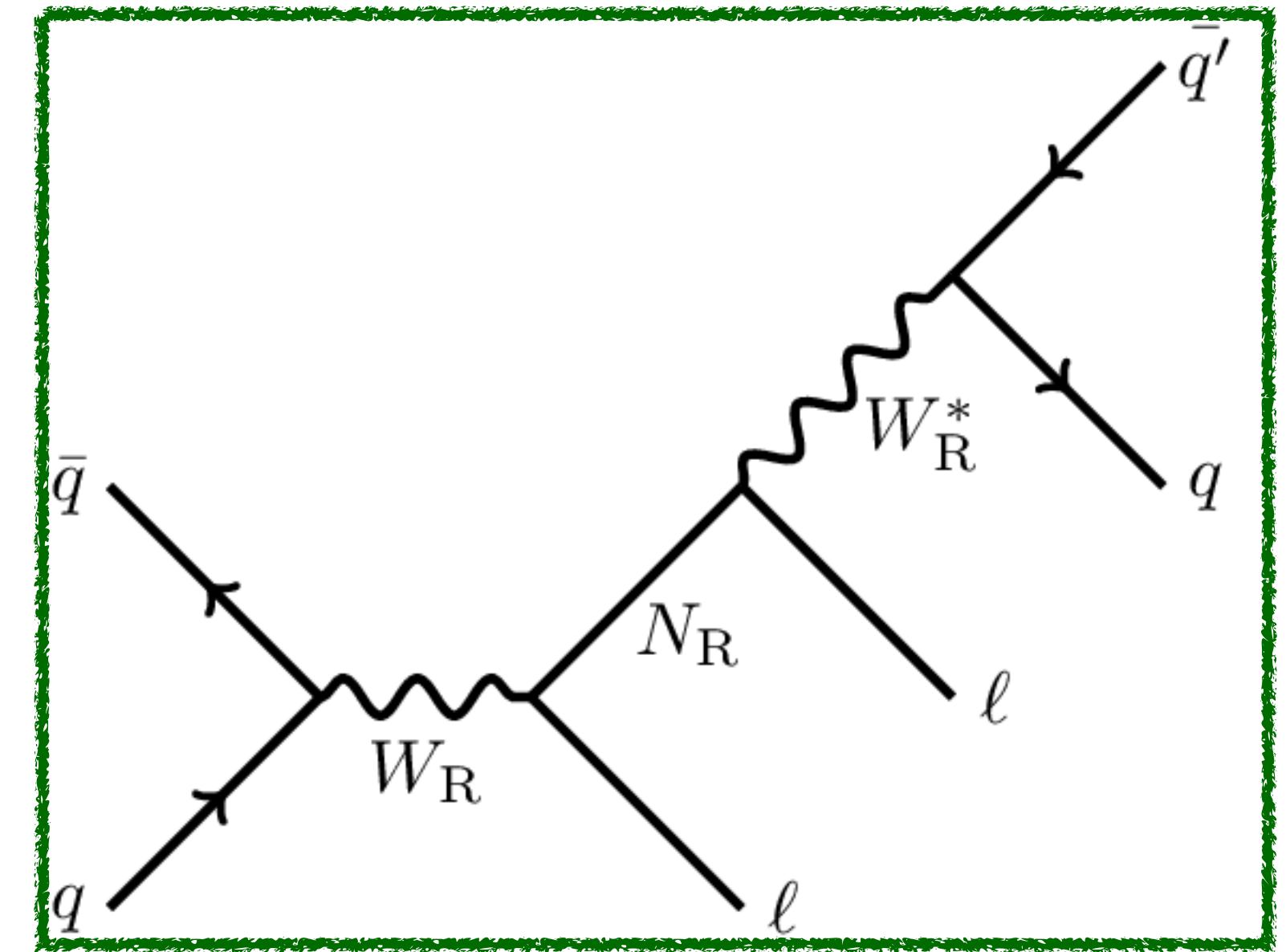
- Wide ranging searches for neutral leptons
- **Look for very heavy -  $\sim$ TeV scale**
  - Heavy  $W_R$  decaying to heavy  $N_R$
- **Look in decays of  $t\bar{t}$  -  $\sim$ 15 - 75 GeV**
  - First time exploiting  $t\bar{t}$  decays for heavy right-handed neutrino search
  - Complementary to other searches
- **Look for long-lived -  $\sim$ 1 - 20 GeV**
  - Focus on more-displaced decays requires specialized reconstruction
  - Nicely complementary in mass and  $c\tau$



# Heavy right handed $W_R$ and $N_R$

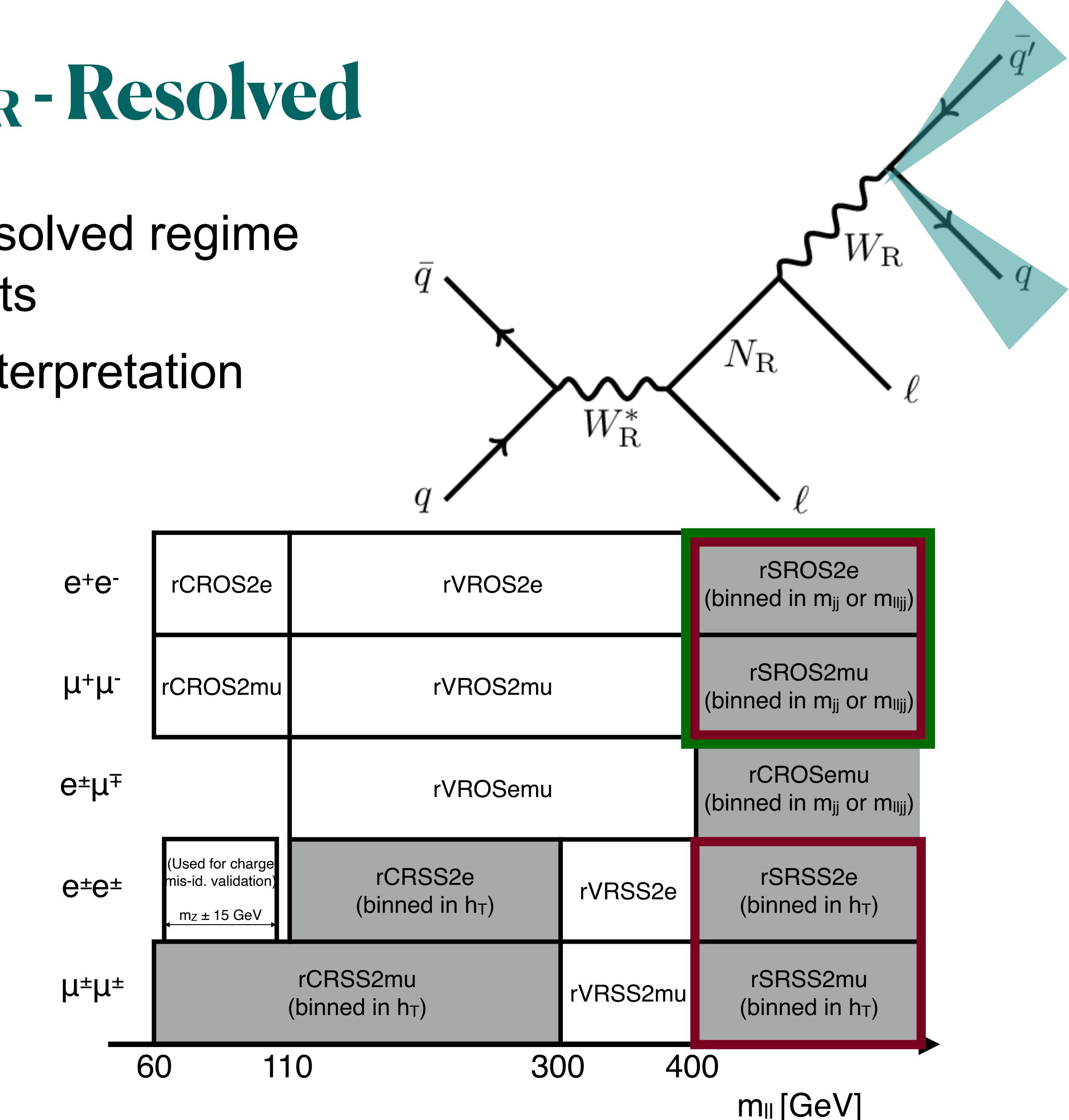
# Heavy right handed $W_R$ and $N_R$

- Left right symmetric model
  - Compatible with type-I and type-II seesaw mechanisms
  - Introduces heavy right handed  $W_R$  and  $N_R$
  - $N_R$  gauge partners - left-handed neutrino fields
  - Single flavor coupling to  $e, \mu$ , assuming no flavor mixing
  - Dirac - 100% LNC, and Majorana - 50% LNC, 50% LNV
- Highest end of the mass range - promptly decay
- Analysis targets Keung-Senjanović process
- Two scenarios -  $\mathbf{m_{W_R} > m_{N_R}}$  and  $\mathbf{m_{N_R} > m_{W_R}}$ 
  - If  $m_{W_R} \sim m_{N_R}$  or  $m_{W_R} < m_{N_R}$ , resolved regime
  - If  $m_{W_R} \gg m_{N_R}$  - boosted regime



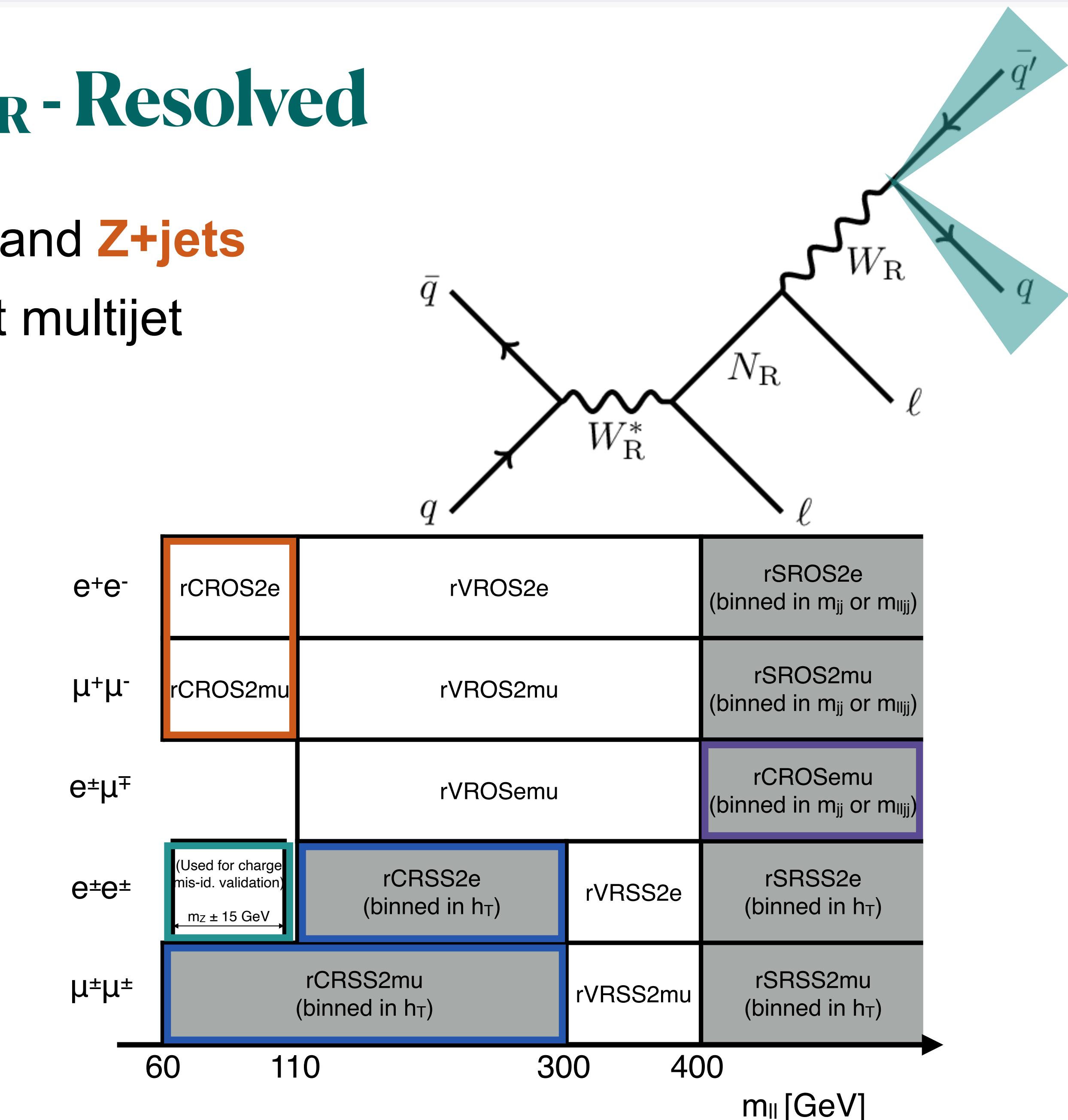
# Heavy right handed $W_R$ and $N_R$ - Resolved

- $m_{W_R} - m_{N_R} < 4 \text{ TeV}$  (including  $m_{W_R} < m_{N_R}$ ), resolved regime  
Two distinctly reconstructed  $R = 0.4$  jets
- Four different signal regions for **Majorana** interpretation  
Two signal regions for **Dirac**
- Fit variable in rSROS:
  - $m_{W_R} = m_{\parallel jj}$  (if  $m_{W_R} > m_{N_R}$ )
    - Binned 0 - 5 TeV in 500 GeV steps
  - $m_{W_R} = m_{jj}$  (if  $m_{W_R} < m_{N_R}$ )
    - Binned 0 - 3 TeV in 500 GeV steps
- Fit variable in rSRSS:
  - $h_T = p_T(\ell_1) + p_T(\ell_2) + p_T(j_1) + p_T(j_2)$ 
    - Five variable bins 400 GeV - 2.2 TeV



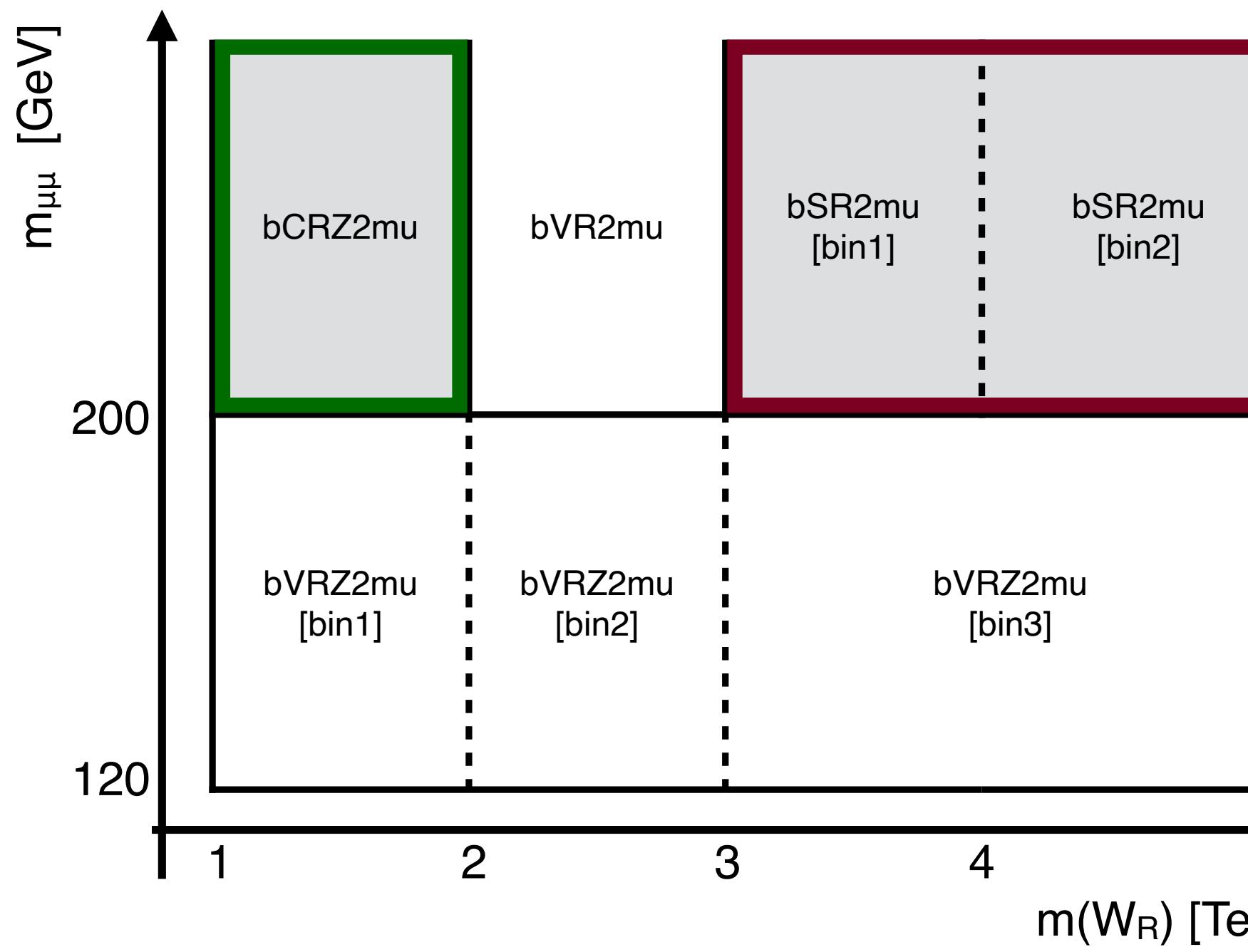
# Heavy right handed $W_R$ and $N_R$ - Resolved

- Main backgrounds in rSROS category -  $t\bar{t}$  and **Z+jets**
  - Normalization factor for Z+jets to correct multijet mismodelling
  - **rCROS** not used in fit because of this
- Main backgrounds in rSRSS category
  - $WZ \rightarrow \ell\ell$ ,  $WZ \rightarrow \ell\nu\ell\nu$
  - $Z \rightarrow ee$  (+jets), t events with charge misidentification
    - Charge flip probability determined in **extra control region**
- Small extra background from misidentified leptons calculated through fake factor
- Shaded bins used in the fit

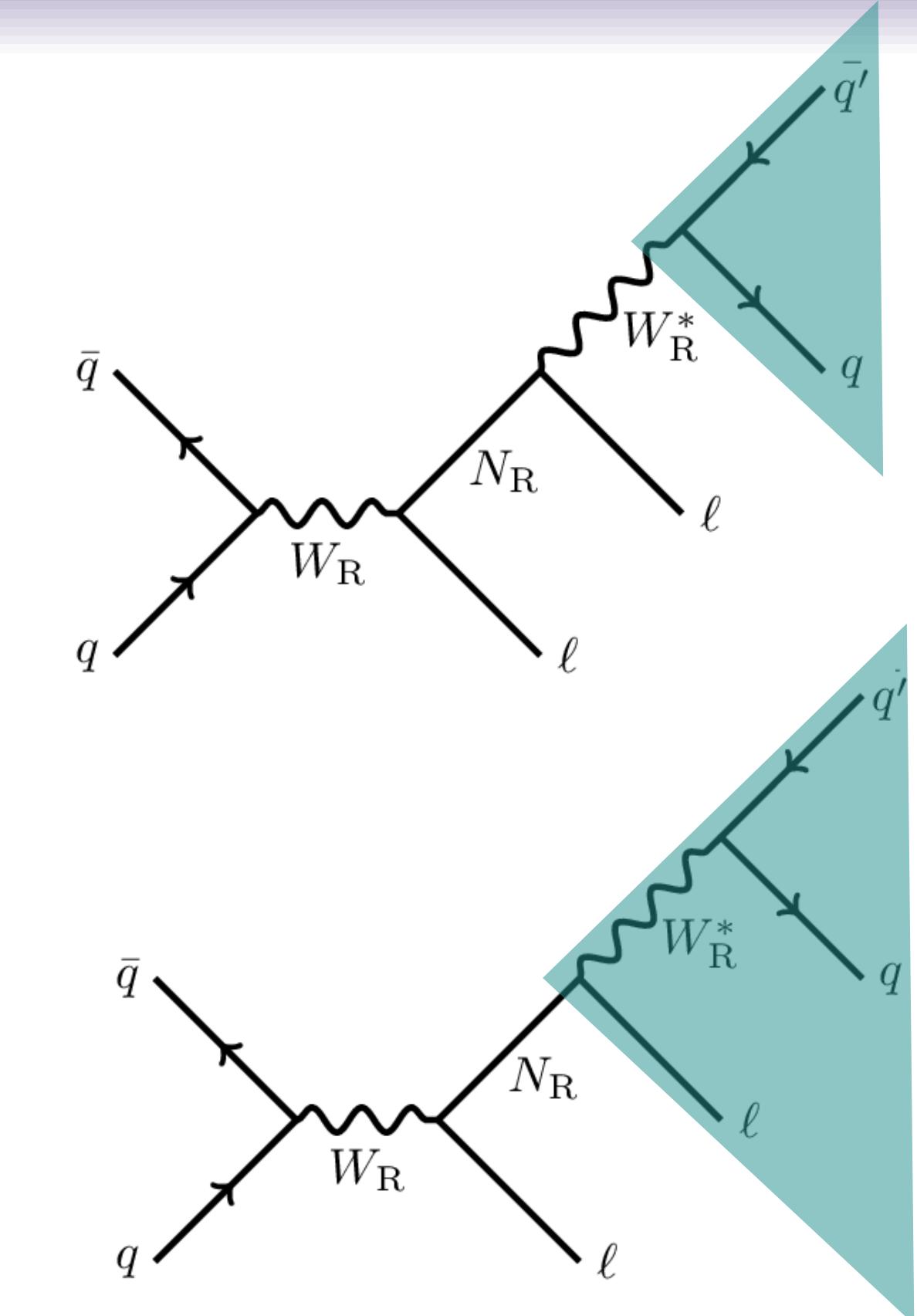


# Heavy right handed $W_R$ and $N_R$ - Boosted

- $m_{W_R} - m_{N_R} > 4 \text{ TeV}$  boosted regime  
Reconstruct one large-R ( $R = 1.0$ ) jet and 2 leptons  
or  
Reconstruct large-R jet and one  $e$  ( $\mu$  can be distinguished within jet)

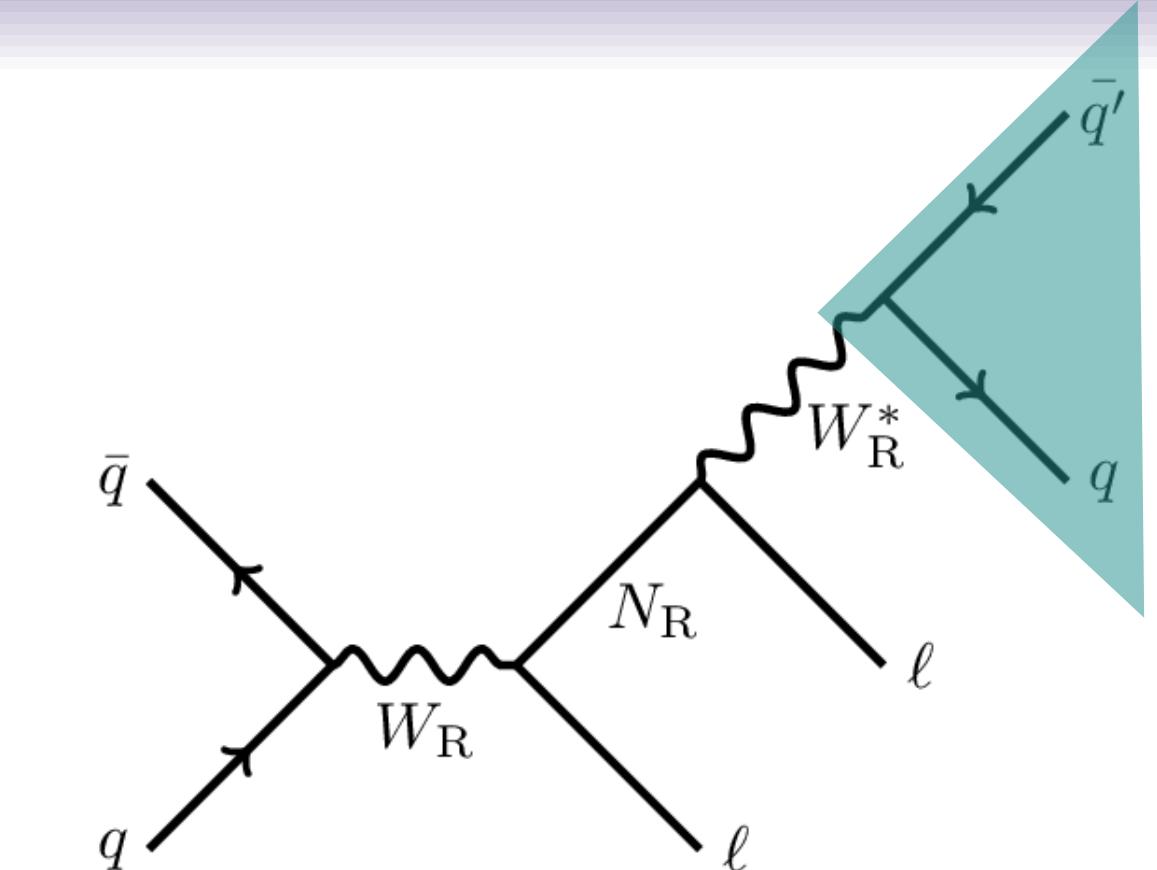
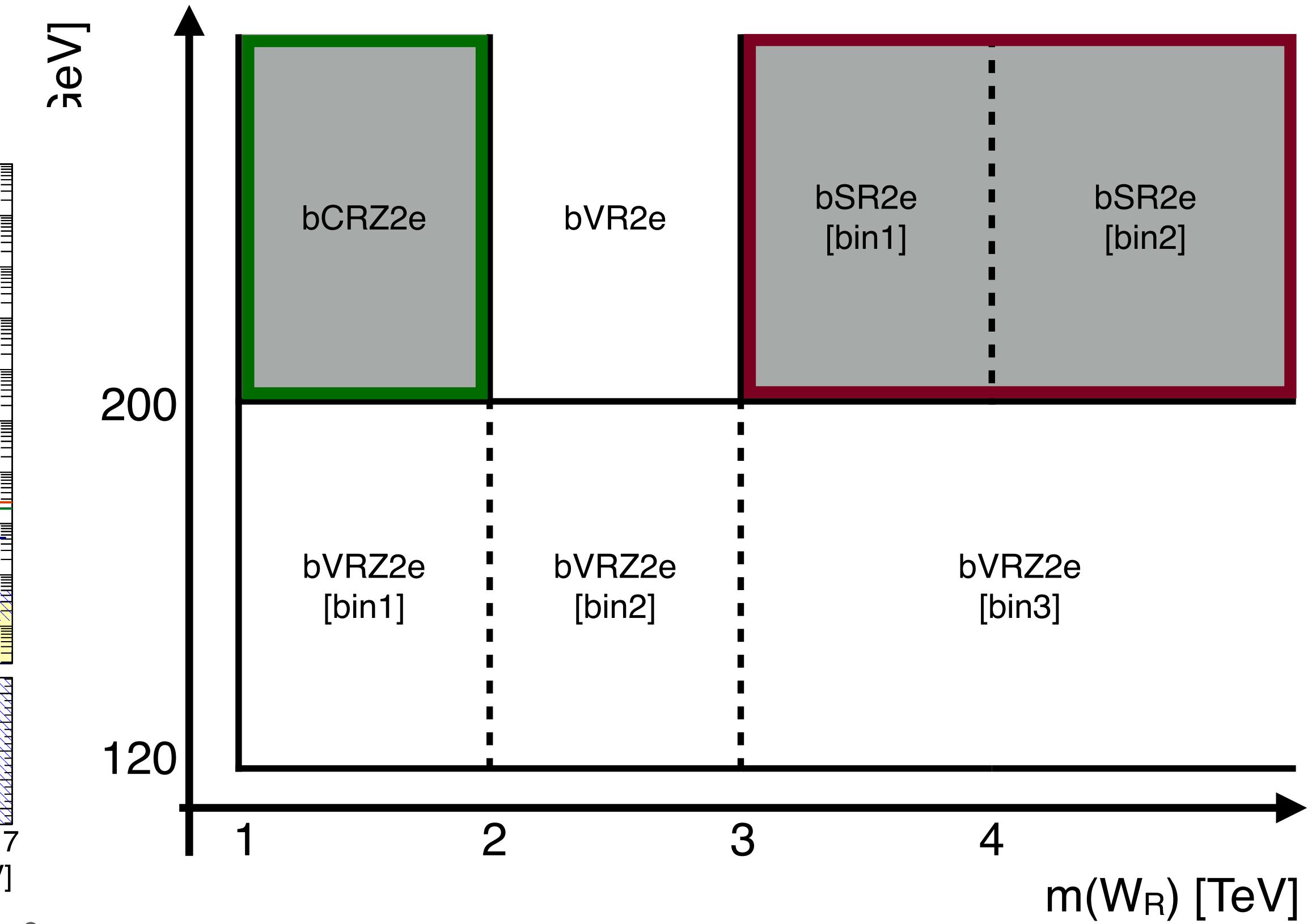
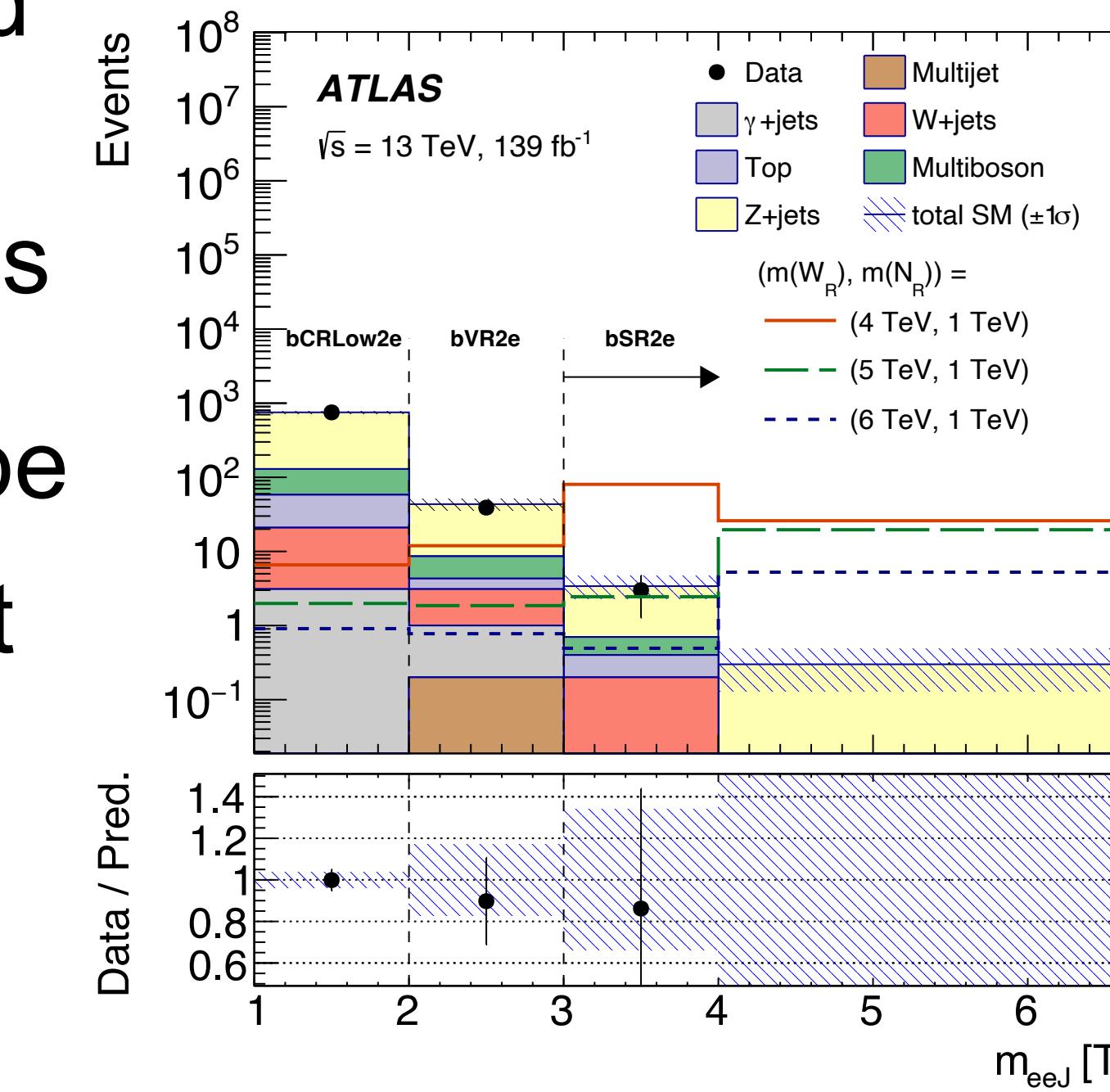


- Discriminant variable becomes  $m_{W_R} = m_{\ell\ell J}$  or  $m_{W_R} = m_{eJ}$
- Divided into  $4 \times 1 \text{ TeV}$  bins - expect signal in **two highest mass bins**
- In  $\mu$  channel, require  $m_{\mu\mu} > 200 \text{ GeV}$
- Main background from **Z+jets**
  - Only one jet, so no mismodelling issue
- VR regions used to verify method and extrapolations to higher mass bins



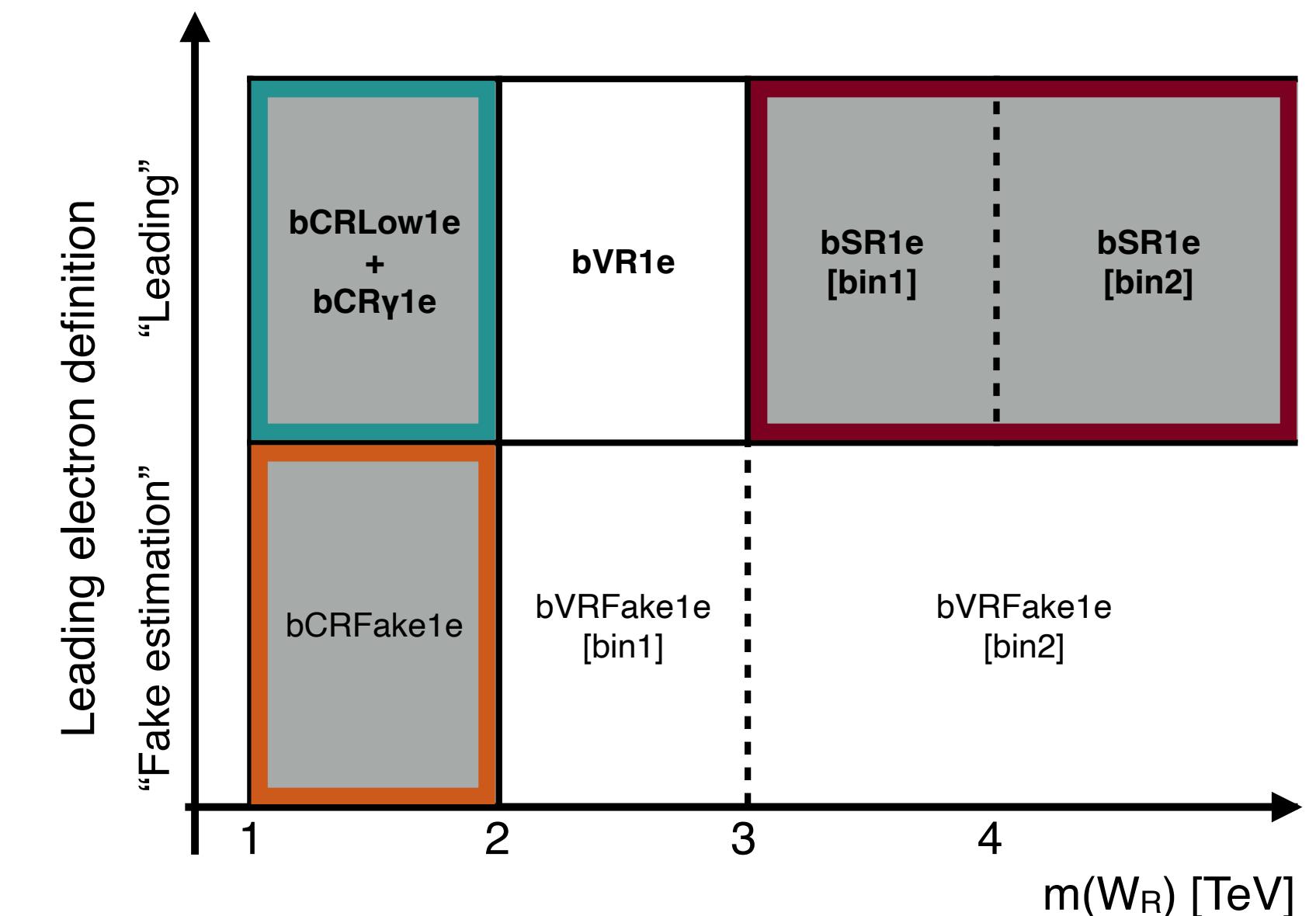
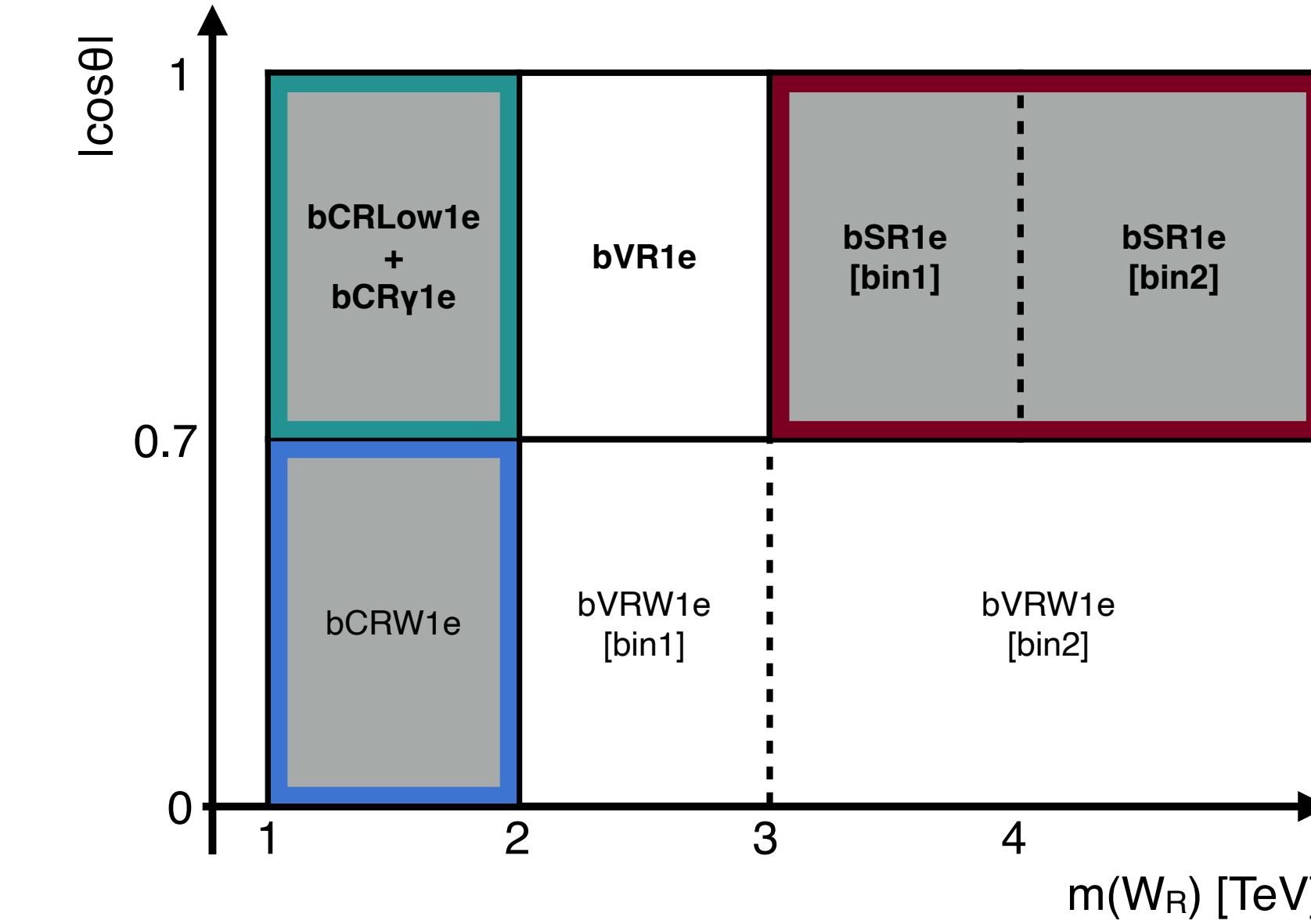
# Heavy right handed $W_R$ and $N_R$ - Boosted

- For the 1 large-R jet + 2e regions,  $m_{W_R} = m_{eeJ}$ 
  - Target range where  $m_{W_R} - m_{N_R} > 4 \text{ TeV}$  but  $m_{W_R} \gg m_{N_R}$
  - Expect signal in **two highest mass bins**
- Main background from **Z+jets**
- Validation regions used to check data vs MC shape
- Good agreement seen



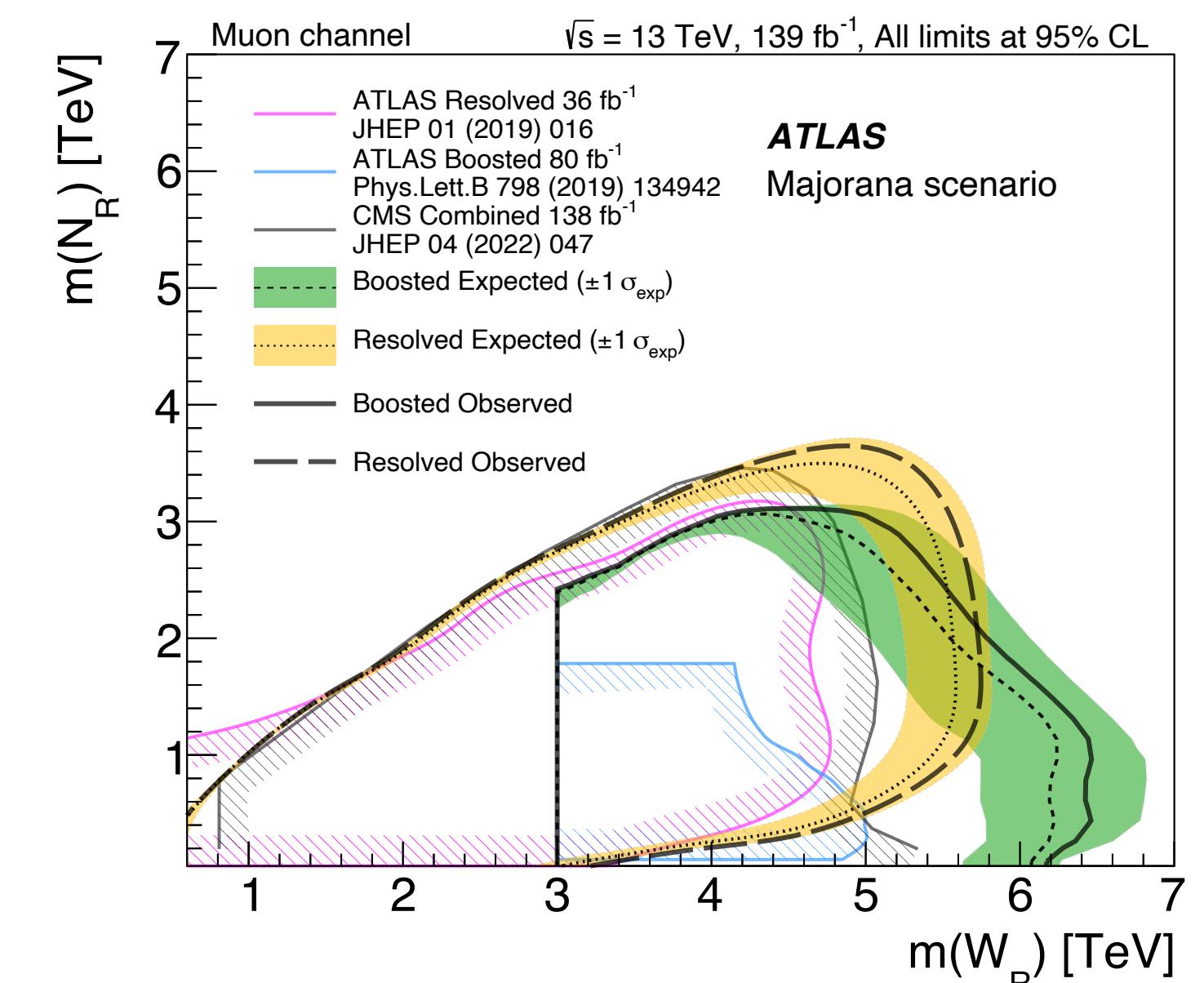
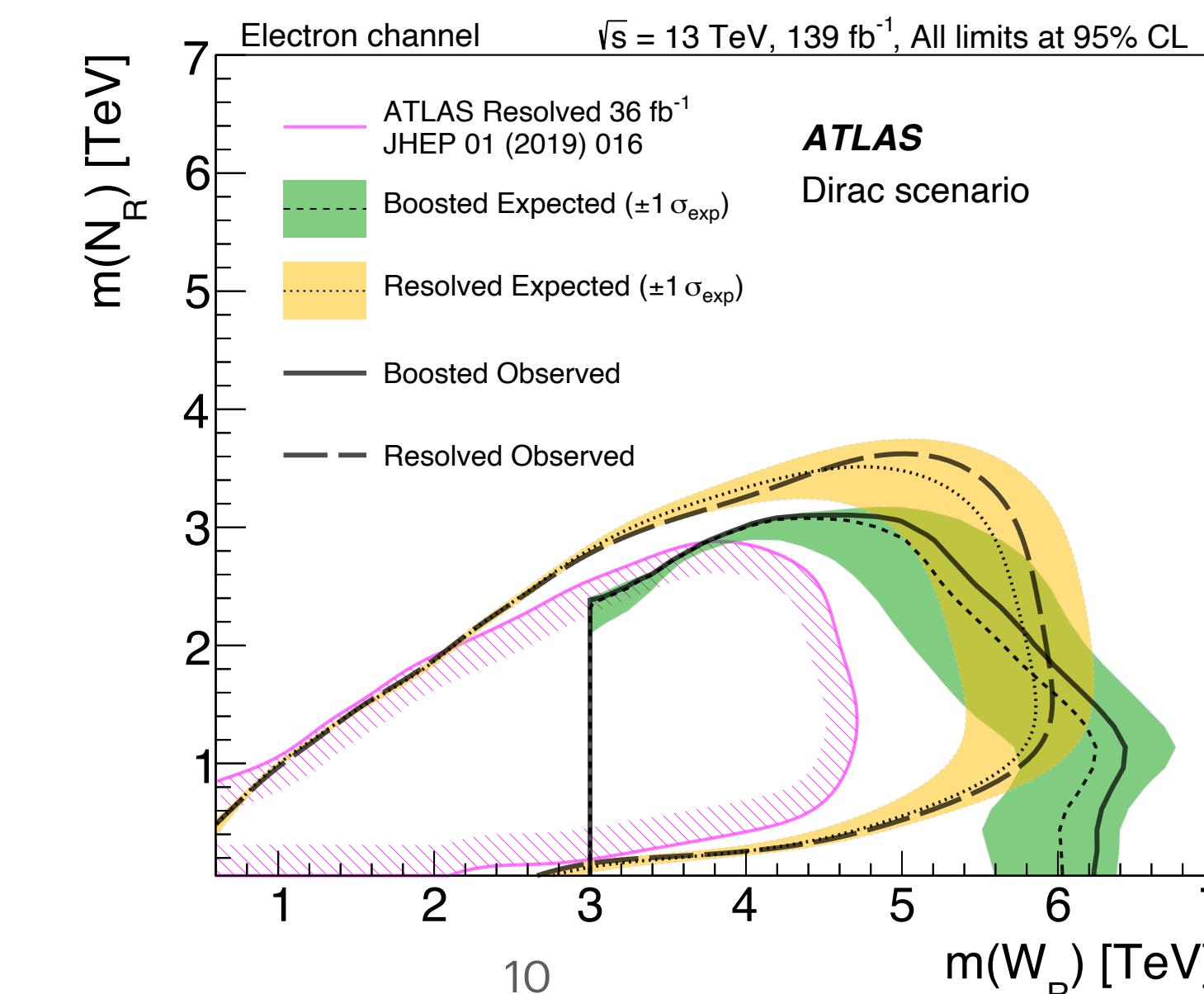
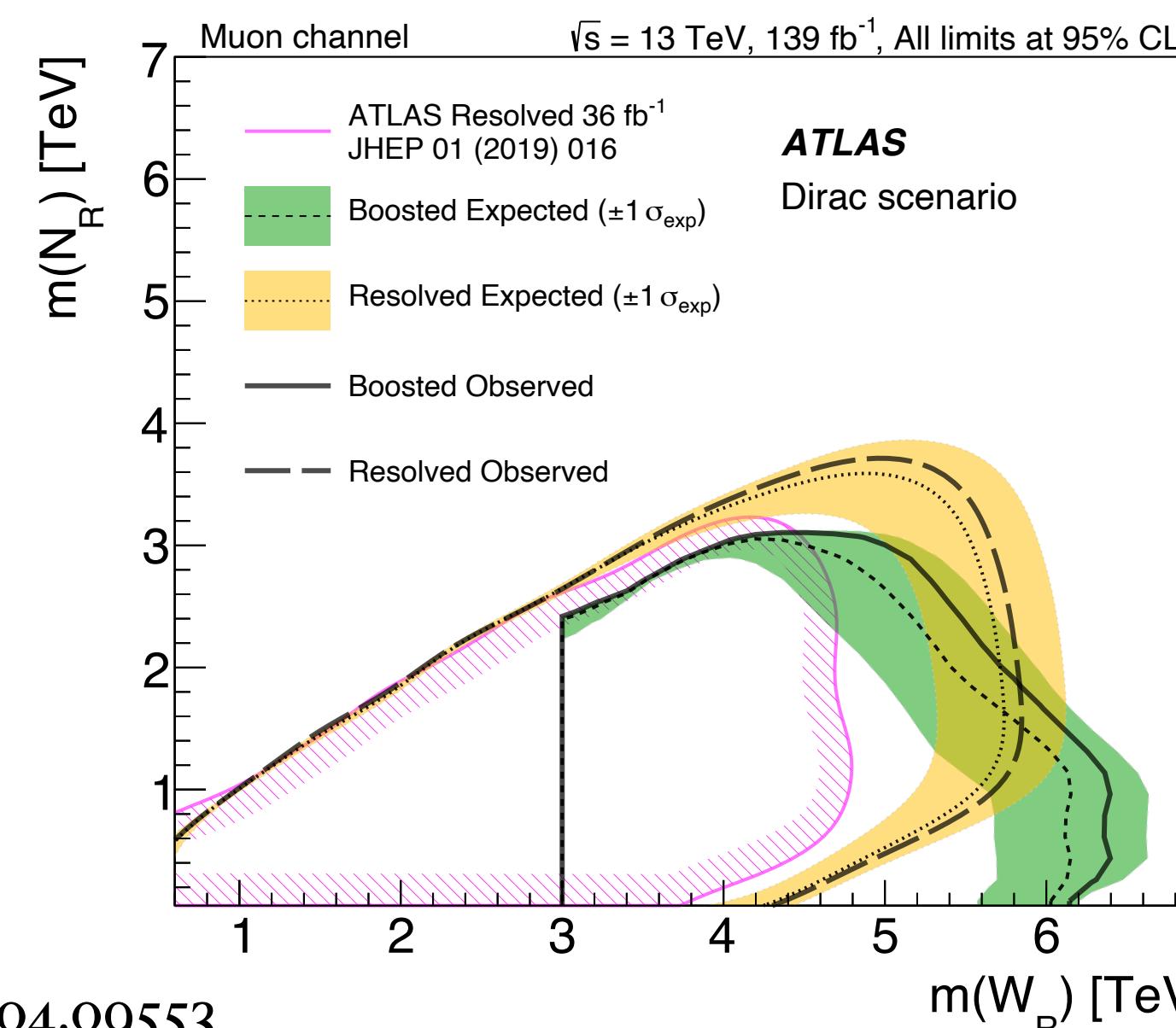
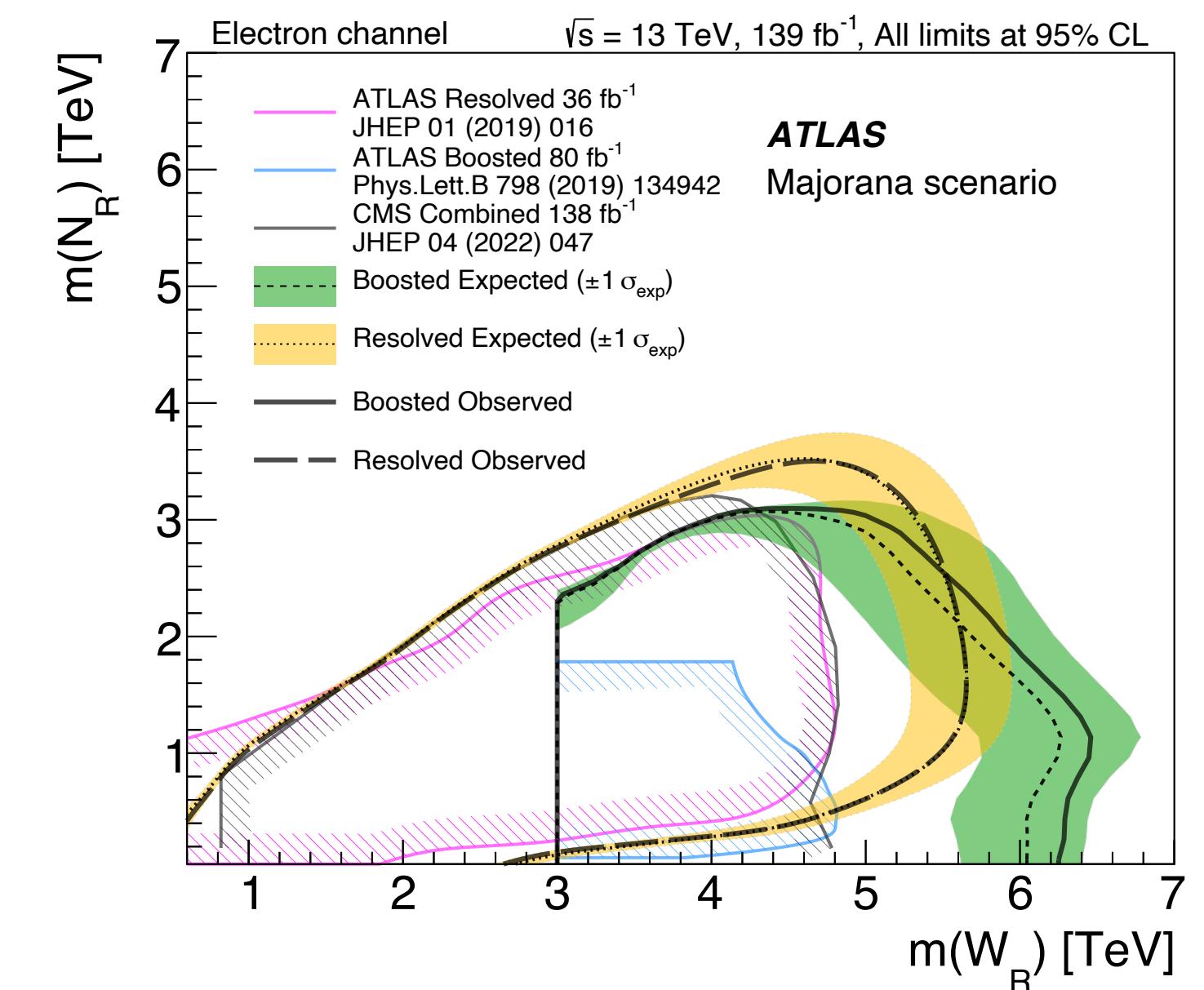
# Heavy right handed $W_R$ and $N_R$ - Boosted

- New category added since previous version of search
  - Target higher  $m_{W_R} - m_{N_R}$ , orthogonal to 2e channel
  - **Signal regions** are 3-4 and 4+ TeV bins of  $m_{W_R} = m_{eJ}$
  - Here, no  $m_{ll}$  variable - use  $\cos(\theta)$ , polar angle of e from W decay, and quality of the e
  - Main backgrounds:  **$W+jets$** , **di-jet** and  **$\gamma+jets$** , with a **fake e**
  - Shaded regions used in the fit



# Heavy right handed $W_R$ and $N_R$

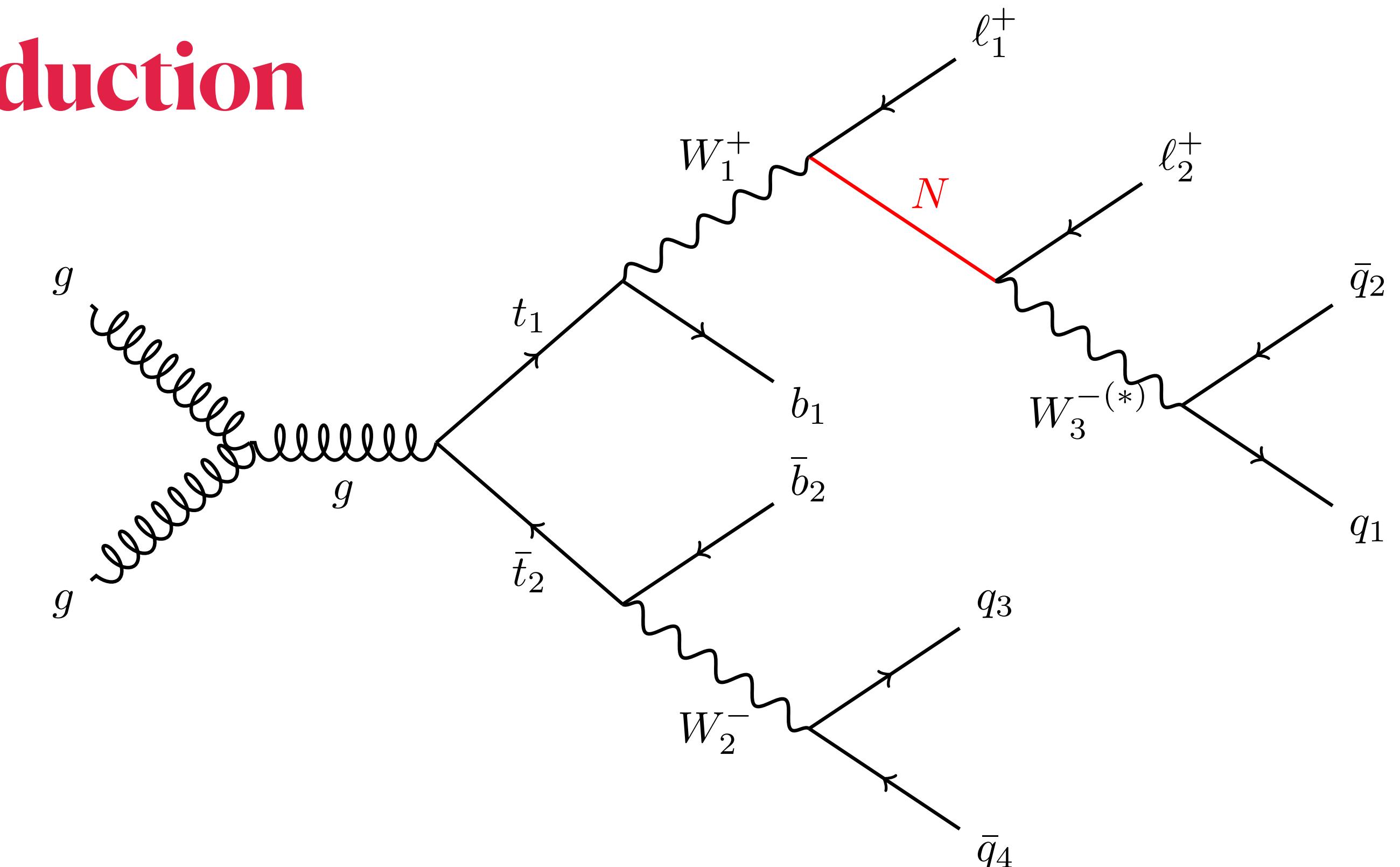
- No significant excess seen, set limits at 95% CL
- Resolved and boosted channels not orthogonal and are not combined
- Significant increase in sensitivity in Dirac and Majorana scenarios for  $e$  and  $\mu$  coupling
- Does not see the same  $\sim 3\sigma$  local excess observed by previous CMS search



# Heavy neutrino via top production

# Heavy neutrino via top production

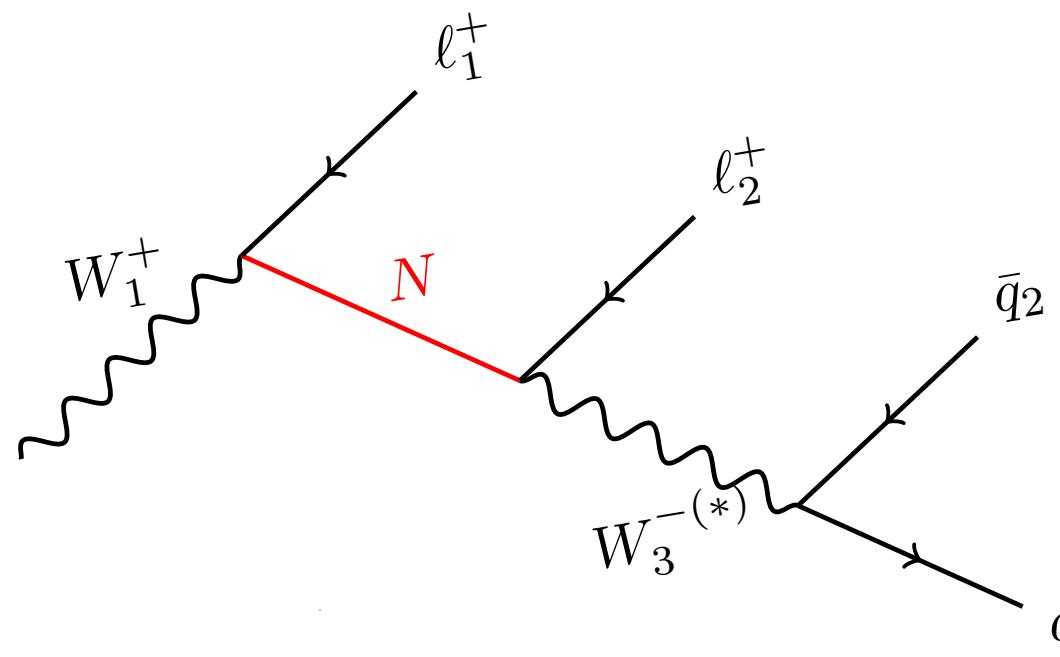
- First time searching for HNL in  $t\bar{t}$  events
- Type-I seesaw mechanism
  - 3 Majorana HNL candidates, with single flavor mixing to  $e, \mu, \tau$
- Target final state in which  $\ell_1, \ell_2$  are same-charge ee or  $\mu\mu$ 
  - Only focus on LNV processes
- Consider  $N$  mass range 10 - 75 GeV
  - HNL decays promptly
  - Other W and  $W^*$  both decay hadronically
    - Final state - 2 same sign, same flavor leptons, 2 b-jets, 4 light jets



\*charge conjugate also considered\*

# Heavy neutrino via top production - $ee$ analysis regions

- Prominent backgrounds from  $t\bar{t}W$ , (also  $t\bar{t}H$ ,  $t\bar{t}Z$ ,  $t\bar{t}\gamma$ ), as well as from photon conversion, mis-identified charge, mis-reconstructed hadrons
- Electron charge determined by curvature of the track - more difficult for high- $p_T e$ 
  - BDT-based tool used, known as “electron charge identity selector” (ECIDS)
- Likelihood based discriminant used for  $e/\gamma$  ambiguity removal
- $m_{ll} < 80 \text{ GeV}$  -  $m_{ll} < m_W$



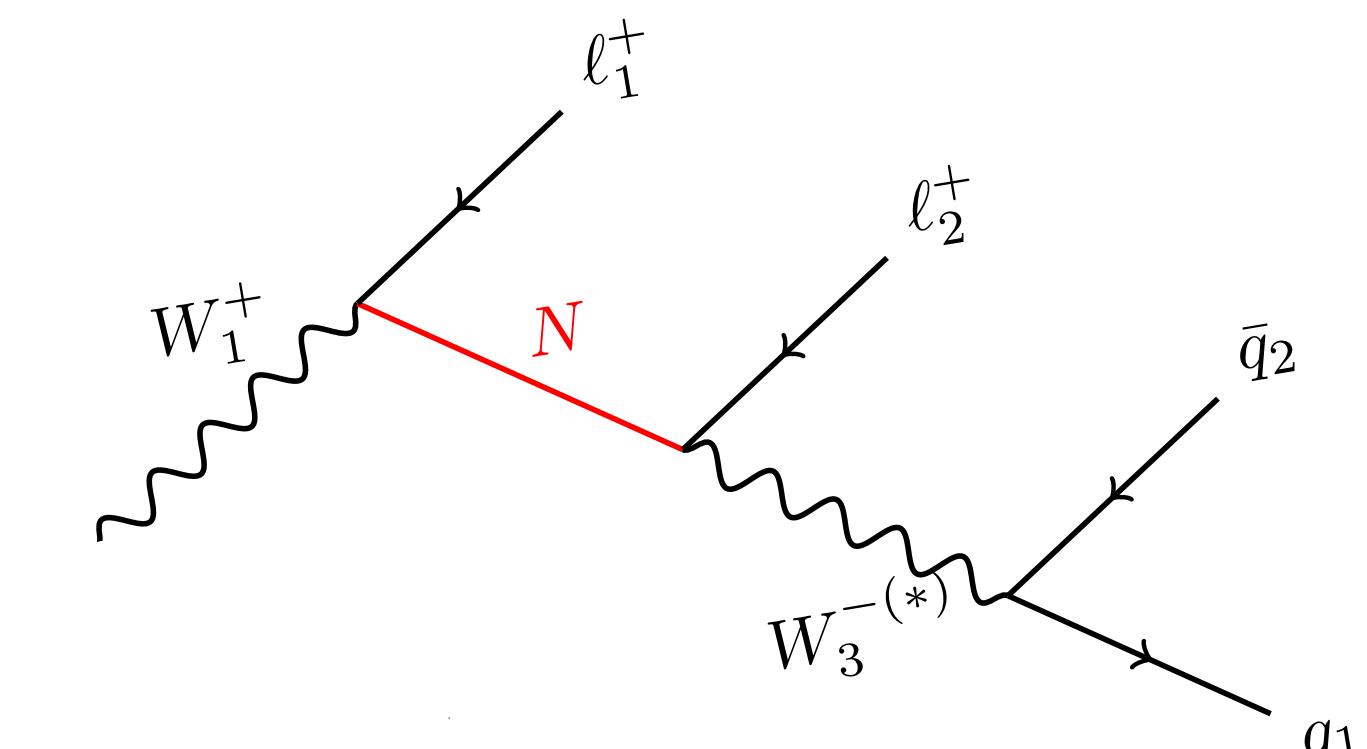
Analysis regions for the  $ee$  channel

Region	Tight isolation	$e/\gamma$ ambiguity removal	ECIDS criteria	$m_{ee}$ requirement
SR	Both $e$	Both $e$	Both $e$	$< 80 \text{ GeV}$
$t\bar{t}W$ CR	Both $e$	Both $e$	Both $e$	$> 100 \text{ GeV}$
HF CR	At most one $e$	Both $e$	Both $e$	$> 70 \text{ GeV}$
PC CR	–	At most one $e$	Both $e$	$> 75 \text{ GeV}$ and $Z$ veto
CF CR	–	Both $e$	At most one $e$	$> 60 \text{ GeV}$ and $Z$ veto

# Heavy neutrino via top production - $\mu\mu$ analysis regions

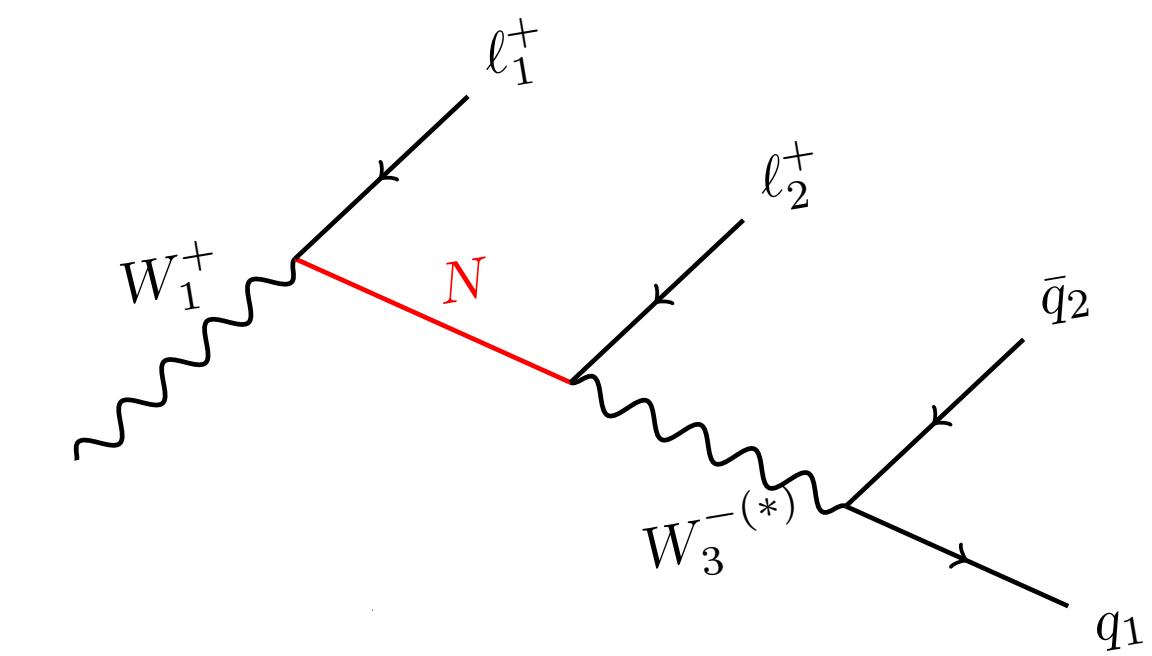
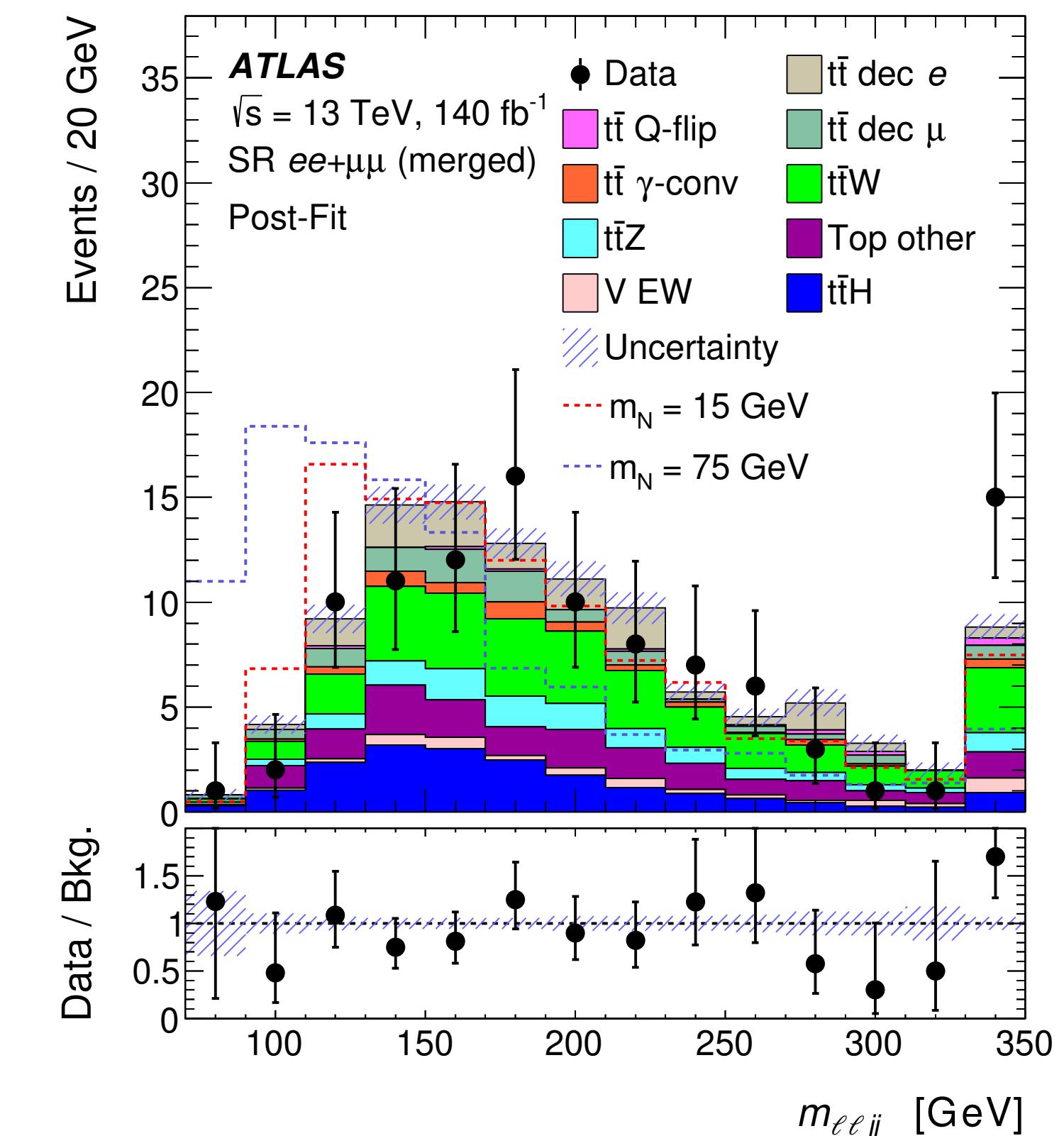
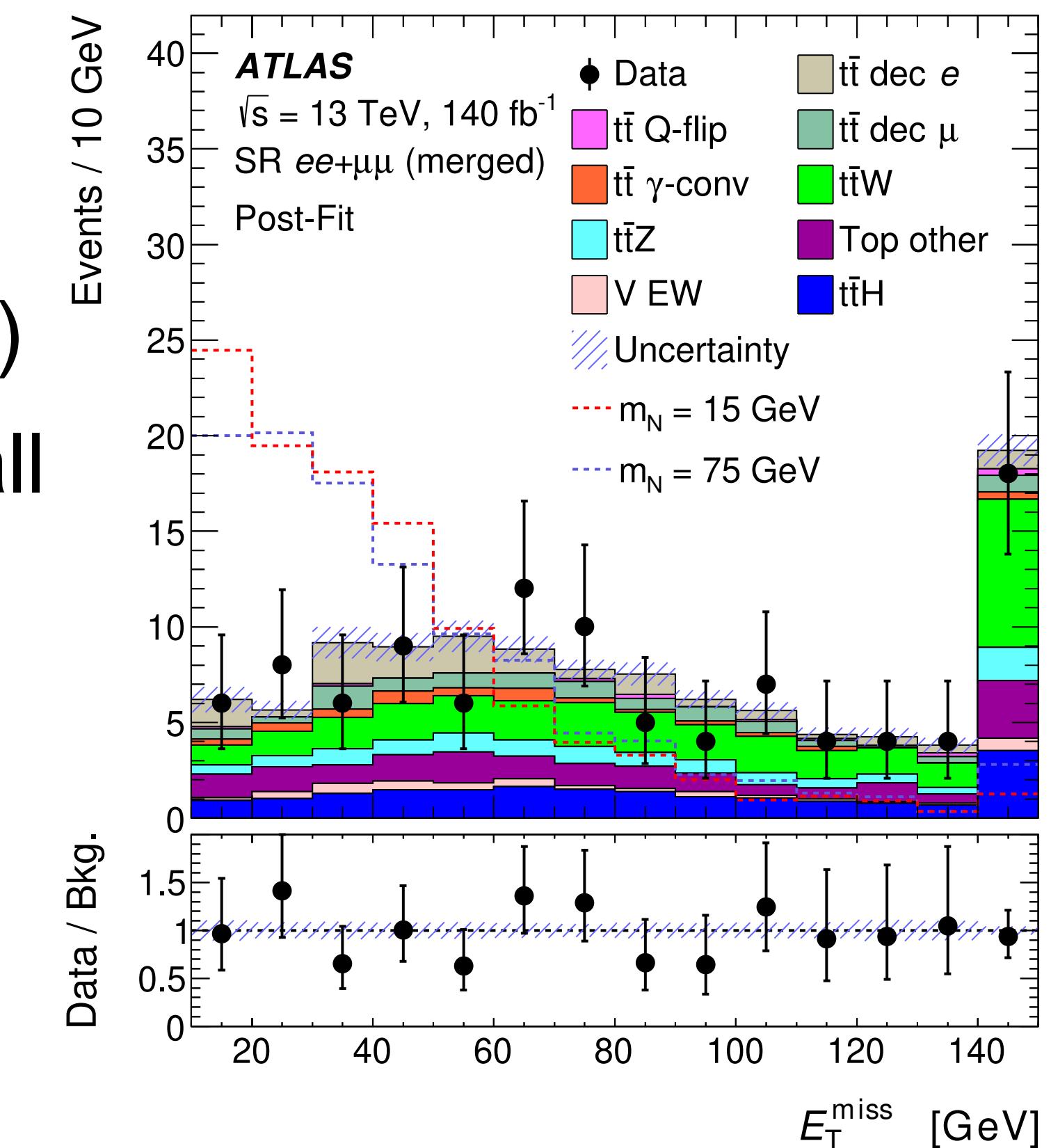
- Again,  $t\bar{t}W$  is a dominant background process
- For  $\mu$  charge flipping found to be negligible
- Main source of same-sign  $\mu\mu$  pairs from heavy flavor decays
- Once again,  $m_{||} < 80 \text{ GeV}$  -  $m_{||} < m_W$
- For  $\mu\mu$  channel, no Z-mass veto needed
- For HF CR, despite isolation criteria on  $\leq 1$  of the muons,  $m_{\mu\mu}$  requirement  $> 75 \text{ GeV}$  to reduce signal contamination
- For all signal regions, BDT used to further separate signal from background

Analysis regions for the $\mu\mu$ channel		
Region	Isolation criteria	$m_{\mu\mu}$ requirement
SR	Both $\mu$	$< 80 \text{ GeV}$
$t\bar{t}W$ CR	Both $\mu$	$> 80 \text{ GeV}$
HF CR	At most one $\mu$	$> 75 \text{ GeV}$



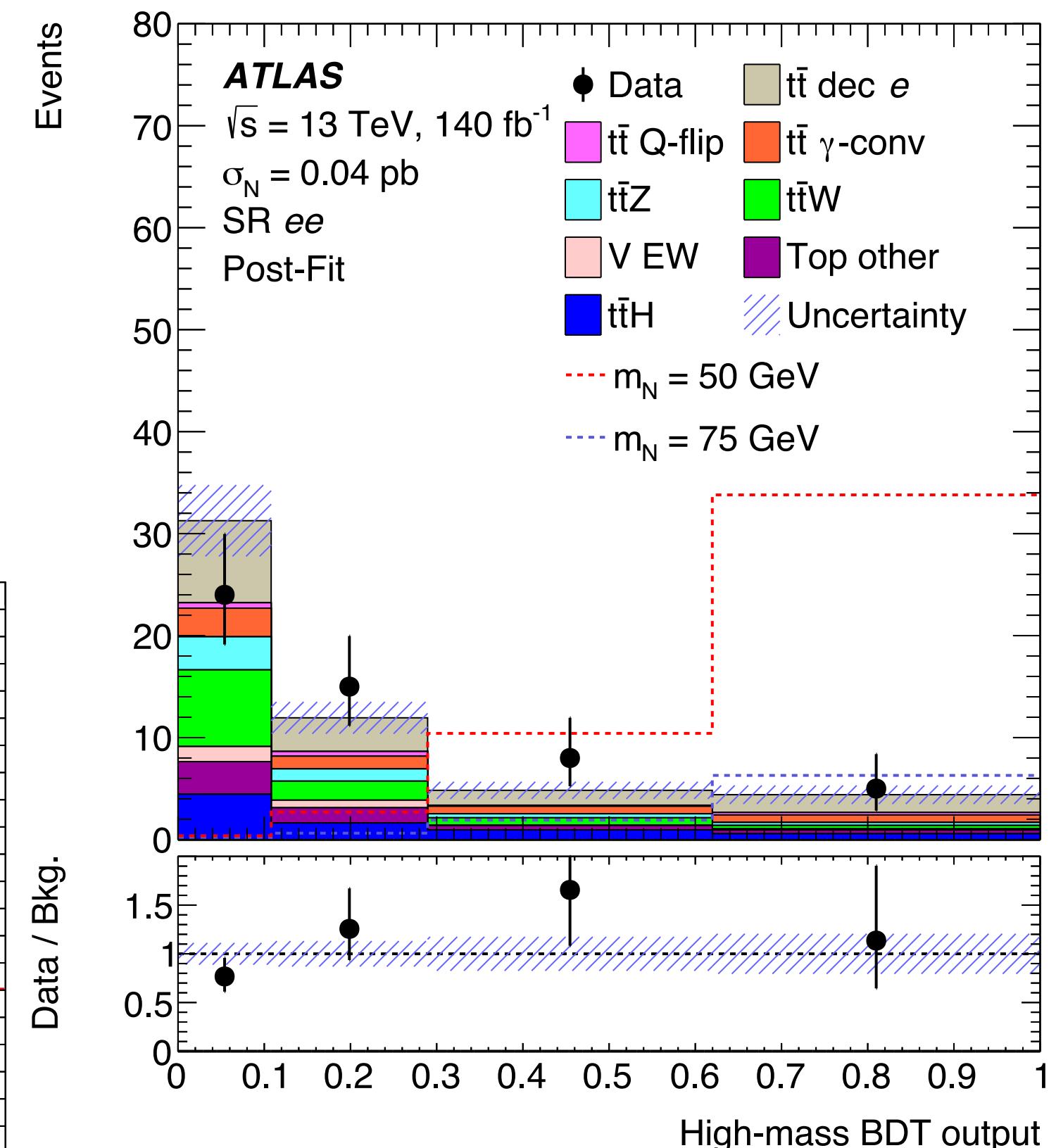
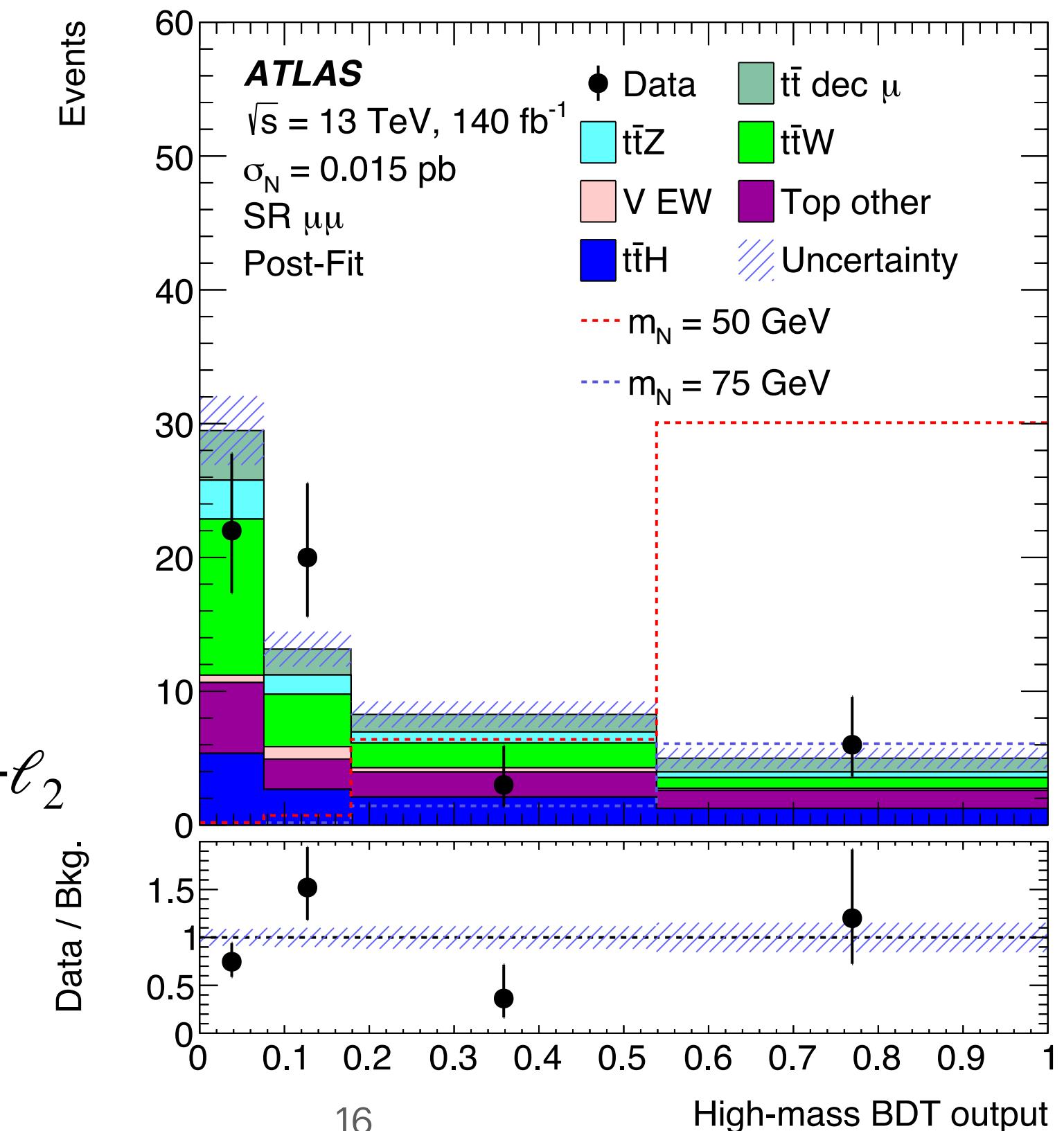
# Heavy neutrino via top production - BDT

- Two BDTs trained -
  - low mass (15 - 40 GeV)
  - high mass (45 - 75 GeV)
- Mixture of signal samples and all background samples
- Key variables:
  - Invariant mass  $m_{ll}$
  - Missing energy -  $E_T^{\text{miss}}$
  - Invariant mass of  $W_1$  -  $m_{lljj}$
  - $p_T$  of the subleading lepton  $p_T \ell_2$



# Heavy neutrino via top production - BDT

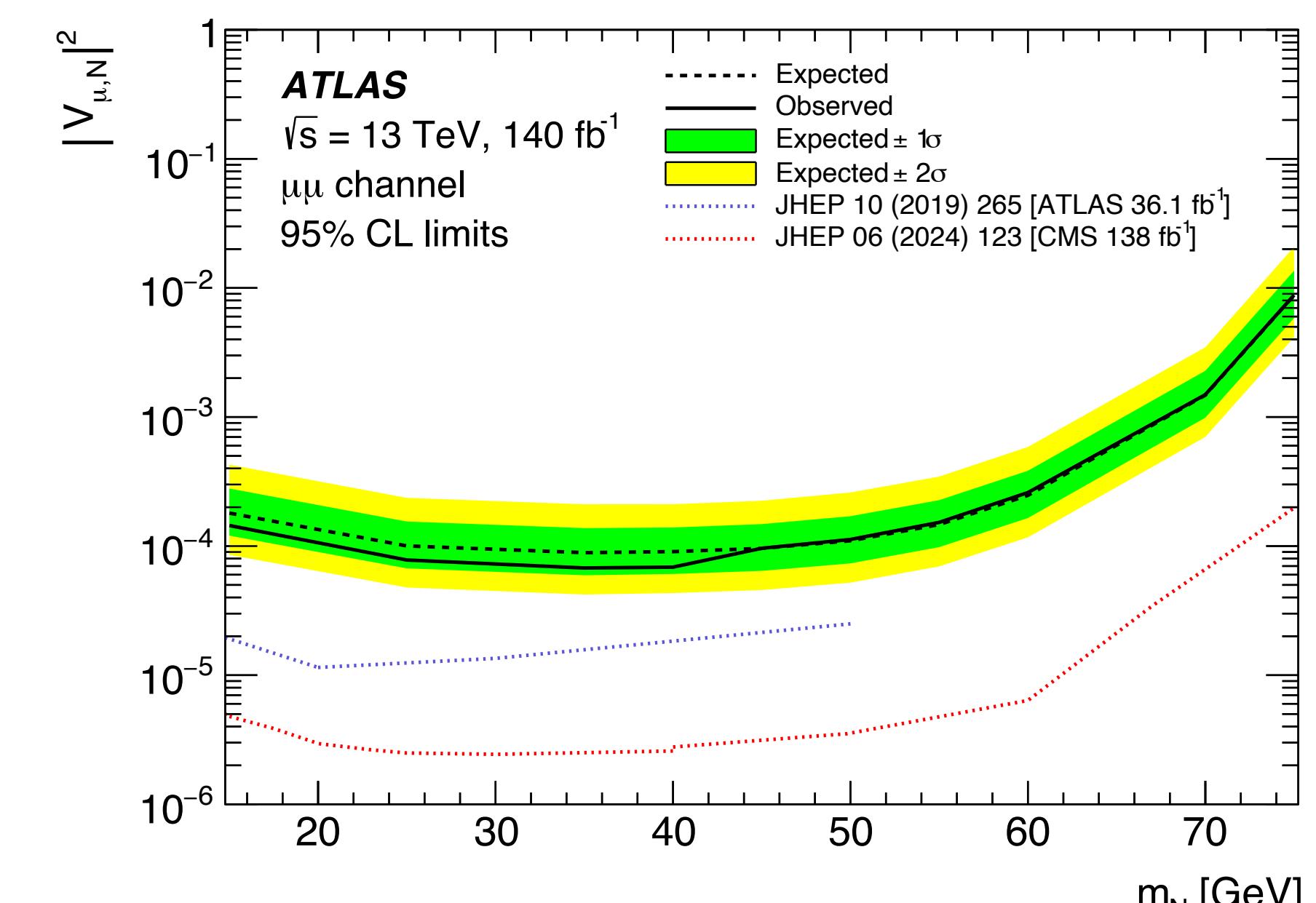
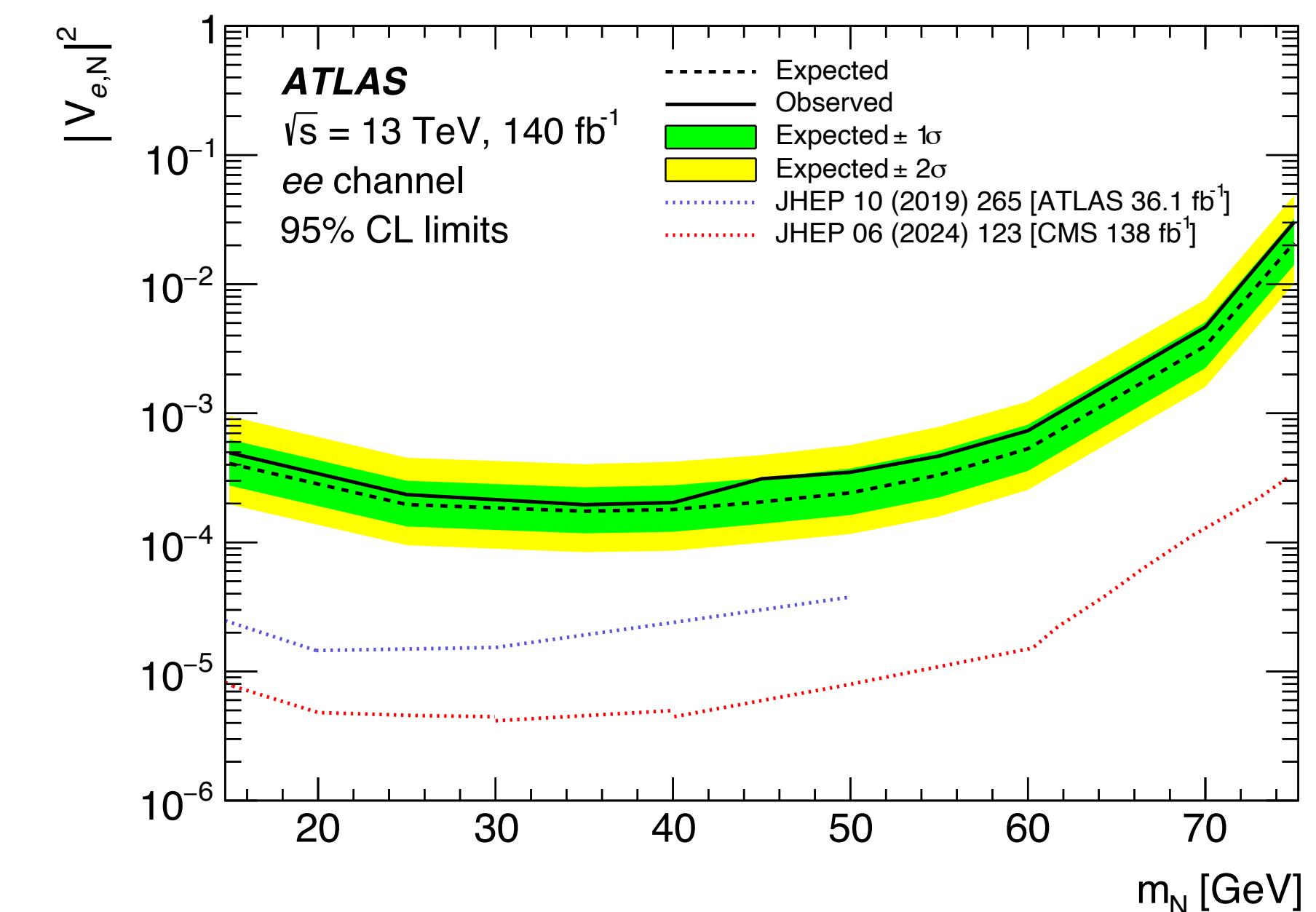
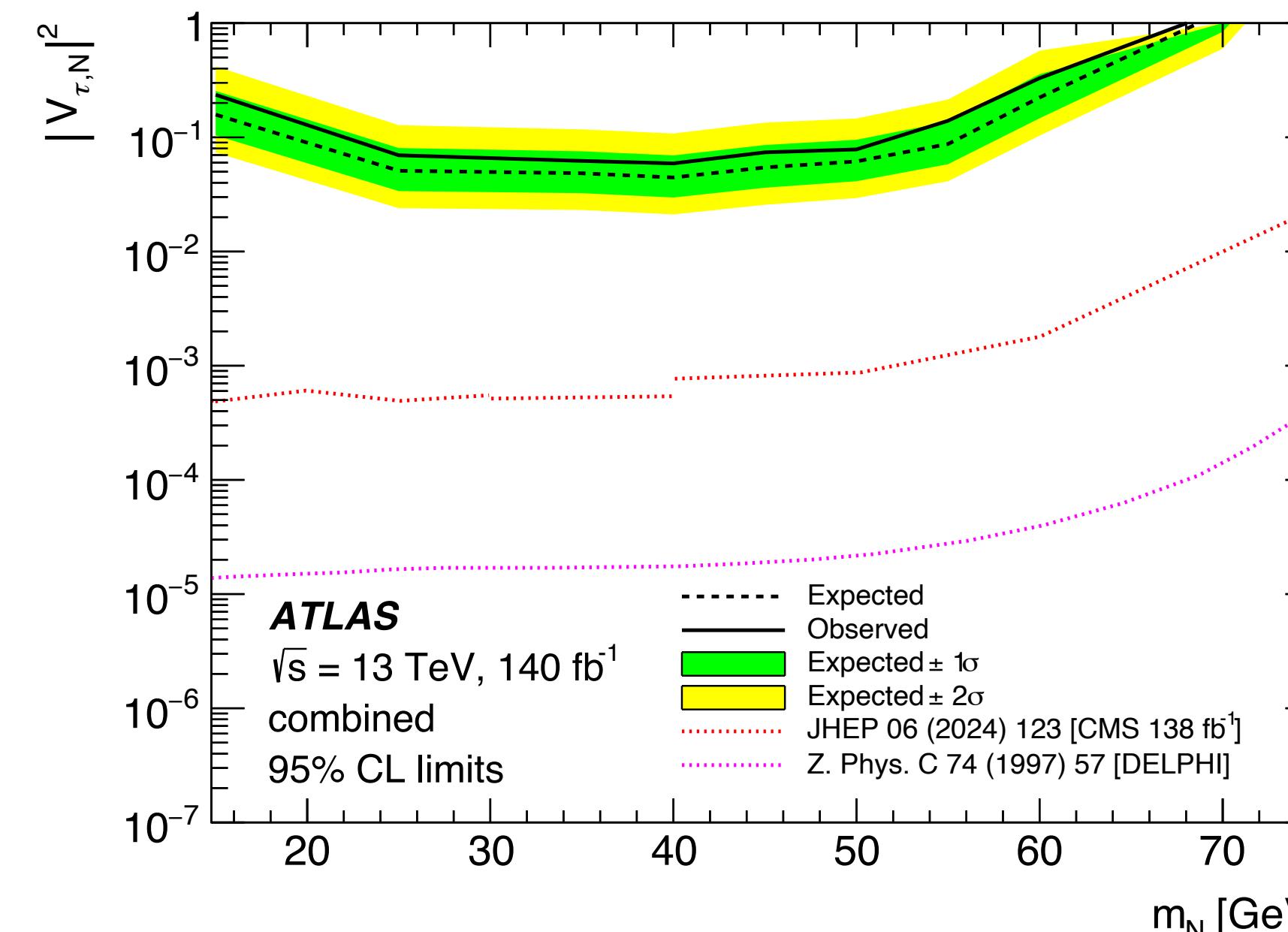
- Two BDTs trained -
  - low mass (15 - 40 GeV)
  - high mass (45 - 75 GeV)
- Mixture of signal samples and all background samples
- Key variables:
  - Invariant mass  $m_{\parallel}$
  - Missing energy -  $E_T^{\text{miss}}$
  - Invariant mass of  $W_1$  -  $m_{\parallel jj}$
  - $p_T$  of the subleading lepton  $p_T \ell_2$



- BDT found to provide better separation than the individual inputs

# Heavy neutrino via top production

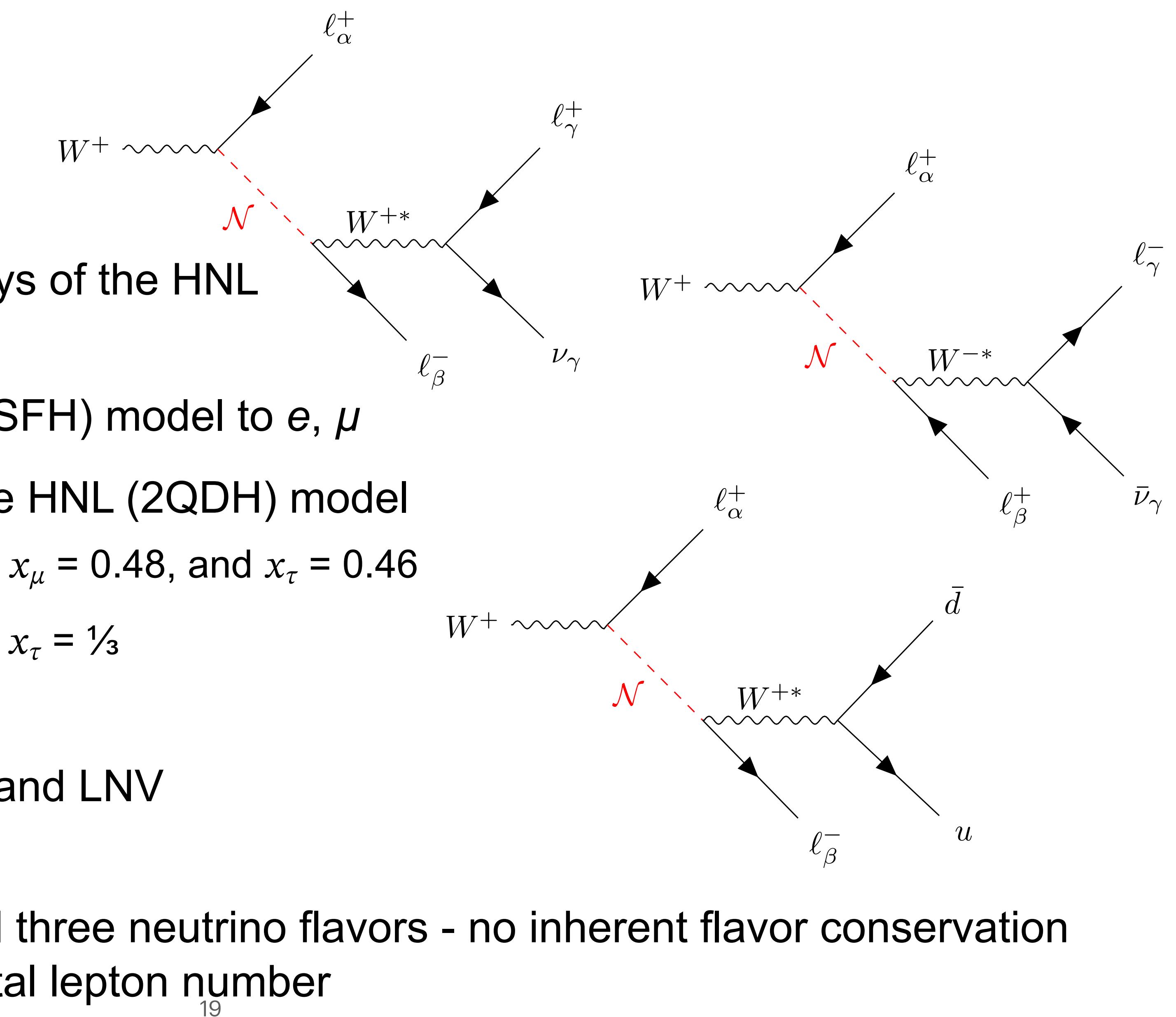
- No significant excess found
- Limits set for coupling to e,  $\mu$ , and  $\tau$ 
  - First ATLAS search to target  $\tau$  coupling
  - Extends the mass range of the previous ATLAS prompt search



# Displaced HNL search

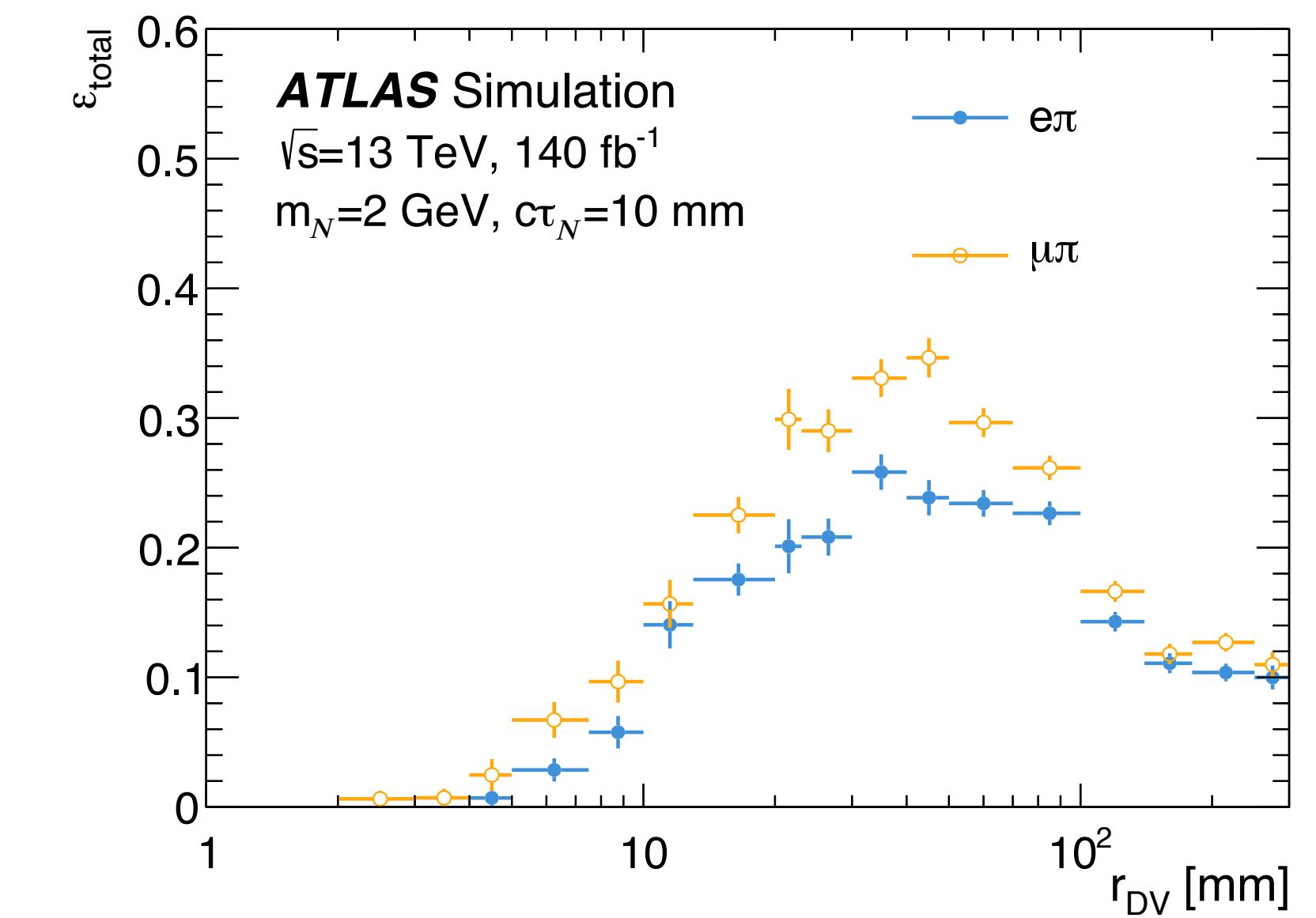
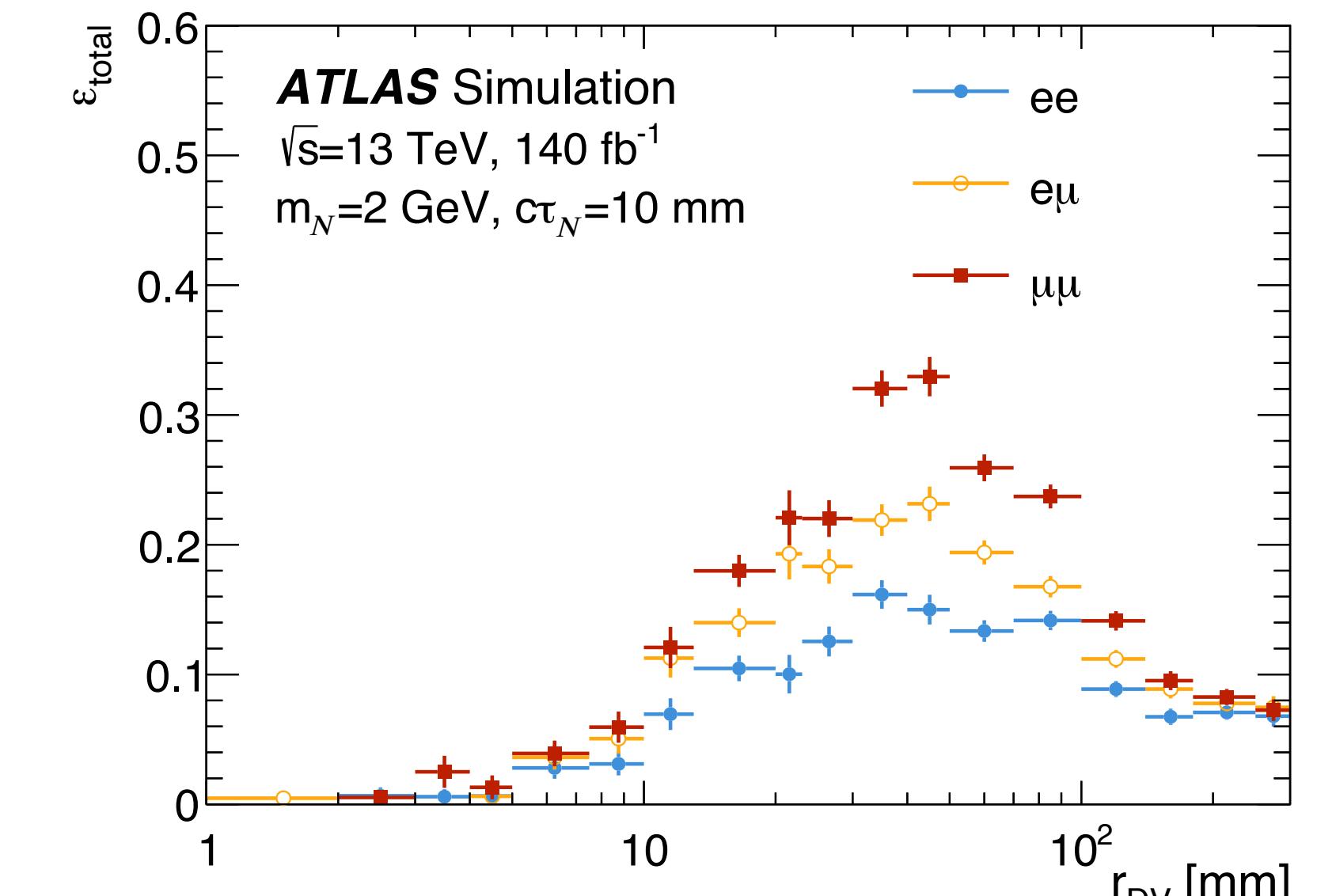
# Displaced HNL

- A search for long-lived HNLs
  - Leptonic and semi-leptonic decays of the HNL
- Analysis includes
  - Simplified single flavor mixing (1SFH) model to  $e, \mu$
  - More realistic 2 quasi-degenerate HNL (2QDH) model
    - Normal mass hierarchy:  $x_e = 0.06, x_\mu = 0.48$ , and  $x_\tau = 0.46$
    - Inverted mass hierarchy:  $x_e = x_\mu = x_\tau = \frac{1}{3}$
  - Dirac-type limits - LNC only
  - Majorana-type limits - both LNC and LNV
    - When  $M_1 \neq M_2$  but  $\Delta M \ll M_N$
  - HNL has non-zero mixing with all three neutrino flavors - no inherent flavor conservation  
 $\rightarrow$  LNC/LNV refers to the total lepton number



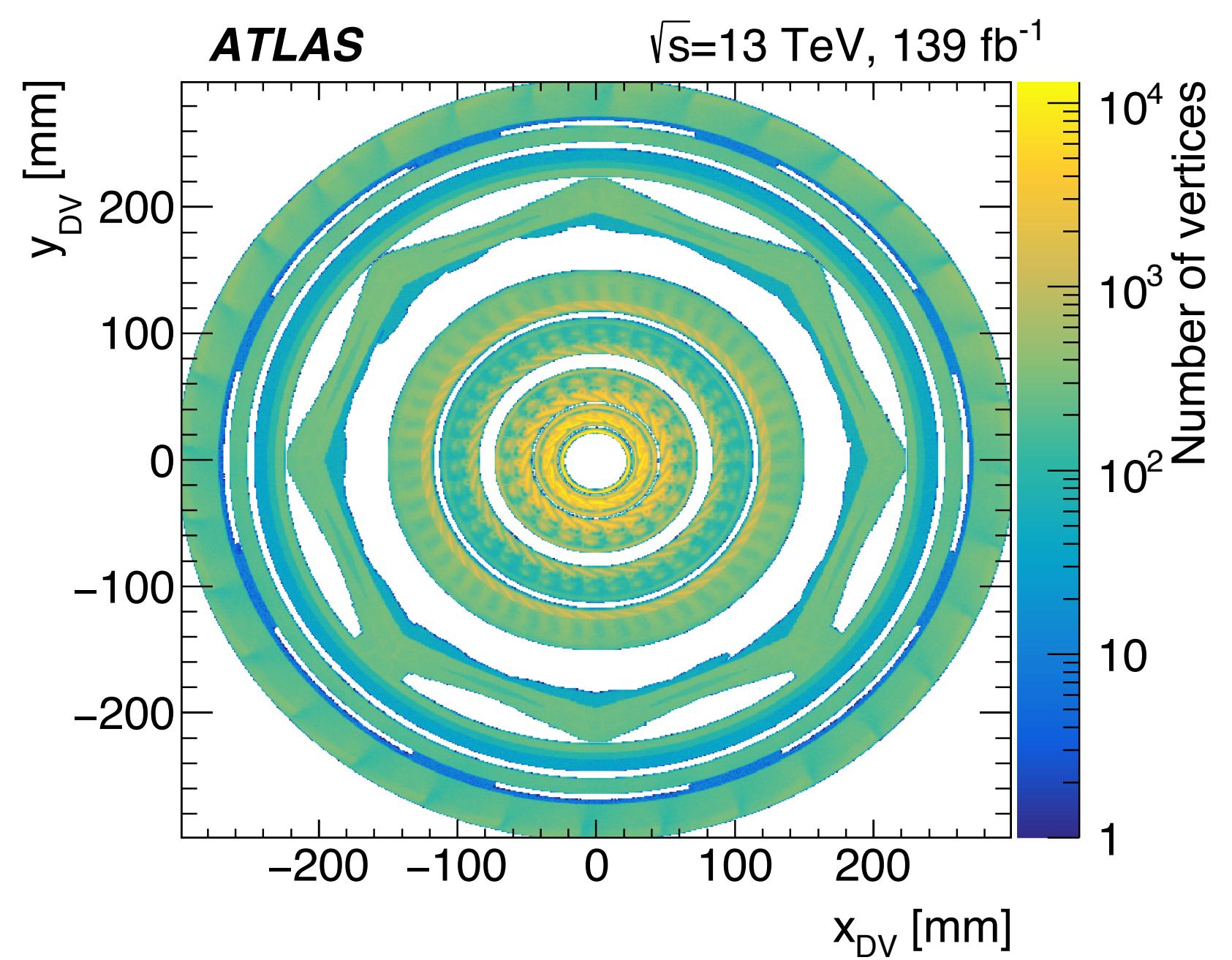
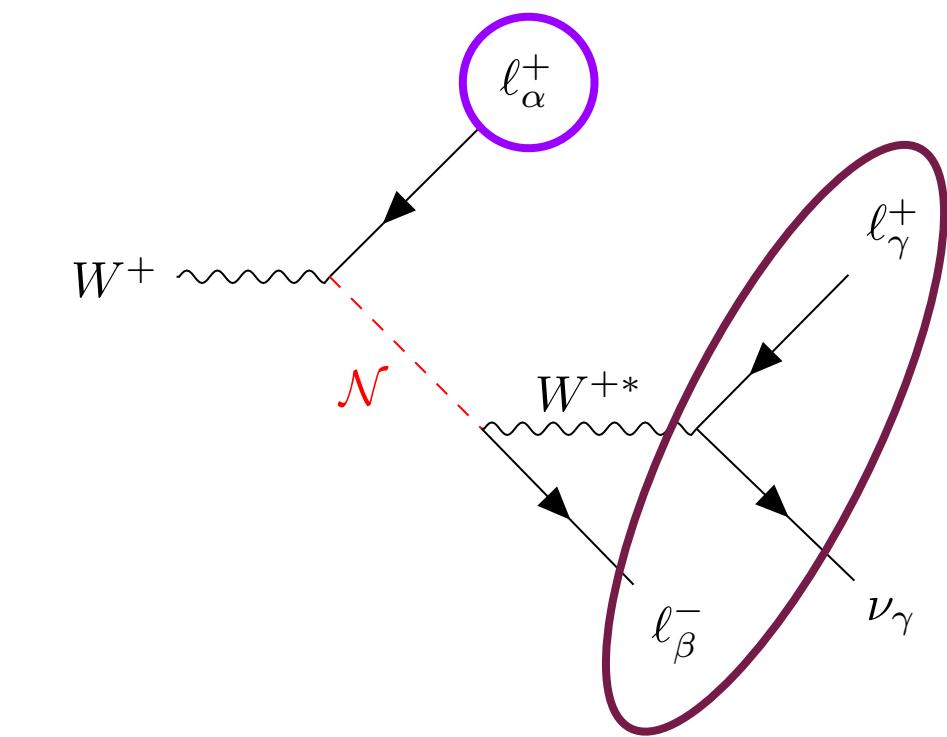
# Displaced HNL - displaced vertex

- Search targets the long-lived, less massive HNLs, with access to lower coupling values
 
$$|U_{tot}|^2 \sim \frac{1}{c\tau m_N^5}$$
- HNL mass < 20 GeV,  $c\tau$  0.1 - 1000 mm
- Decay of the HNL reconstructed as a displaced vertex (DV) via customized algorithm
  - Uses standard ATLAS tracks and displaced tracks
  - Makes a two track seed, strict track requirements
  - Track attachment step - counterintuitive but reduces background
  - Select two-track vertices with == 2 leptons (leptonic)  
== 1 lepton (semi-leptonic)



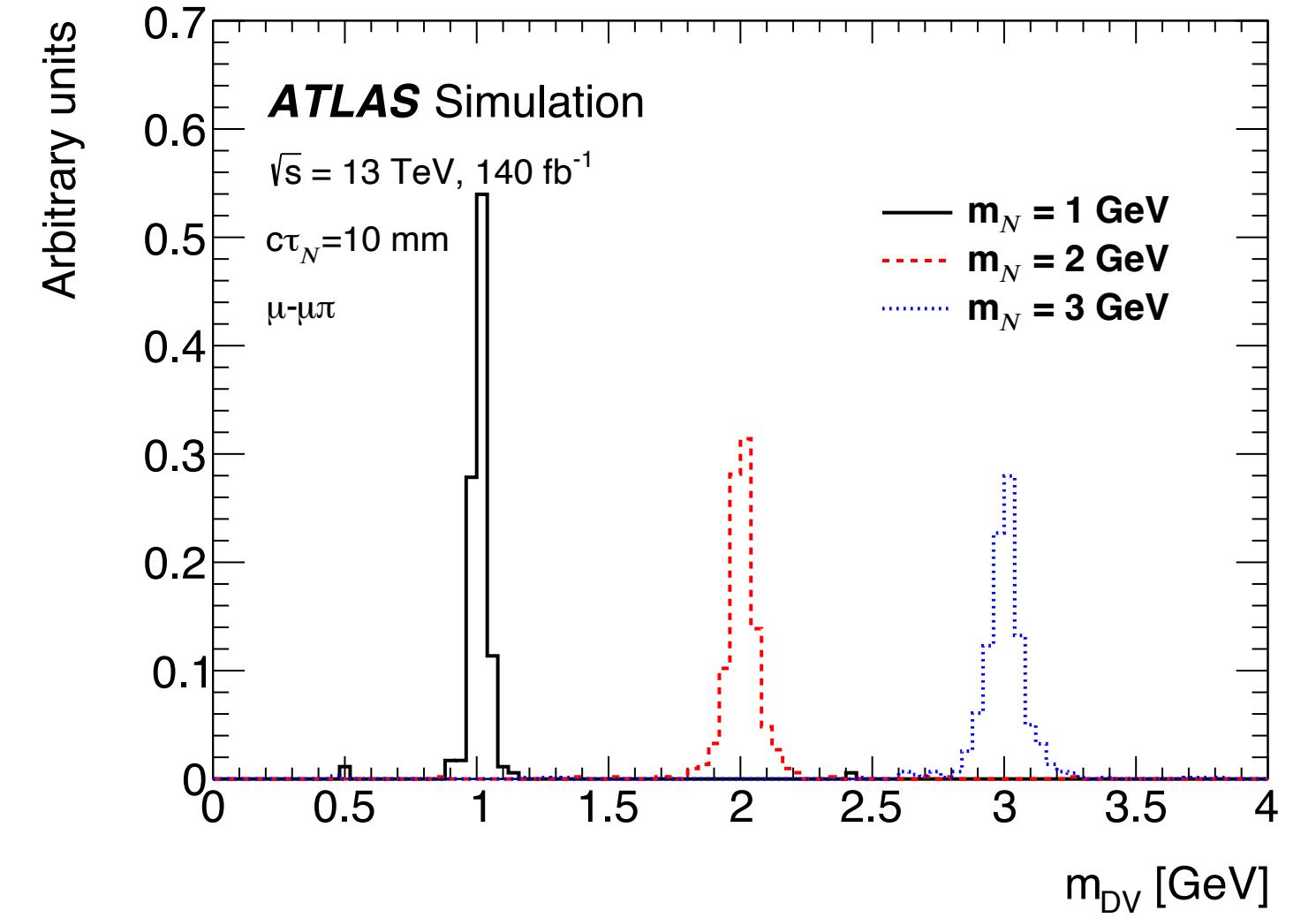
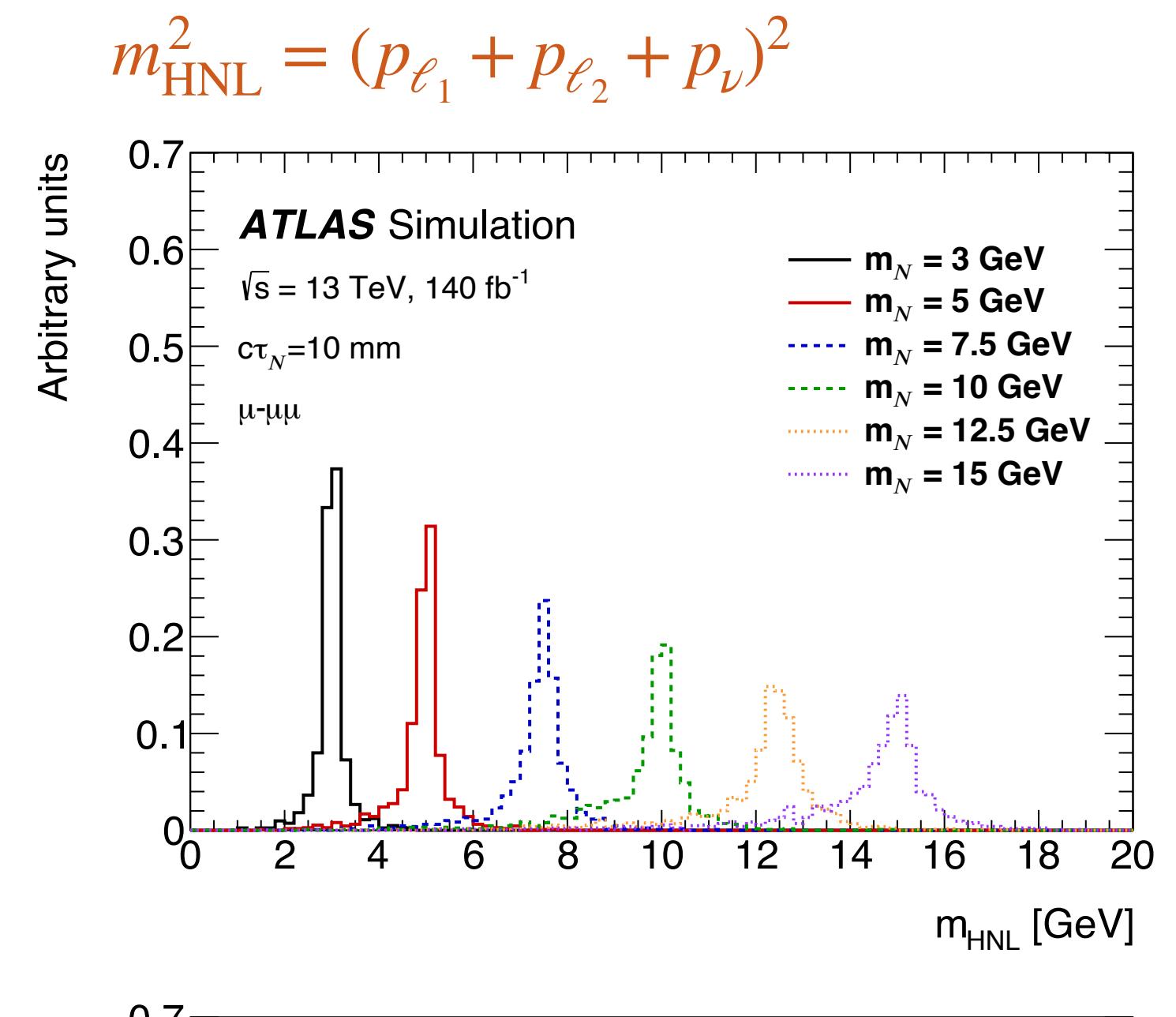
# Displaced HNL - Signal Region selections

- Final state - **prompt lepton** and **displaced vertex**
  - Single lepton trigger from prompt lepton
  - Custom 2-track displaced vertex
- Fiducial requirements:
  - Leptonic:  $4 < r_{DV} < 300$  mm, material veto on  $ee$  DVs
  - Semi-leptonic:  $20 < r_{DV} < 300$  mm,  
material veto on all DVs
    - Material veto cuts out 42% of fiducial volume
- Mass vetos in place for Z-boson,  $K_S$ ,  $J/\psi$
- Main discriminant for Signal Region - W-mass selection
  - $40 < m_{lll} < 90$  GeV,  $70 < m_{ll\pi\pi} < 90$  GeV



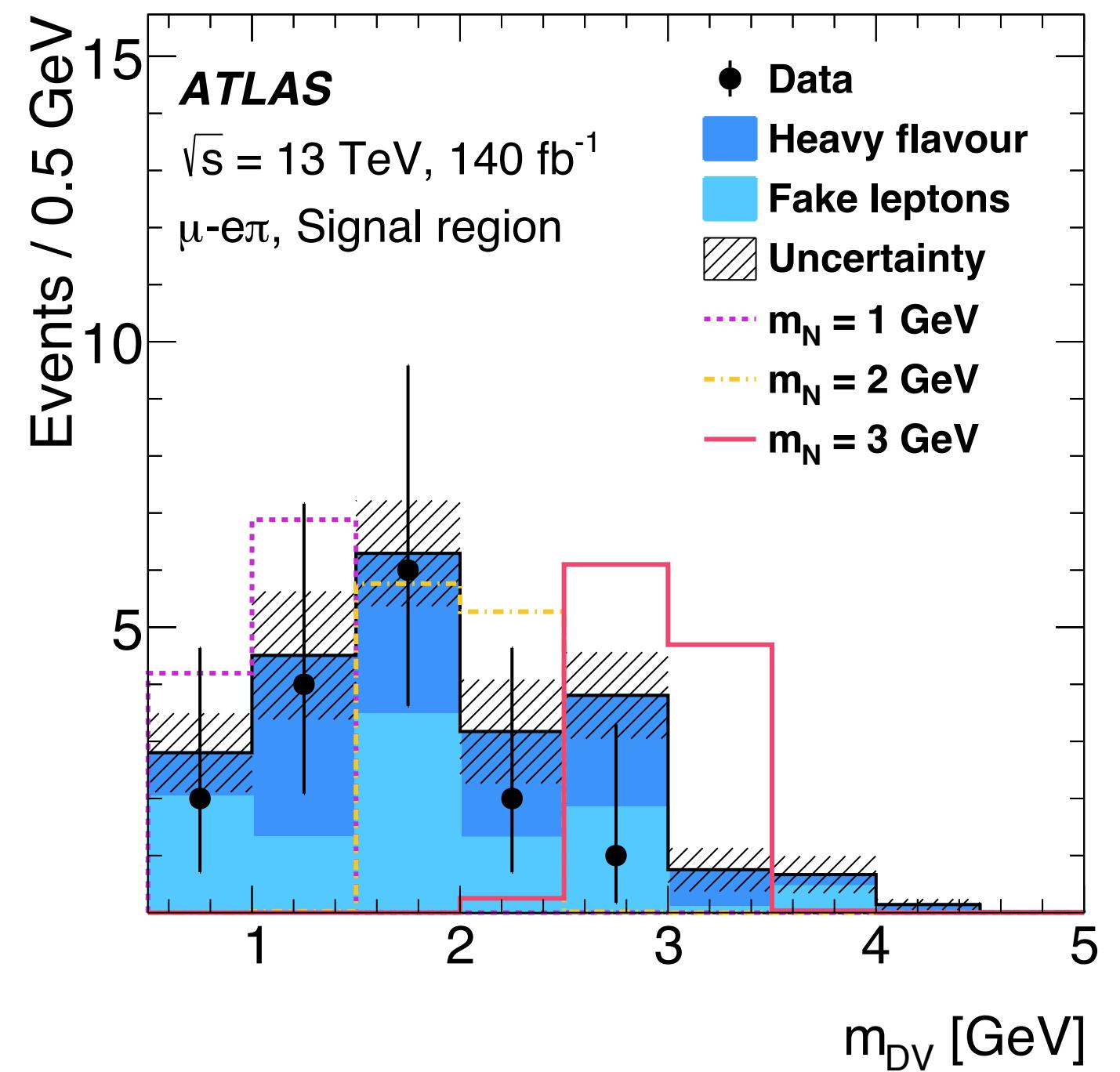
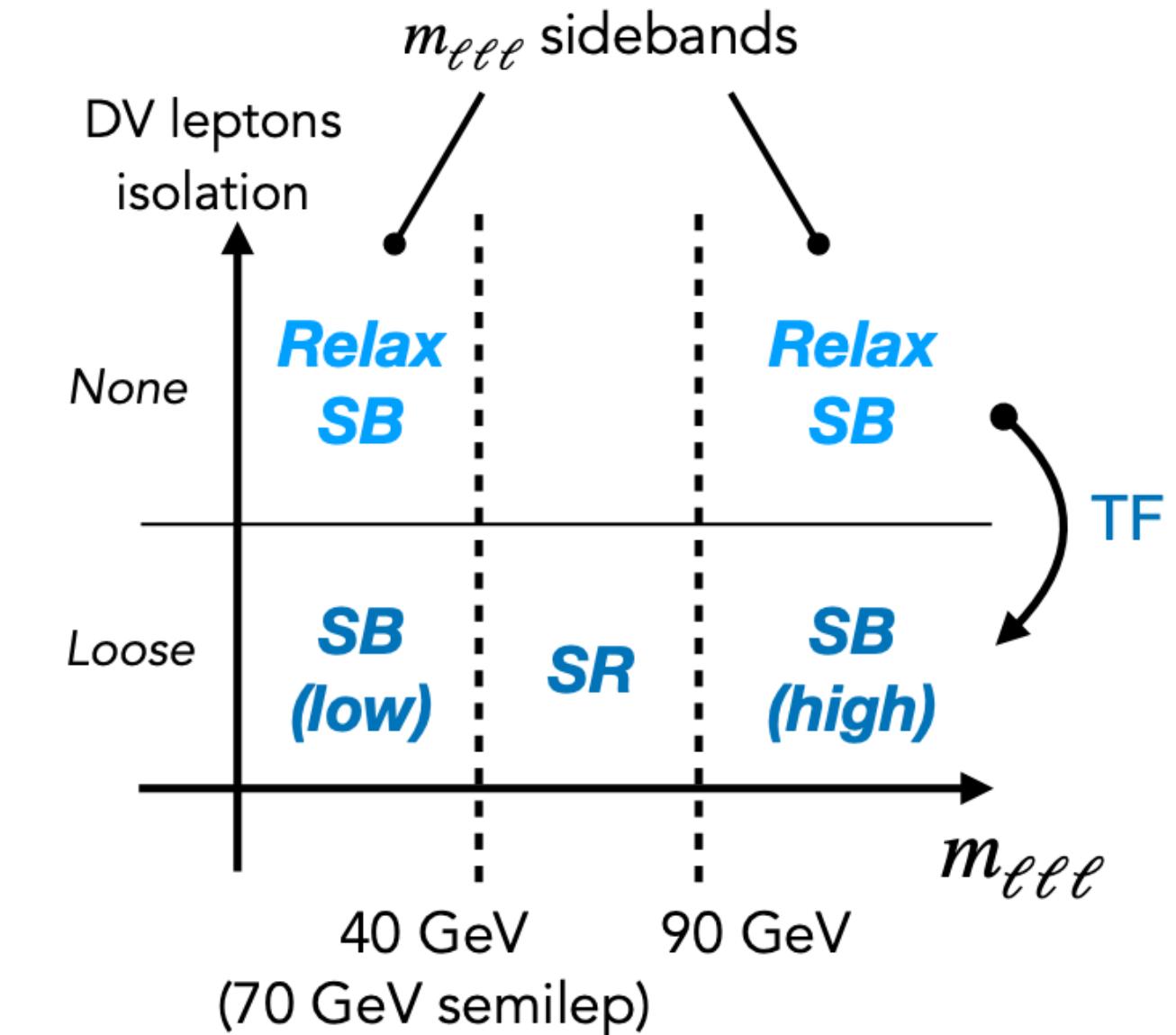
# Displaced HNL - discriminating variable

- In the leptonic channel, the reconstructed HNL mass,  $m_{\text{HNL}}$ , is calculated using the W mass and energy-momentum conservation
  - In leptonic channel  $m_{\text{DV}} < m_{\text{HNL}}$
  - Allows for clean reconstructed mass variable despite missing energy from the neutrino
  - Mass calculation fully detailed in [arXiv:2204.11988](#)
- In the semileptonic channel  $m_{\text{HNL}}$  is equivalent to  $m_{\text{DV}}$ 
  - Only charged decay products
  - $m_{\text{HNL}}$  and  $m_{\text{DV}}$  used as the fitted variable



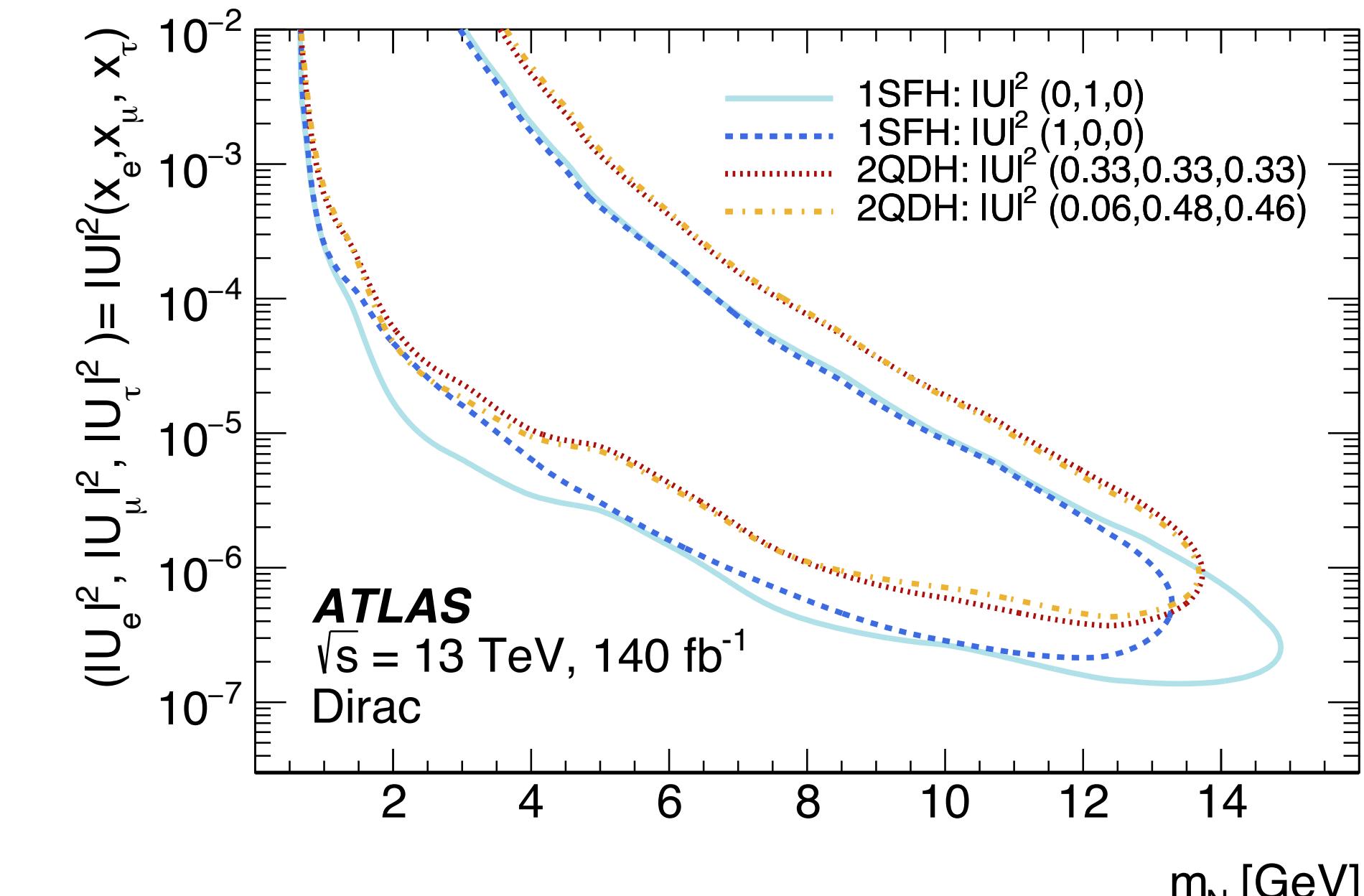
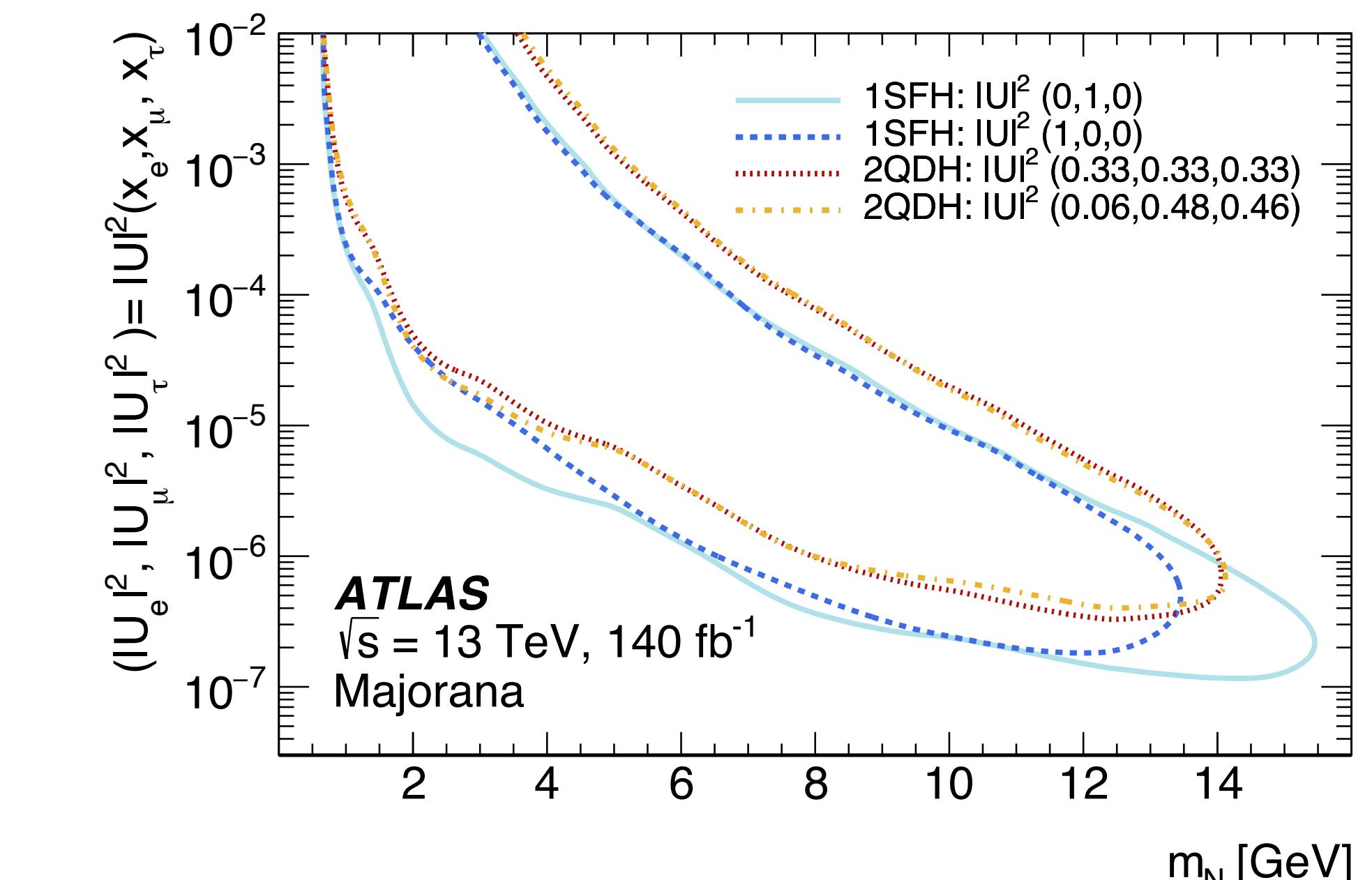
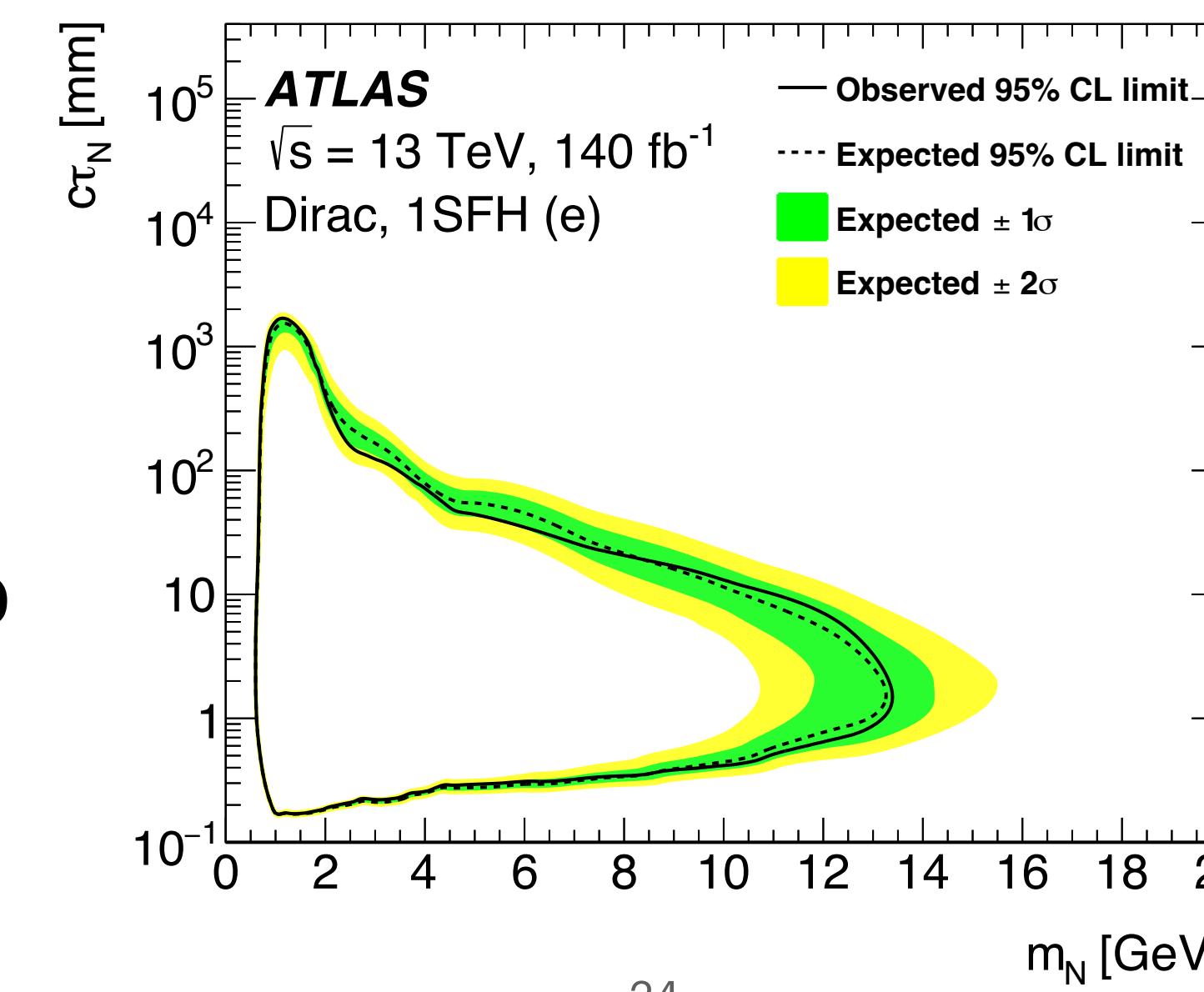
# Displaced HNL - backgrounds

- Main backgrounds after signal selection
  - Heavy flavor decays - template from MC samples of  $t\bar{t}$  and vector boson production in association with heavy-flavor jets ( $V+HF$ ) production
  - Mis-reconstructed leptons - estimated using data
  - Use background enriched “relaxed” sideband regions in the W-boson mass
    - Loosened isolation criteria
    - Heavy flavor template subtracted
  - Take shape from relaxed regions and use a transfer factor to apply to SR-like sideband regions
  - Use the average shape between low and high sidebands



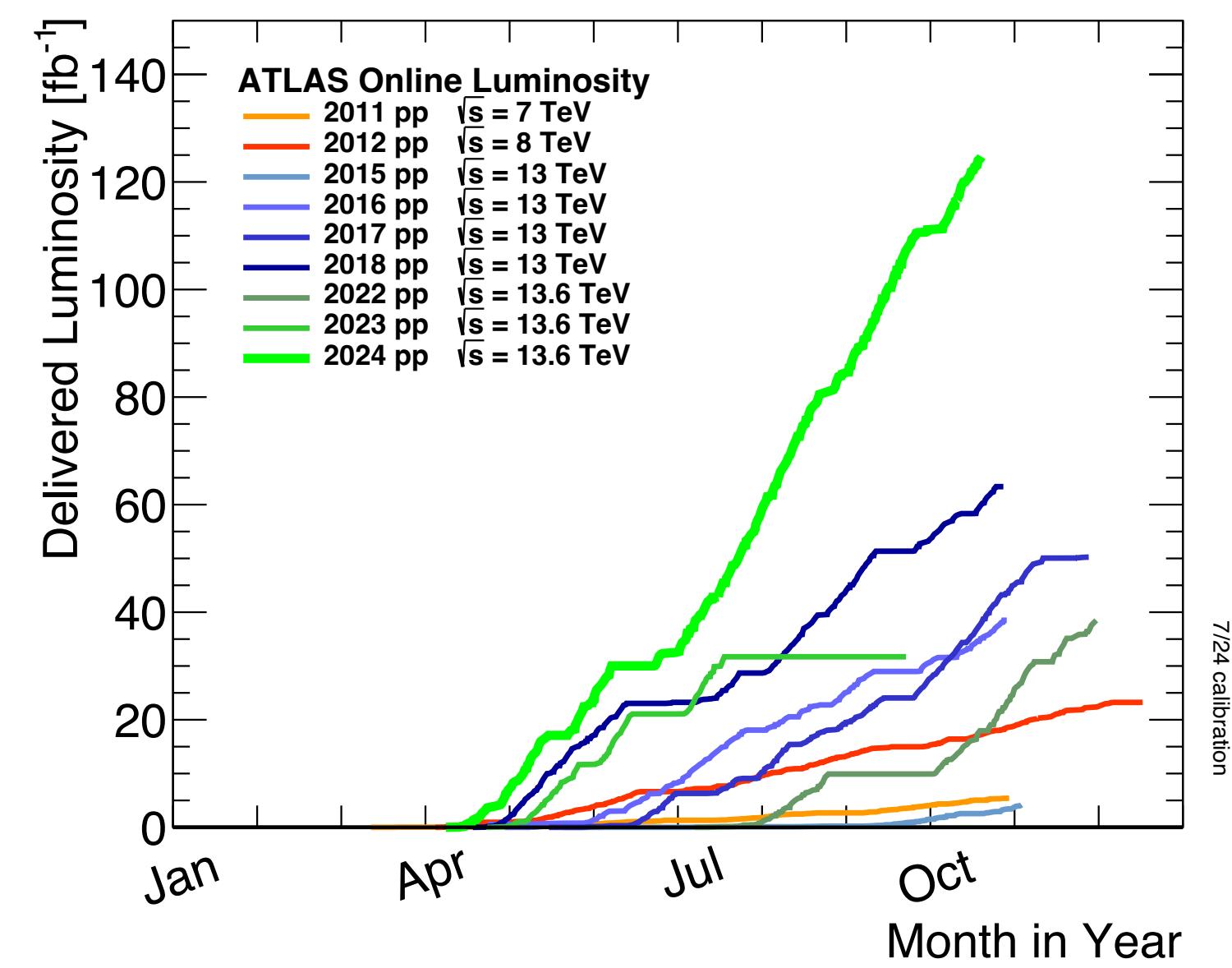
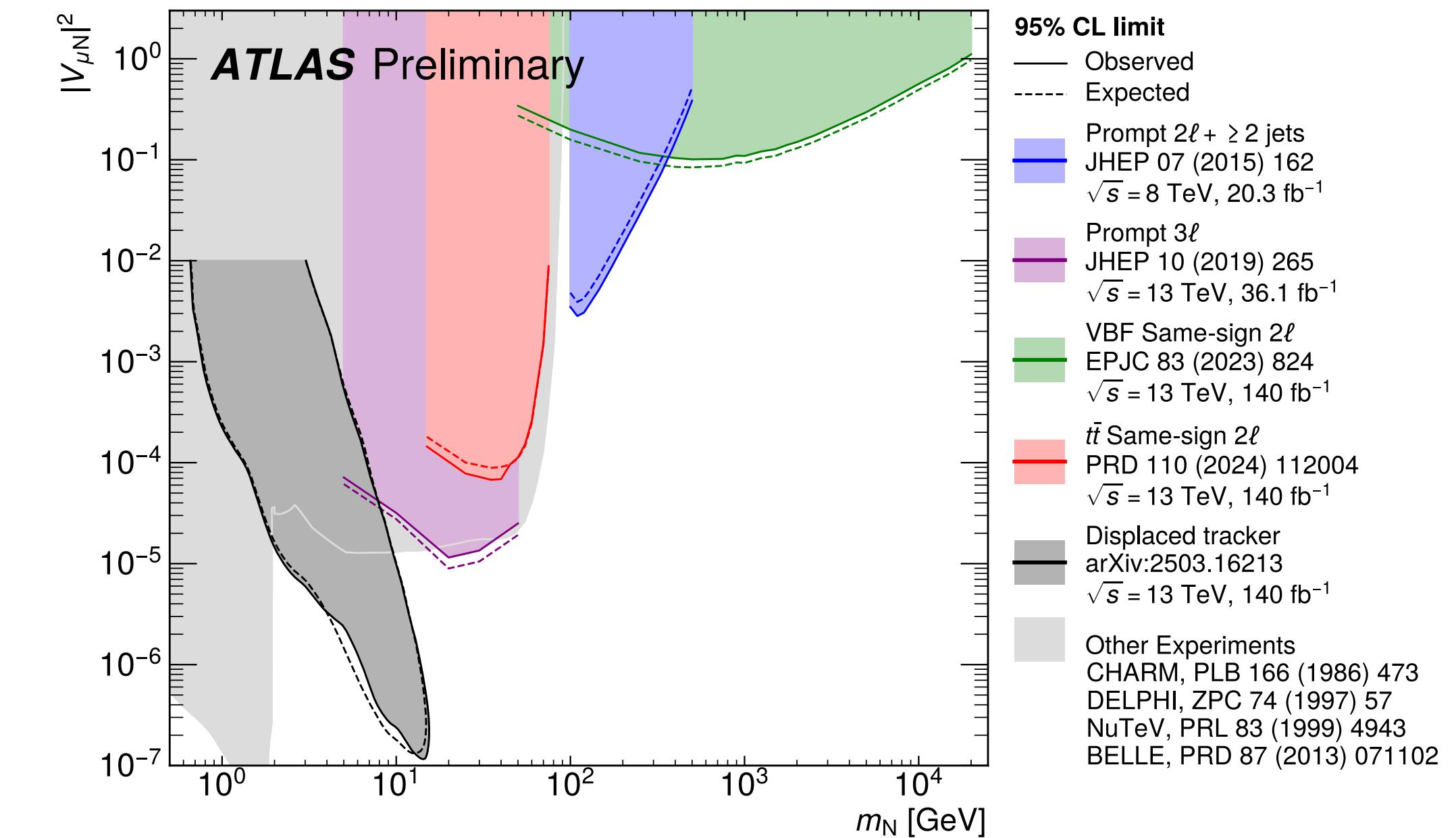
# Displaced HNL

- Fit performed across signal and control regions, with 21 bins across the  $m_{\text{HNL}}$  and  $m_{\text{DV}}$  variables
- No significant excess found - limits set at 95% CL
- Extending limits from previous ATLAS searches
- Complementary in mass and lifetime reach to other ATLAS searches
- First ATLAS search to include semi-leptonic decays



# Overall

- Very exciting HNL search program at ATLAS
  - Some older results in the backup and new coming soon
  - No new physics yet :(
- Only just getting started on searches with Run 3 data
- Plenty of upgrades underway to prepare for the HL-LHC era
- Thanks for listening!

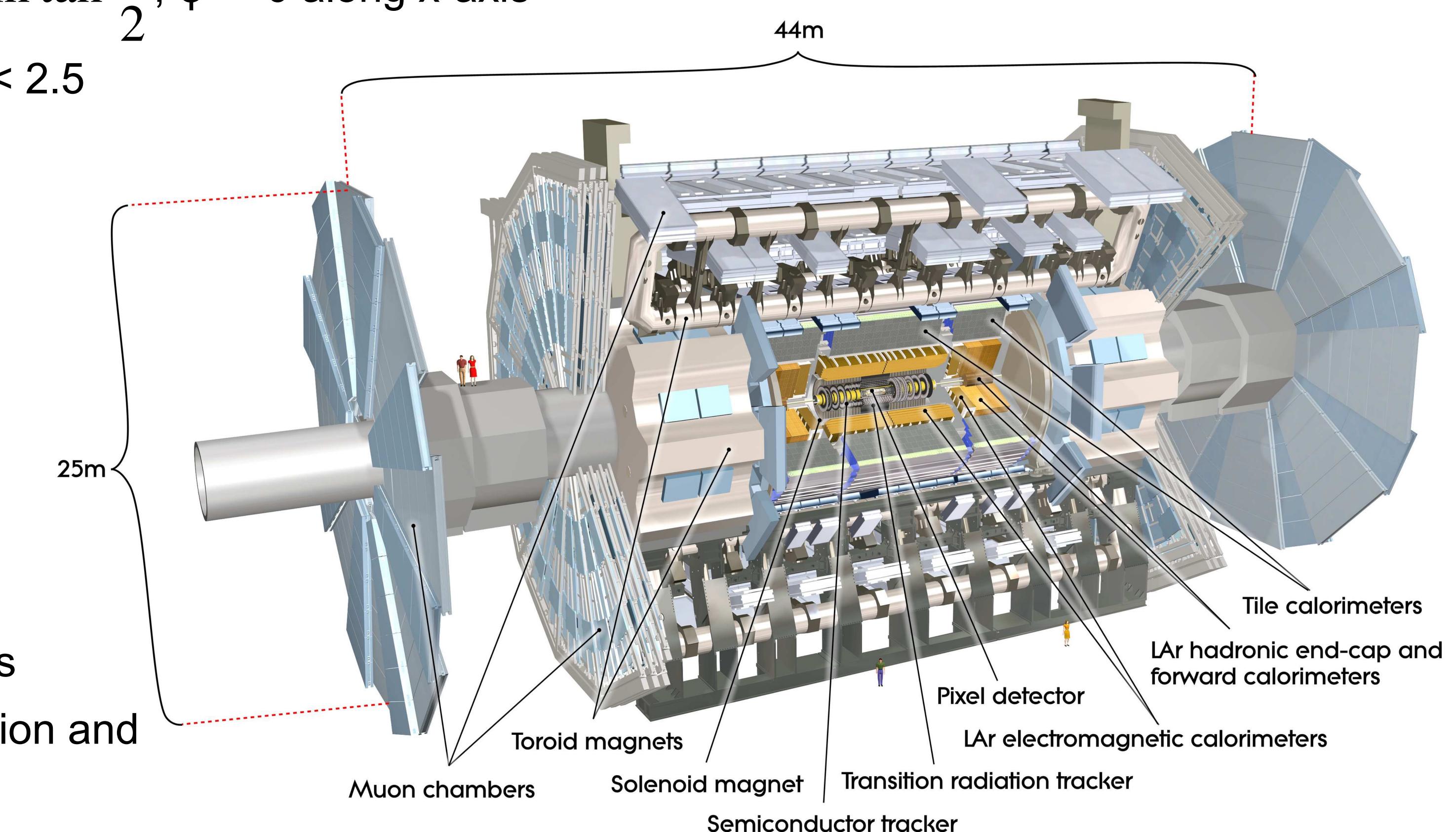


# Backup



# The ATLAS detector (LHC Run 2 edition)

- A forward-backward symmetric,  $4\pi$  coverage, right-handed coordinate system  
(x – towards LHC center, y – up, z – along beamline)
- Cylindrical geometry  $\rightarrow R = \sqrt{x^2 + y^2}$ ,  $\eta = -\ln \tan \frac{\theta}{2}$ ,  $\varphi = 0$  along x-axis
- Inner detector (ID) -  $R < \sim 1\text{m}$ ,  $|z| < \sim 3\text{m}$ ,  $|\eta| < 2.5$ 
  - Pixel, SCT - silicon, precision tracking
  - TRT - electron ID
- Calorimeters
  - EM calorimeter (LAr/lead)
  - Hadronic calorimeter (steel/scintillator tile),  
(copper/LAr)
- Muon spectrometer (MS)
  - MDT and CSC - precision tracking
  - TGC and RPC - triggering,  $\varphi$  measurements
- Two-tiered trigger system with L1 online selection and HLT offline selection



# Heavy right handed $W_R$ and $N_R$

backup

# Heavy right handed $W_R$ and $N_R$ -selections

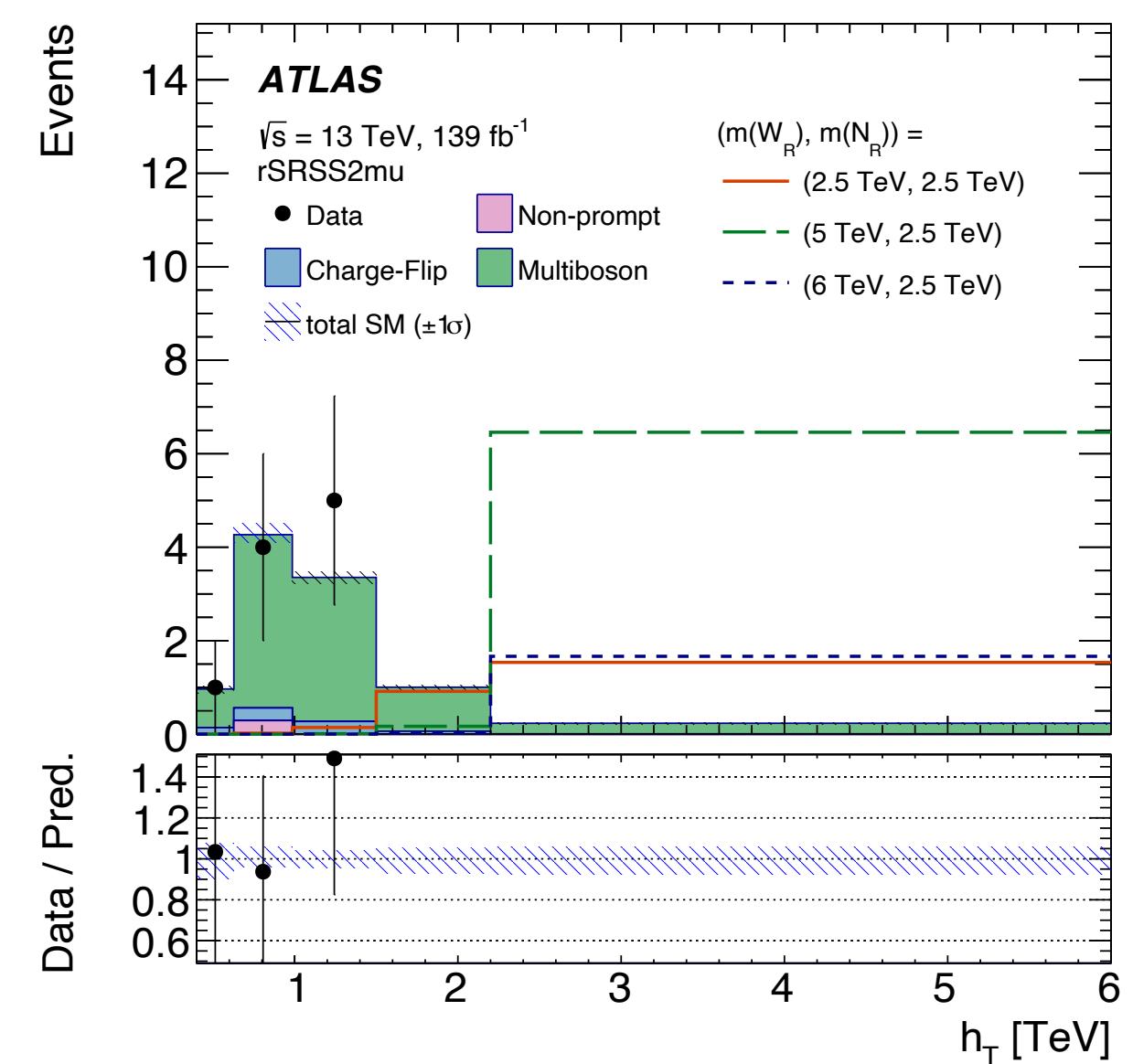
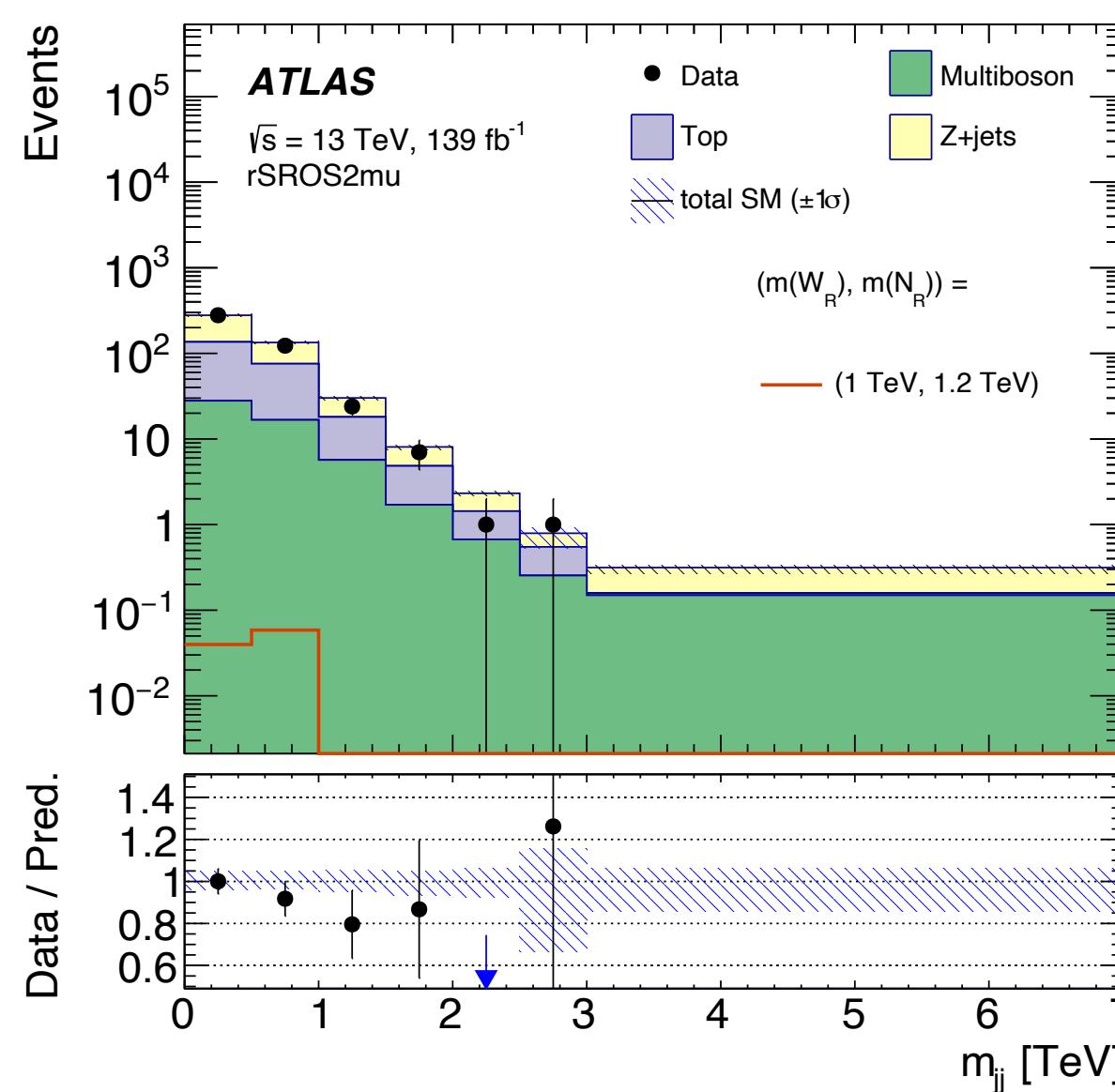
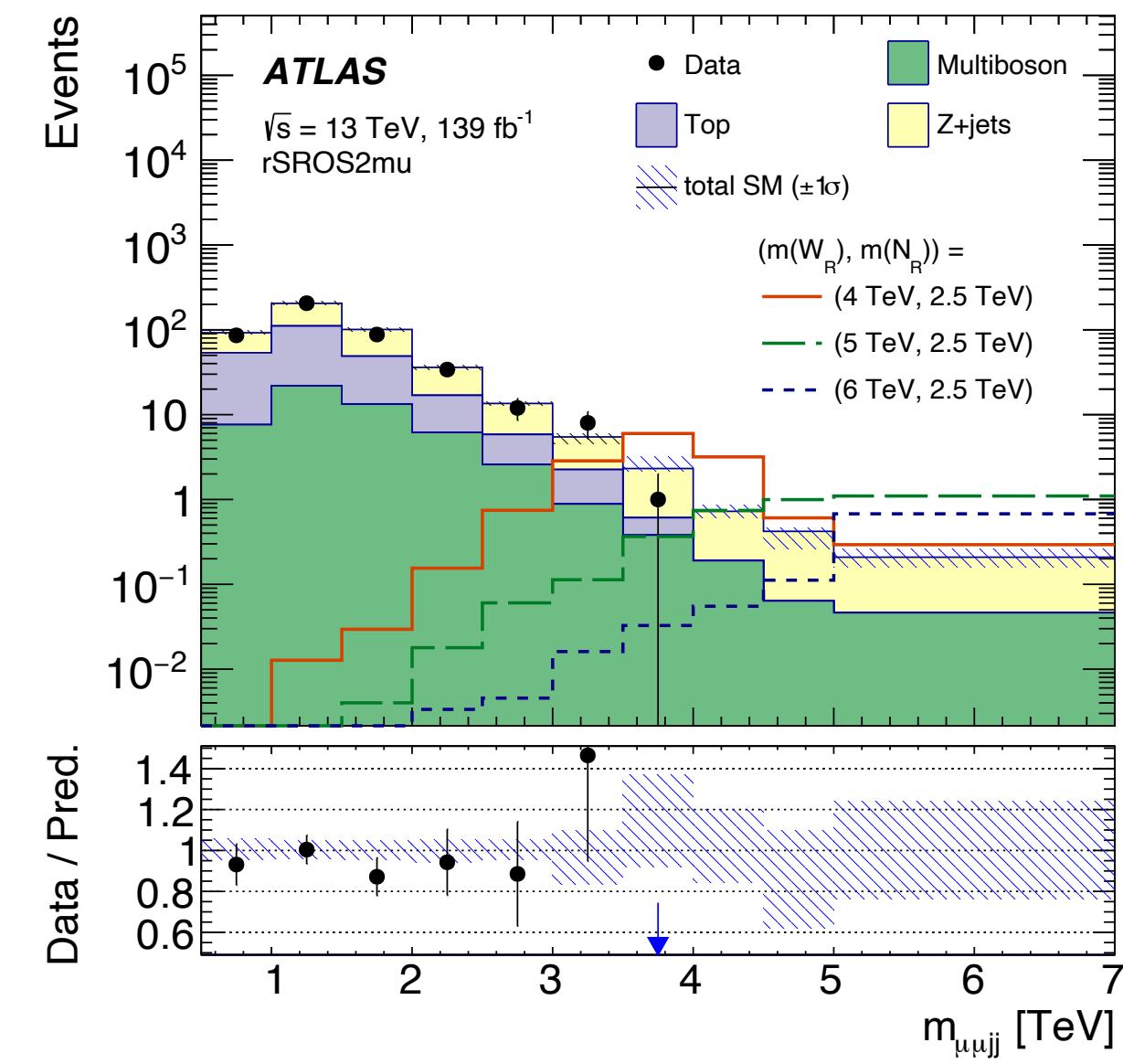
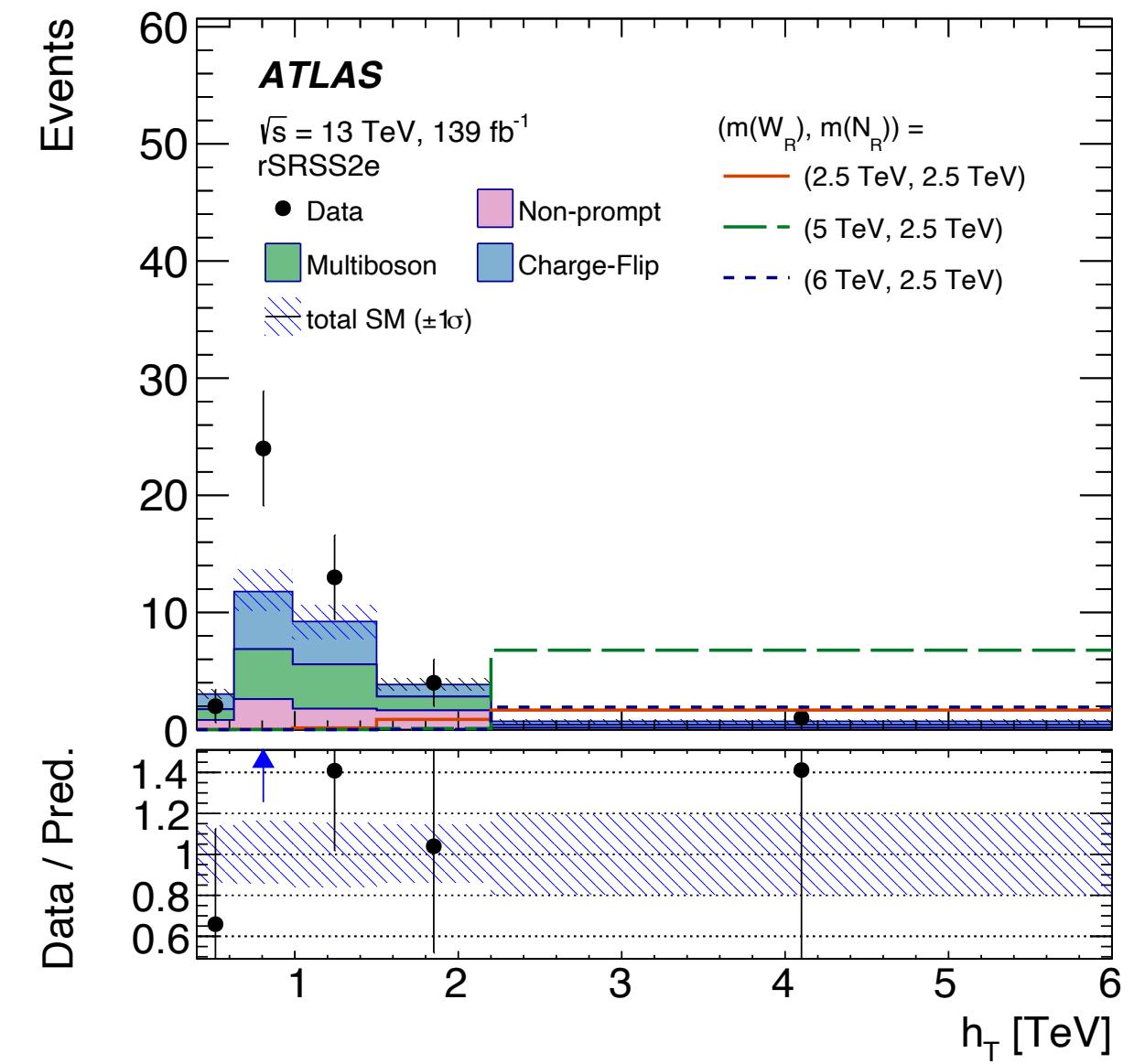
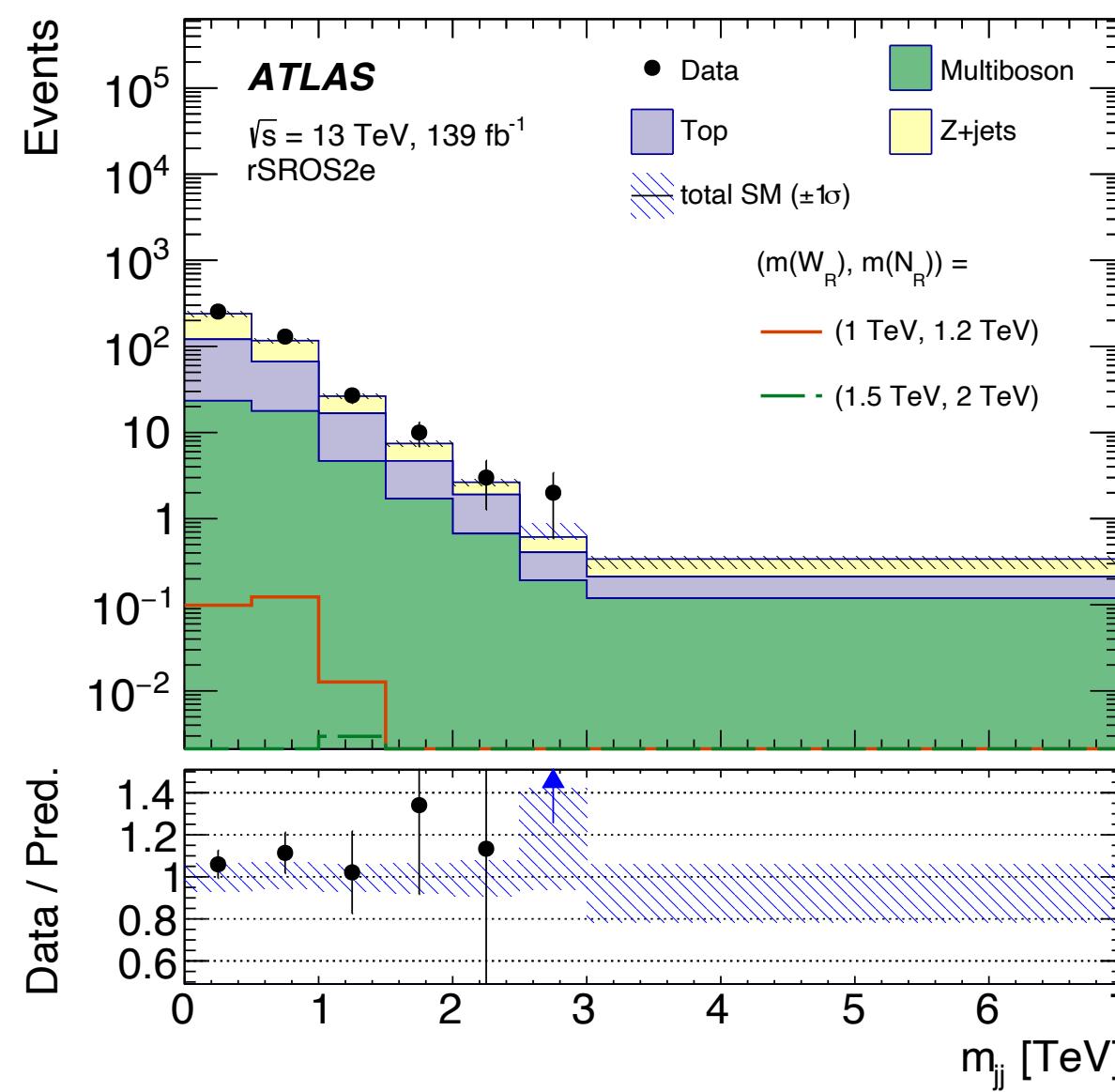
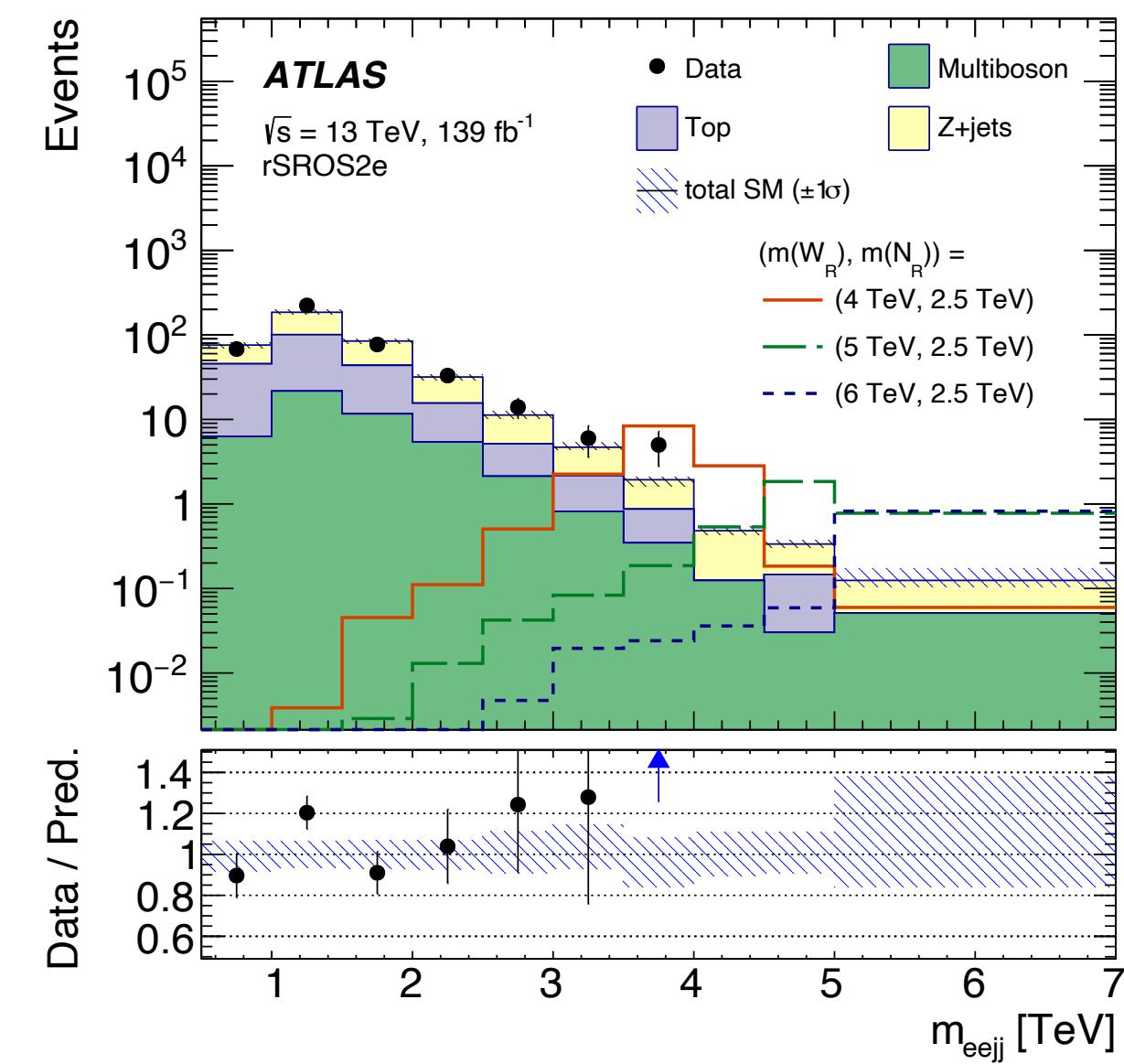
		Resolved		Boosted		
		Baseline	Fake estimation	Baseline	Leading	Fake estimation
Electrons	$ \eta $					
	$p_T$ (GeV)					
	Quality	Tight	Loose	Medium	Tight	
	Isolation	Loose	Fail Loose or Tight	Loose	HighPtCaloOnly	Loose but fail
						HighPtCaloOnly
		Baseline	Fake estimation	Baseline	Leading	
Muons	$p_T$ (GeV)					
	$ \eta $					
	Quality		High- $p_T$ if $p_T > 300$ GeV else Medium	Medium	Tight	
	Isolation	FixedCutTightTrackOnly	fail FixedCutTightTrackOnly	—	Tight	—
Small- $R$ jet	$p_T$ (GeV)					
	$ \eta $					
Large- $R$ jet	$p_T$ (GeV)	—				
	$ \eta $	—				

Order	Object discarded	Object kept	Matching condition
1.	Electron	Electron	If two electrons share a track, discard the softer electron
2.	Muon	Electron	If they share a track and the muon type is calorimeter-tagged
3.	Electron	Muon	If they share a track with the remaining muon
4.	Small- $R$ jet	Electron	$\Delta R < 0.2$ , but step is skipped if jet is $b$ -tagged and $p_T(e) < 100$ GeV
5.	Electron	Small- $R$ jet	$\Delta R < 0.4$
6.	Small- $R$ jet	Muon	$\Delta R < 0.2$ , number of tracks associated to the jet $< 3$ , $p_T(\mu)/p_T(j) > 0.5$ and $p_T(\mu)/\sum p_T(\text{trk}) > 0.7$
7.	Muon	Small- $R$ jet	$\Delta R < 0.4$

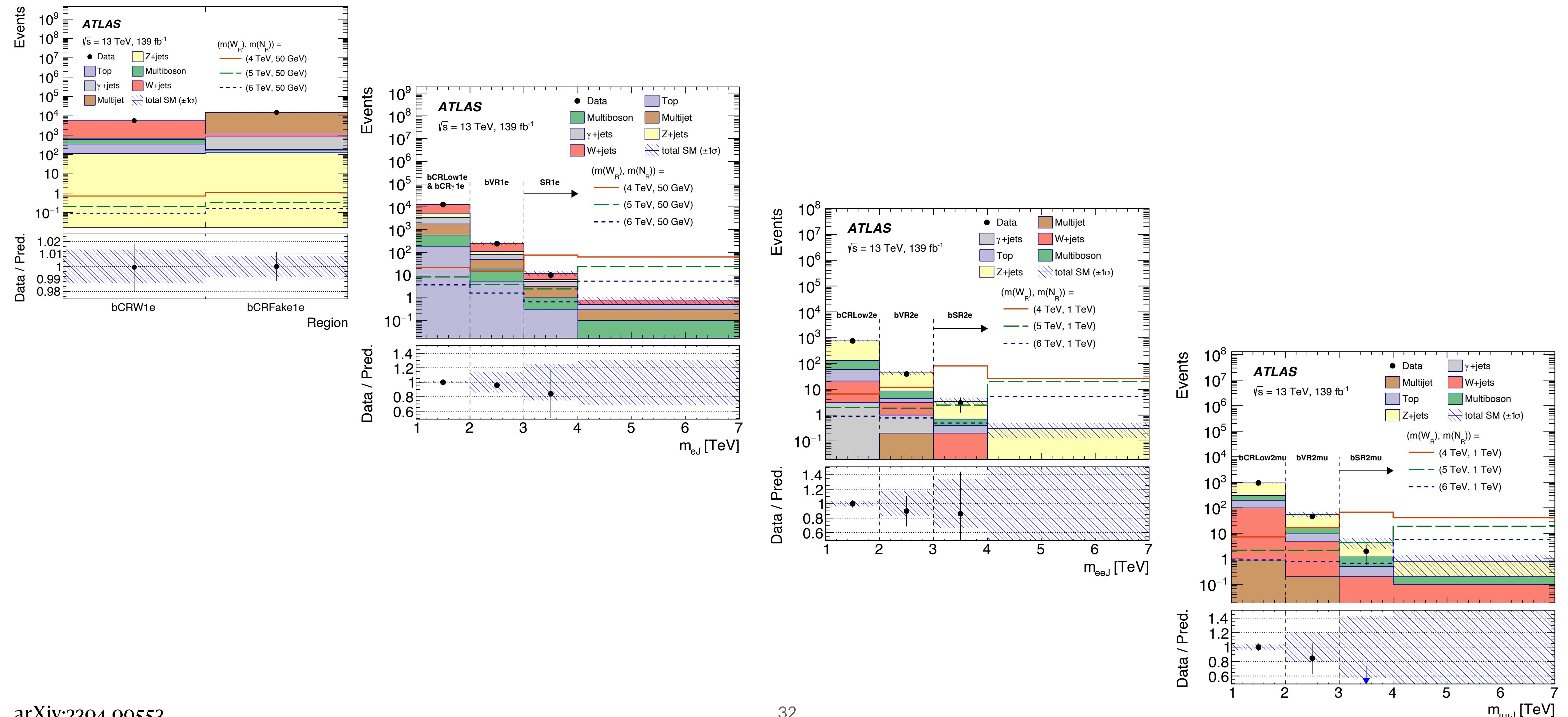
# Heavy right handed $W_R$ and $N_R$ - Signal regions

Variable	rSRSS2e	rSRSS2mu	rSROS2e	rSROS2mu
Number of electrons	2	0	2	0
Number of muons	0	2	0	2
Lepton charge	same sign		opposite sign	
Leading lepton $p_T$ [GeV ]			> 40	
Dilepton mass $m_{\ell\ell}$ [GeV ]			> 400	
$\Delta R_{\ell\ell}$		< 3.9		—
Number of small- $R$ jets with $p_T > 100$ GeV			$\geq 2$	
Number of $b$ -tagged jets			0	
Dijet mass $m_{jj}$ [GeV ]			> 110	
$h_T \equiv p_T(\ell_1) + p_T(\ell_2) + p_T(j_1) + p_T(j_2)$ [GeV ]			> 400	

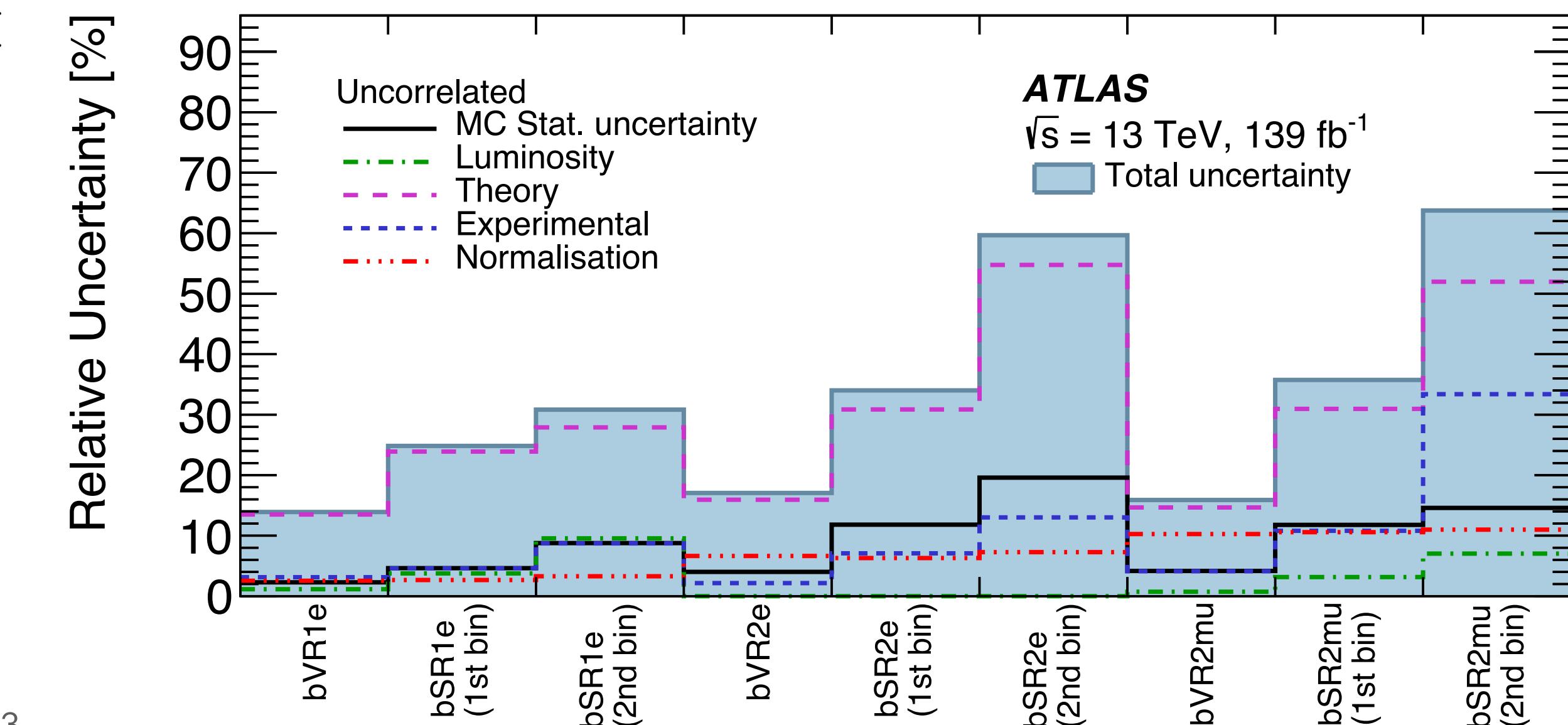
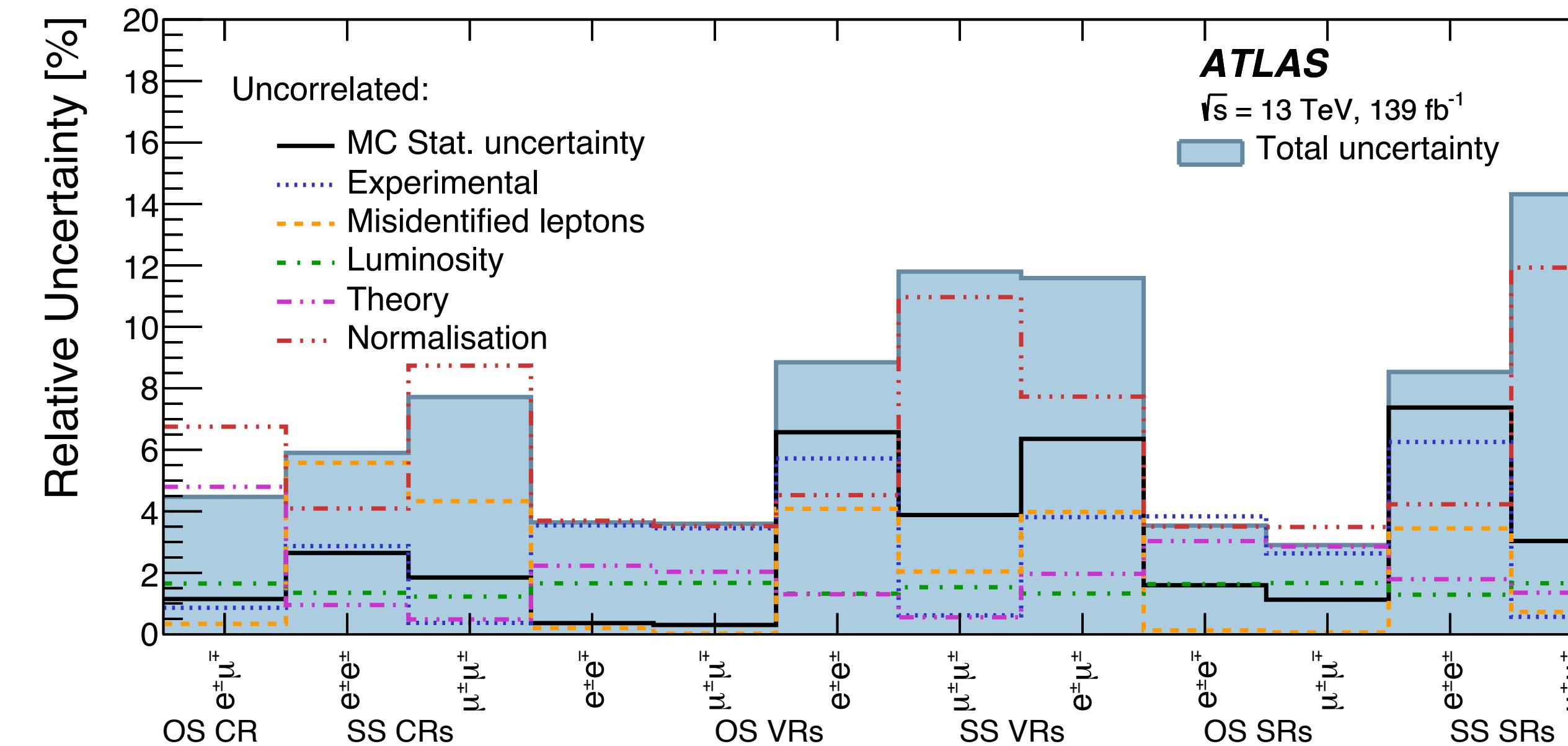
Region	bSR1e (higher $\Delta m$ )	bSR2e (lower $\Delta m$ )	bSR2mu
Number of large- $R$ jets			1
Number of electrons	1	2	0
Number of muons	0	0	2
Leading lepton $p_T$ [GeV ]			> 200
$E_T^{\text{miss}}$ [GeV ]	< 200		—
$ \cos \theta $	> 0.7	—	—
$\Delta\phi_{J,\ell_1}$			> 2.0
$\Delta\eta_{J,\ell_1}$	< 2.0	—	—
Dilepton $p_T$ (GeV )	—	—	> 200
Dilepton mass $m_{\ell\ell}$ [GeV ]	—		> 200
Number of $b$ -tagged small- $R$ jets			0



# Heavy right handed $W_R$ and $N_R$ - fits in boosted SRs (and CR)



# Heavy right handed $W_R$ and $N_R$ - Uncertainties



# Heavy right handed $W_R$ and $N_R$ - event yields (resolved)

Resolved channel: fit results in signal regions							
# of events	OS $ee$		OS $\mu\mu$		SS $ee$		SS $\mu\mu$
Observed in data	426		435		44		10
Total background	414	$\pm$ 15	451	$\pm$ 13	33.4	$\pm$ 2.8	9.6 $\pm$ 1.4
$WW/ZZ$	35	$\pm$ 10	43	$\pm$ 11	1.53	$\pm$ 0.41	0.18 $\pm$ 0.05
$WZ/SS\ WW$	10.9	$\pm$ 1.6	6.82	$\pm$ 0.95	9.8	$\pm$ 1.5	8.3 $\pm$ 1.3
Z+jets OS	191	$\pm$ 12	217	$\pm$ 11	—	—	—
Z+jets SS	—	—	—	—	8.4	$\pm$ 2.7	—
$t\bar{t}$	151	$\pm$ 14	164	$\pm$ 15	4.0	$\pm$ 1.2	—
fakes	9.95	$\pm$ 0.60	1.36	$\pm$ 0.36	7.6	$\pm$ 1.3	0.30 $\pm$ 0.07
single top	13.6	$\pm$ 1.3	16.2	$\pm$ 1.0	1.07	$\pm$ 0.32	—
rare top	2.45	$\pm$ 0.15	2.41	$\pm$ 0.16	0.87	$\pm$ 0.07	0.83 $\pm$ 0.11
multiboson	0.29	$\pm$ 0.02	—	—	0.13	$\pm$ 0.01	—

# Heavy right handed $W_R$ and $N_R$ - event yields (boosted, 1e, 2e)

Boosted channel: fit results in 1e regions						
# of events	bCRLow1e	bCR $\gamma$ 1e	bVR1e	bSR1e 1 <sup>st</sup> bin	bSR1e 2 <sup>nd</sup> bin	
Observed in data	7988	4611	239	10	0	
Total background	$7983 \pm 90$	$4611 \pm 68$	$249 \pm 35$	$11.9 \pm 3.0$	$0.81 \pm 0.25$	
$W + \text{jets}$	$5850 \pm 160$	$1500 \pm 120$	$141 \pm 33$	$5.6 \pm 2.7$	$0.2 \pm 0.2$	
$\gamma + \text{jets}$	$279 \pm 52$	$1370 \pm 160$	$31.6 \pm 5.4$	$2.03 \pm 0.52$	$0.21 \pm 0.09$	
dijet	$89 \pm 54$	$1102 \pm 39$	$29.1 \pm 4.8$	$2.20 \pm 0.75$	$0.24 \pm 0.12$	
$Z + \text{jets}$	$1350 \pm 110$	$483 \pm 39$	$29.4 \pm 6.0$	$1.12 \pm 0.46$	—	
diboson	$310 \pm 160$	$88 \pm 44$	$13.5 \pm 6.9$	$0.67 \pm 0.42$	$0.06 \pm 0.04$	
$t\bar{t}$	$59 \pm 38$	$53 \pm 33$	$3.0 \pm 1.8$	$0.18 \pm 0.11$	—	
Single top	$46 \pm 29$	$18 \pm 11$	$2.0 \pm 2.0$	$0.10^{+0.17}_{-0.10}$	—	

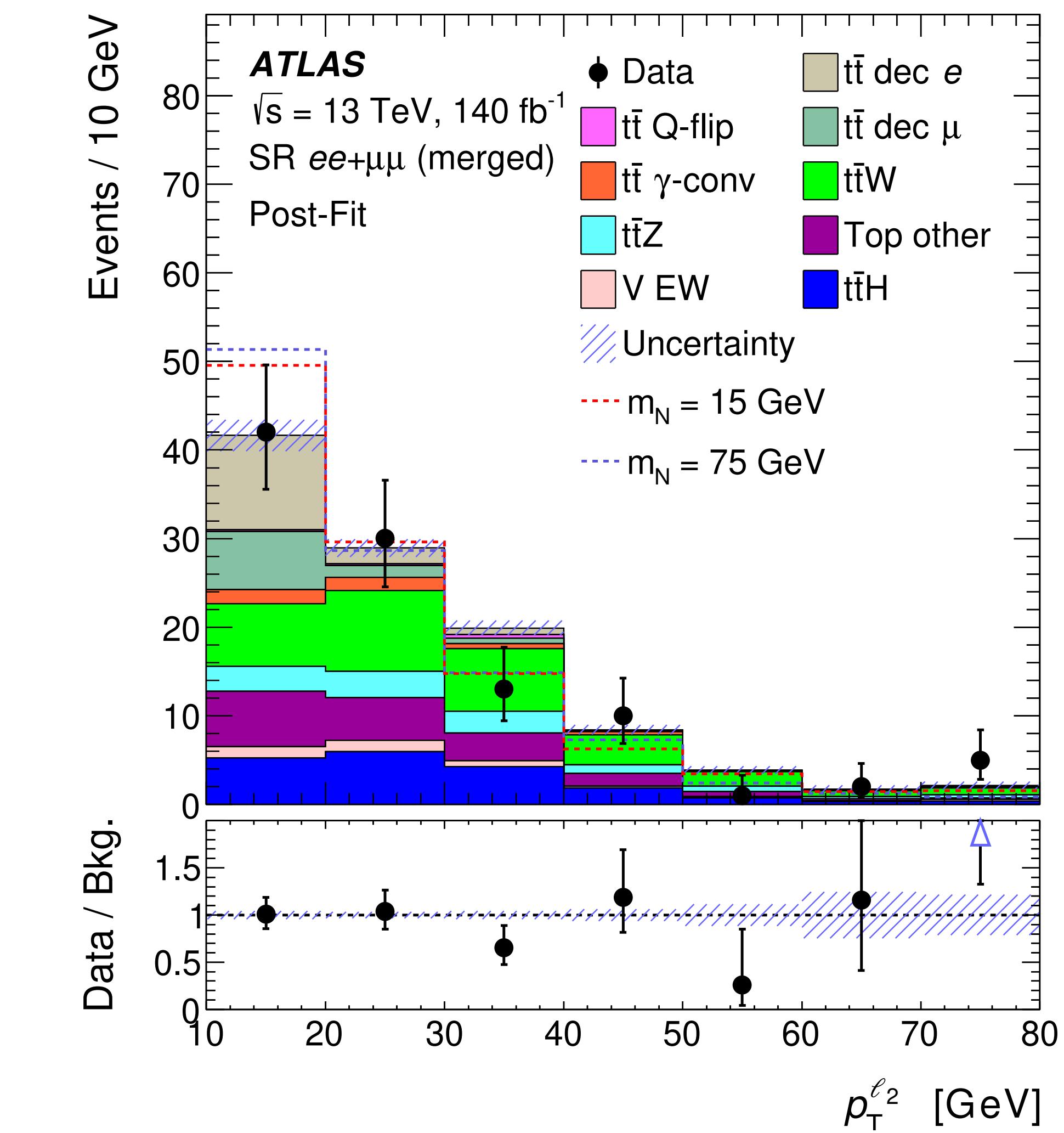
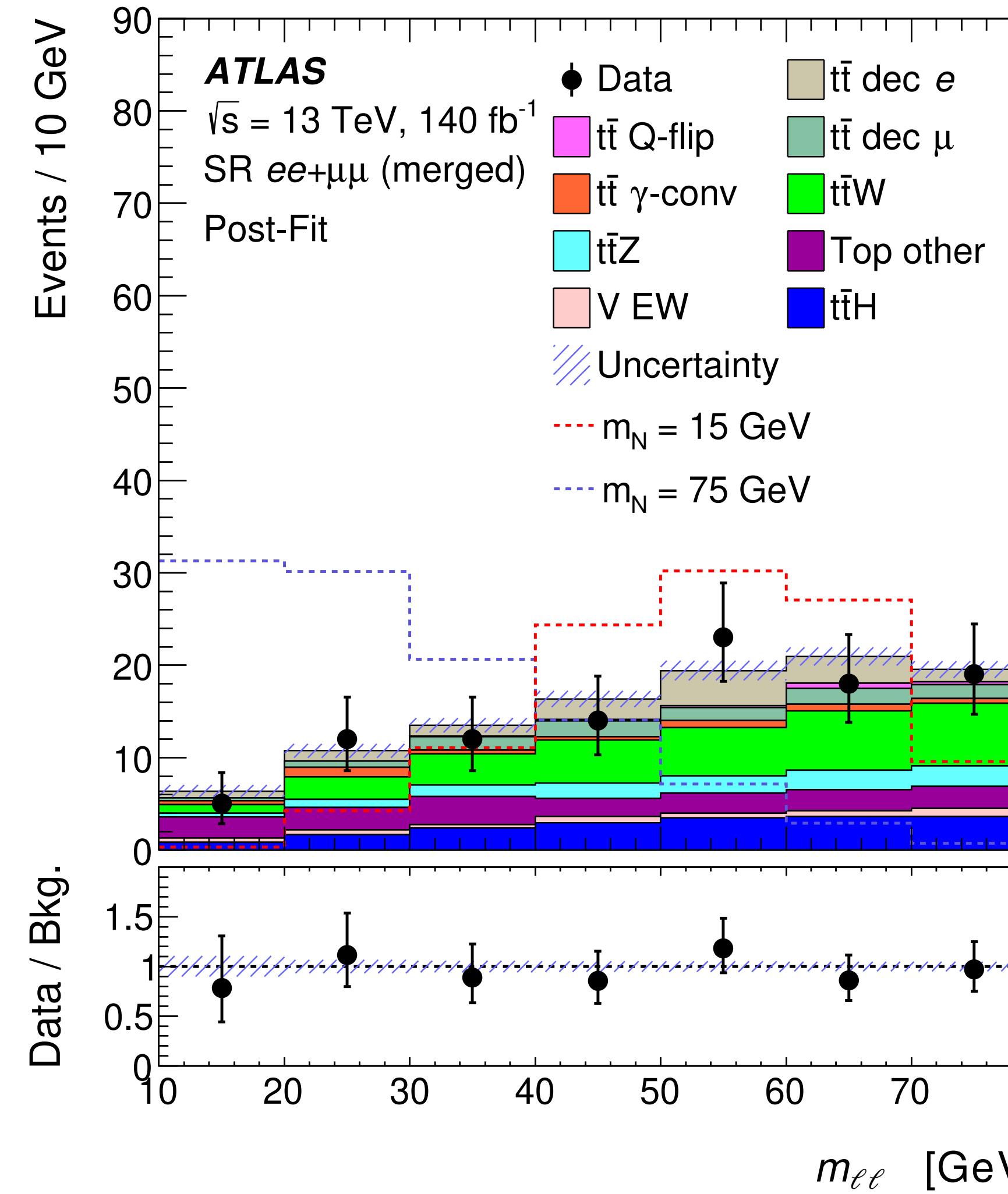
Boosted channel: fit results in 2e regions					
# of events	bCRZ2e	bVR2e	bSR2e 1 <sup>st</sup> bin	bSR2e 2 <sup>nd</sup> bin	
Observed in data	751	39	3	0	
Total background	$752 \pm 28$	$43.4 \pm 7.4$	$3.5 \pm 1.2$	$0.31 \pm 0.18$	
$Z + \text{jets}$	$622 \pm 51$	$34.8 \pm 7.6$	$2.6 \pm 1.2$	$0.27 \pm 0.18$	
$t\bar{t}$	$29 \pm 18$	$0.95 \pm 0.51$	$0.24 \pm 0.16$	—	
$W + \text{jets}$	$17.8 \pm 8.9$	$2.1 \pm 1.1$	$0.20 \pm 0.11$	—	
single top	$8.4 \pm 4.9$	$0.21^{+0.30}_{-0.21}$	—	—	
$\gamma + \text{jets}$	$3.1 \pm 1.5$	$0.80 \pm 0.41$	—	—	
diboson	$71 \pm 35$	$4.3 \pm 2.1$	$0.33 \pm 0.18$	—	
dijet	—	$0.22 \pm 0.12$	—	—	

# Heavy right handed $W_R$ and $N_R$ -event yields (boosted, $2\mu$ )

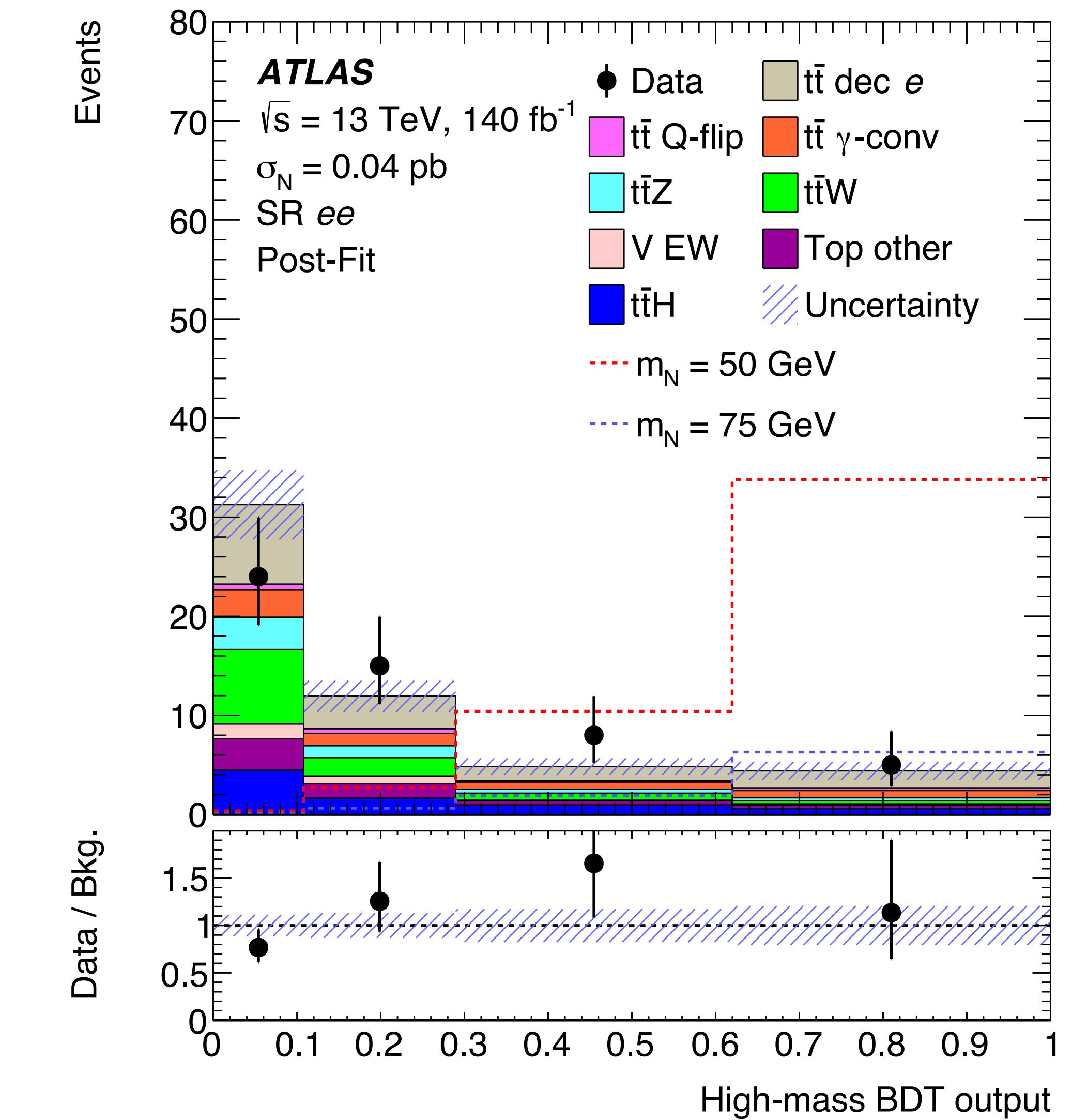
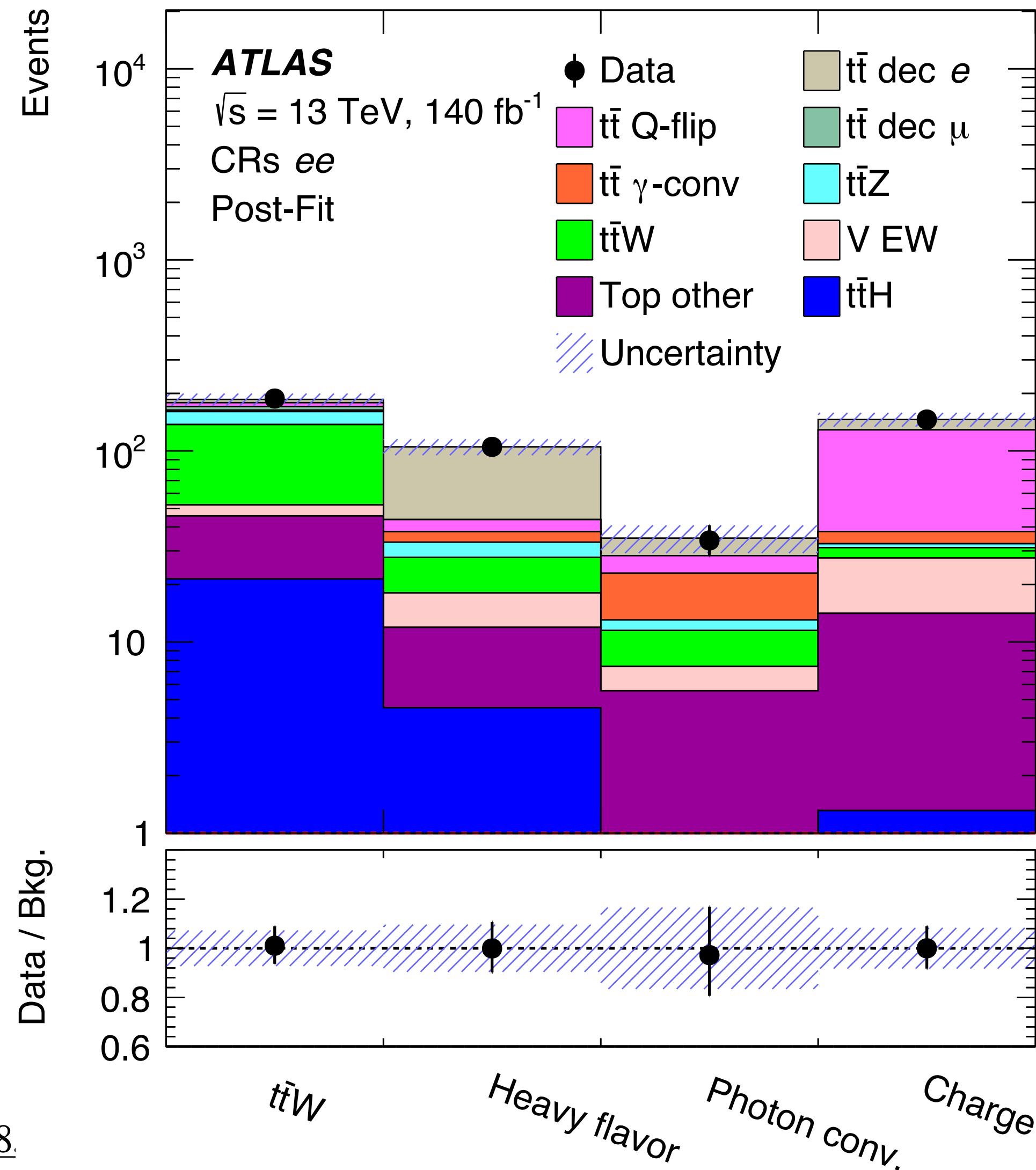
Boosted channel: fit results in $2\mu$ regions						
# of events	bCRZ2mu		bVR2mu		bSR2mu 1 <sup>st</sup> bin	bSR2mu 2 <sup>nd</sup> bin
Observed in the data	950		46		2	0
Total background	950	$\pm$ 31	54	$\pm$ 11	4.4 $\pm$ 1.9	$0.82 \pm 0.61$
Z+jets	640	$\pm$ 100	38	$\pm$ 12	3.2 $\pm$ 1.9	$0.63 \pm 0.59$
diboson	108	$\pm$ 54	6.7	$\pm$ 3.4	$0.76 \pm 0.40$	$0.08 \pm 0.07$
$W$ +jets	98	$\pm$ 52	4.7	$\pm$ 2.9	$0.21 \pm 0.21$	$0.10 \pm 0.10$
$t\bar{t}$	78	$\pm$ 46	3.8	$\pm$ 2.2	—	—
single top	20	$\pm$ 12	$0.88 \pm 0.56$		$0.28 \pm 0.28$	—
dijet	$0.94 \pm 0.51$		$0.17 \pm 0.15$		—	—

# Heavy neutrino via top production backup

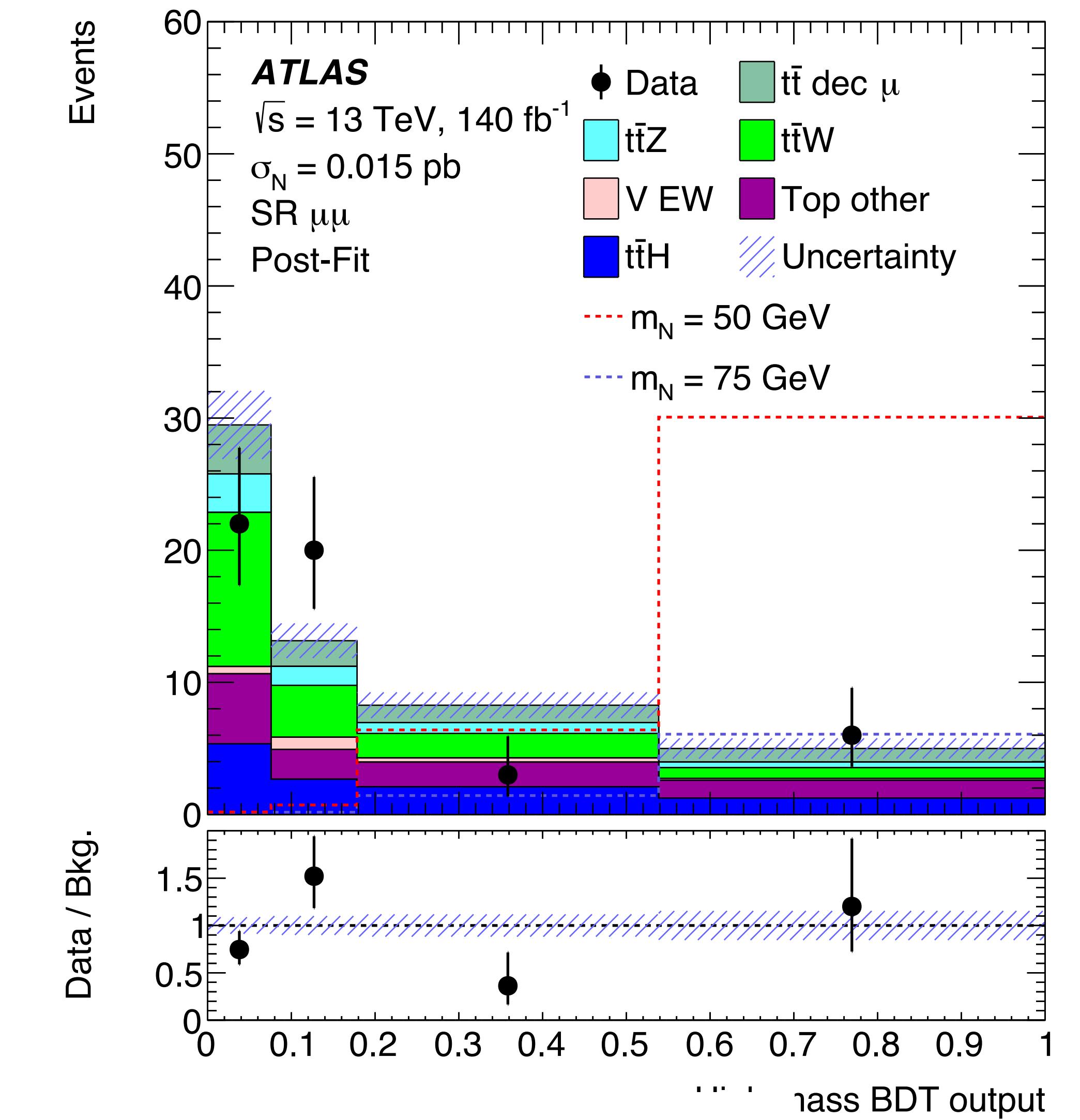
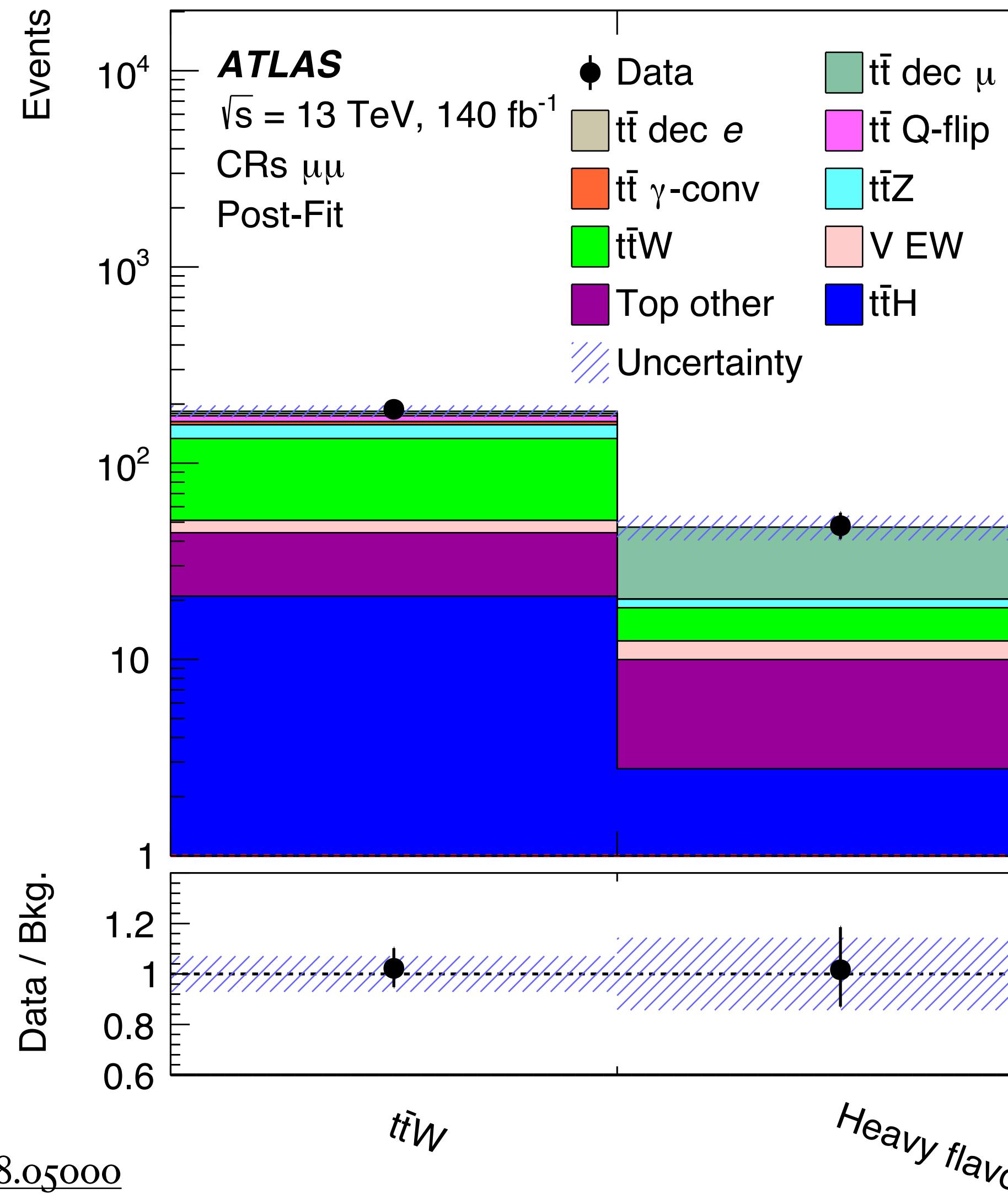
# Heavy neutrino via top production - other two BDT input variables



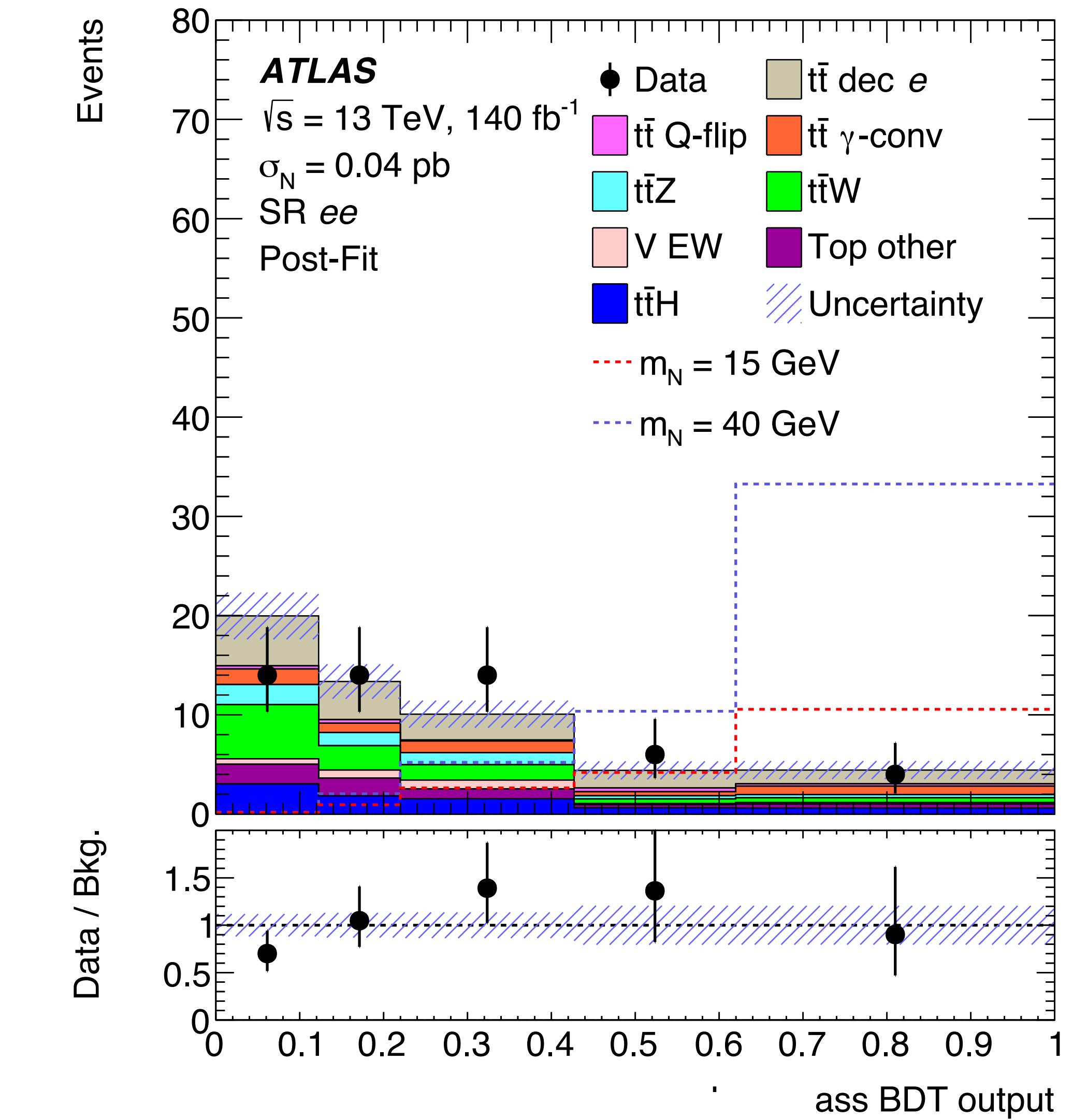
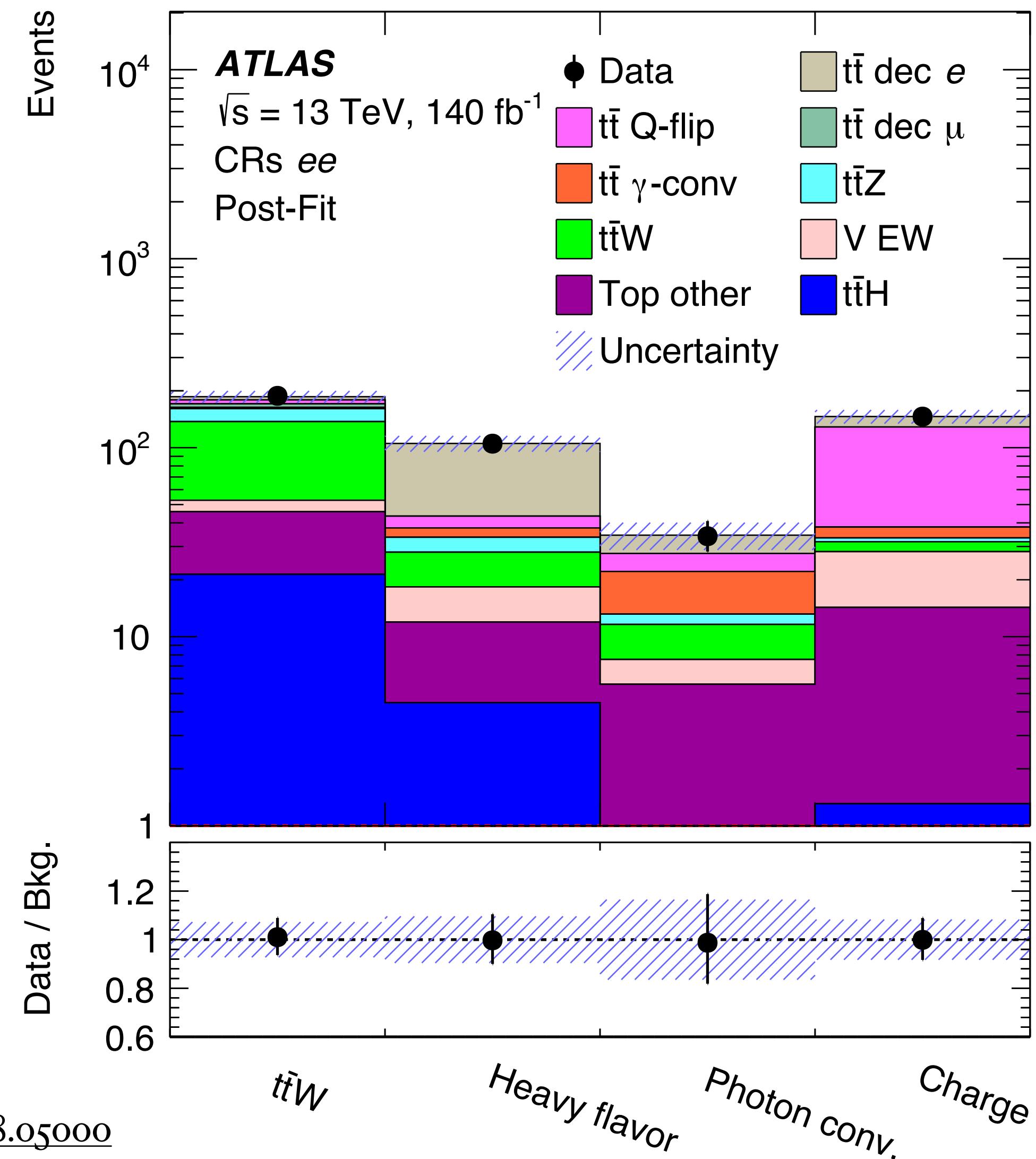
# Heavy neutrino via top production - high mass BDT output ee



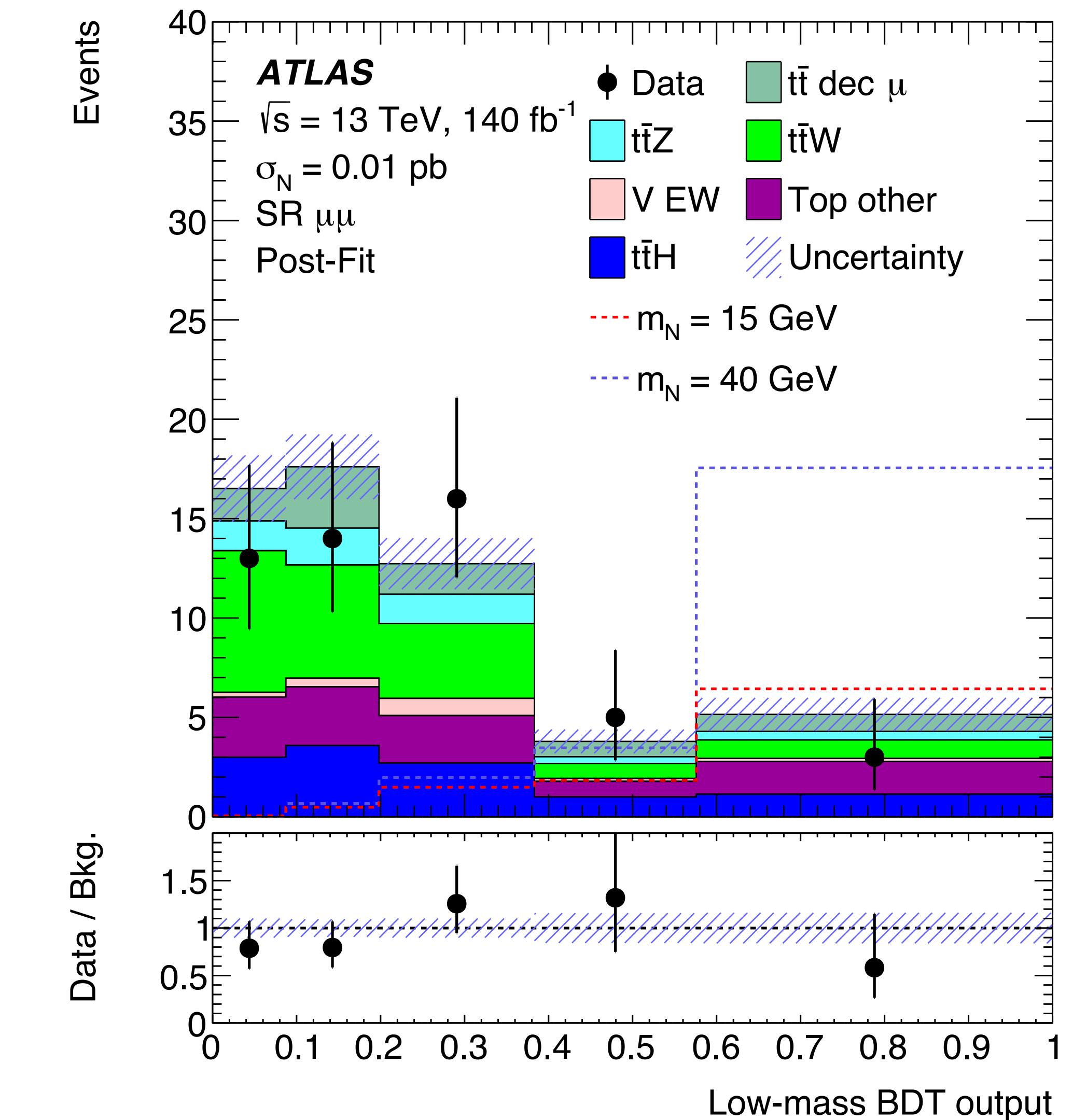
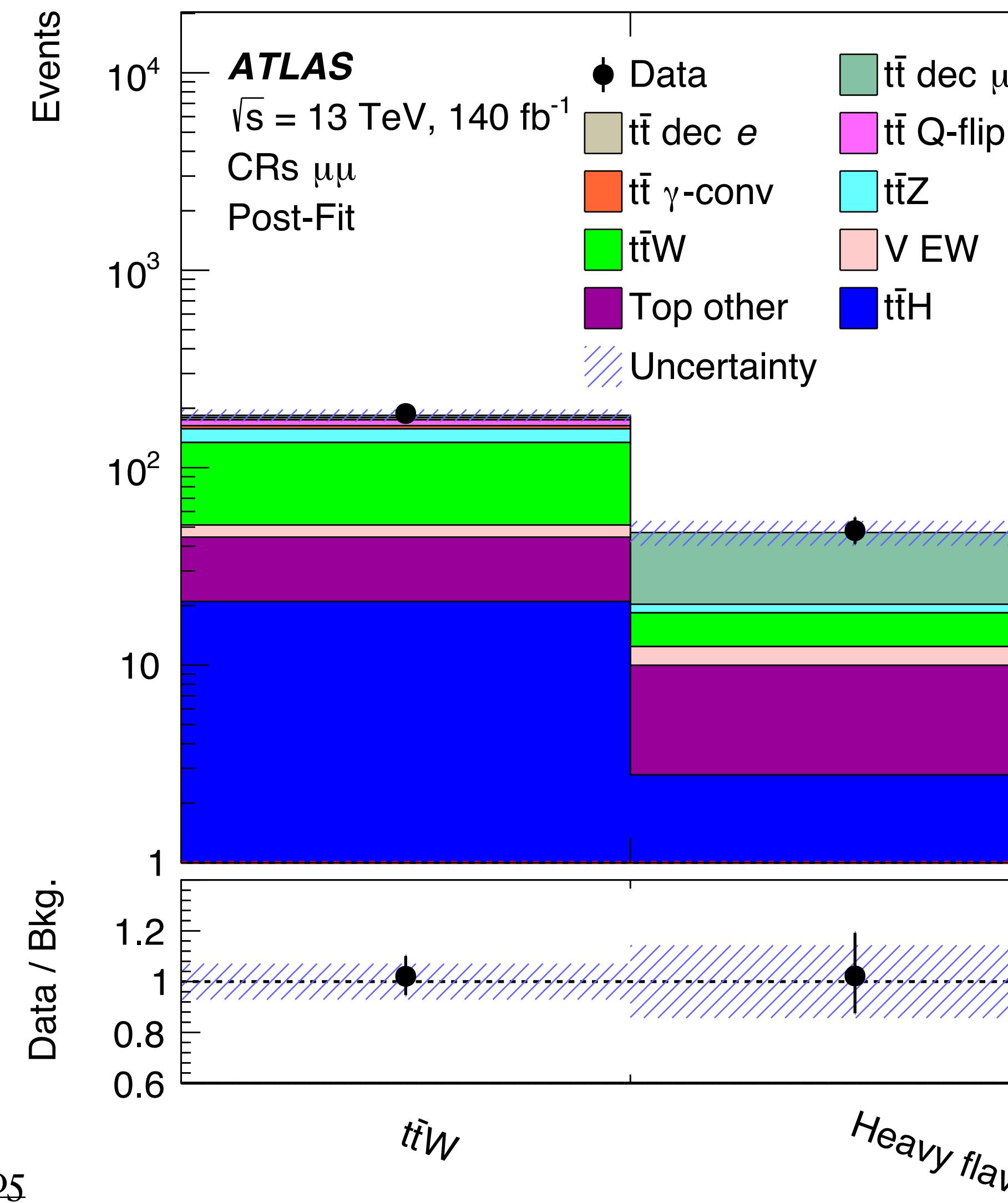
# Heavy neutrino via top production - high mass BDT output $\mu\mu$



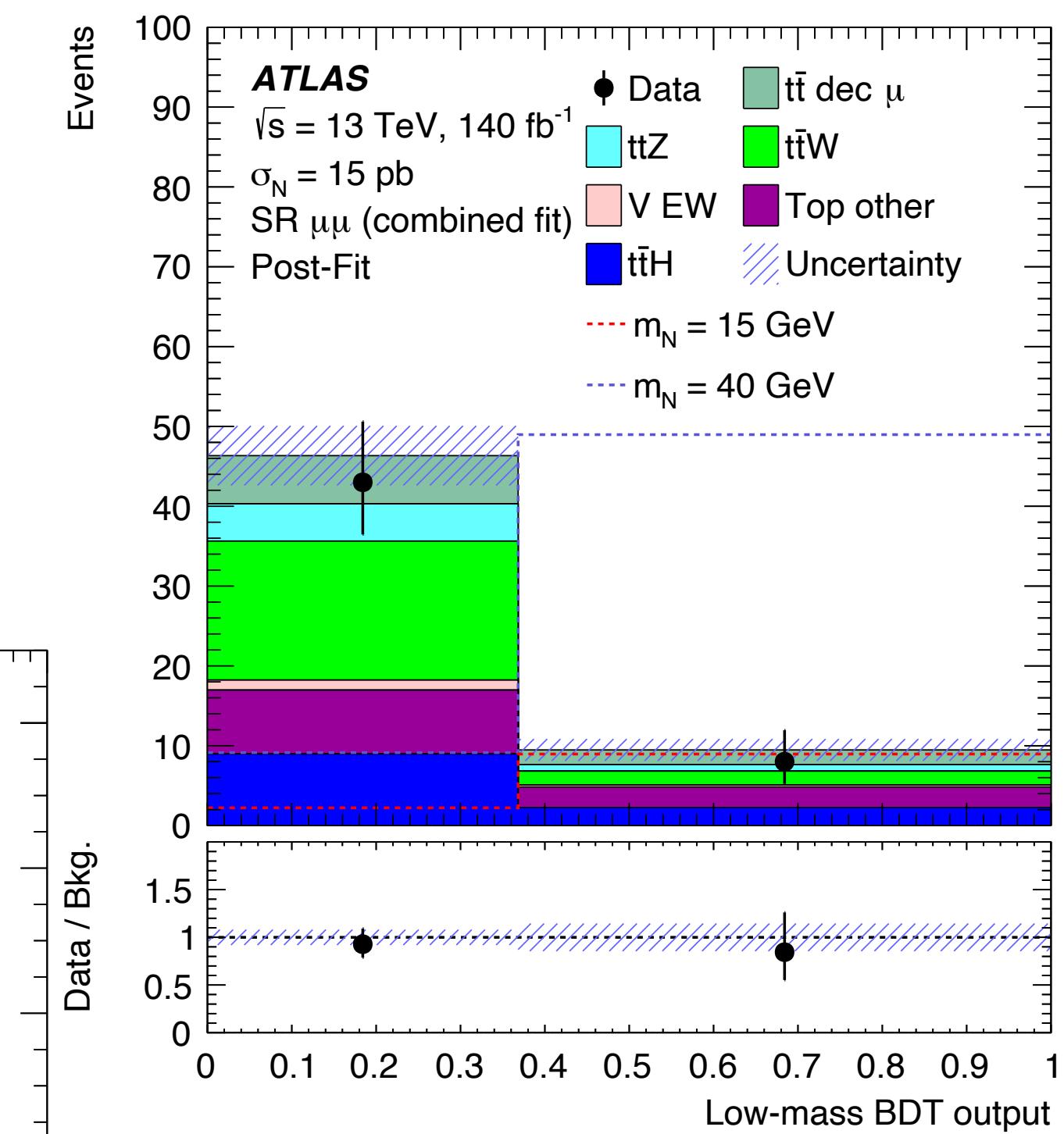
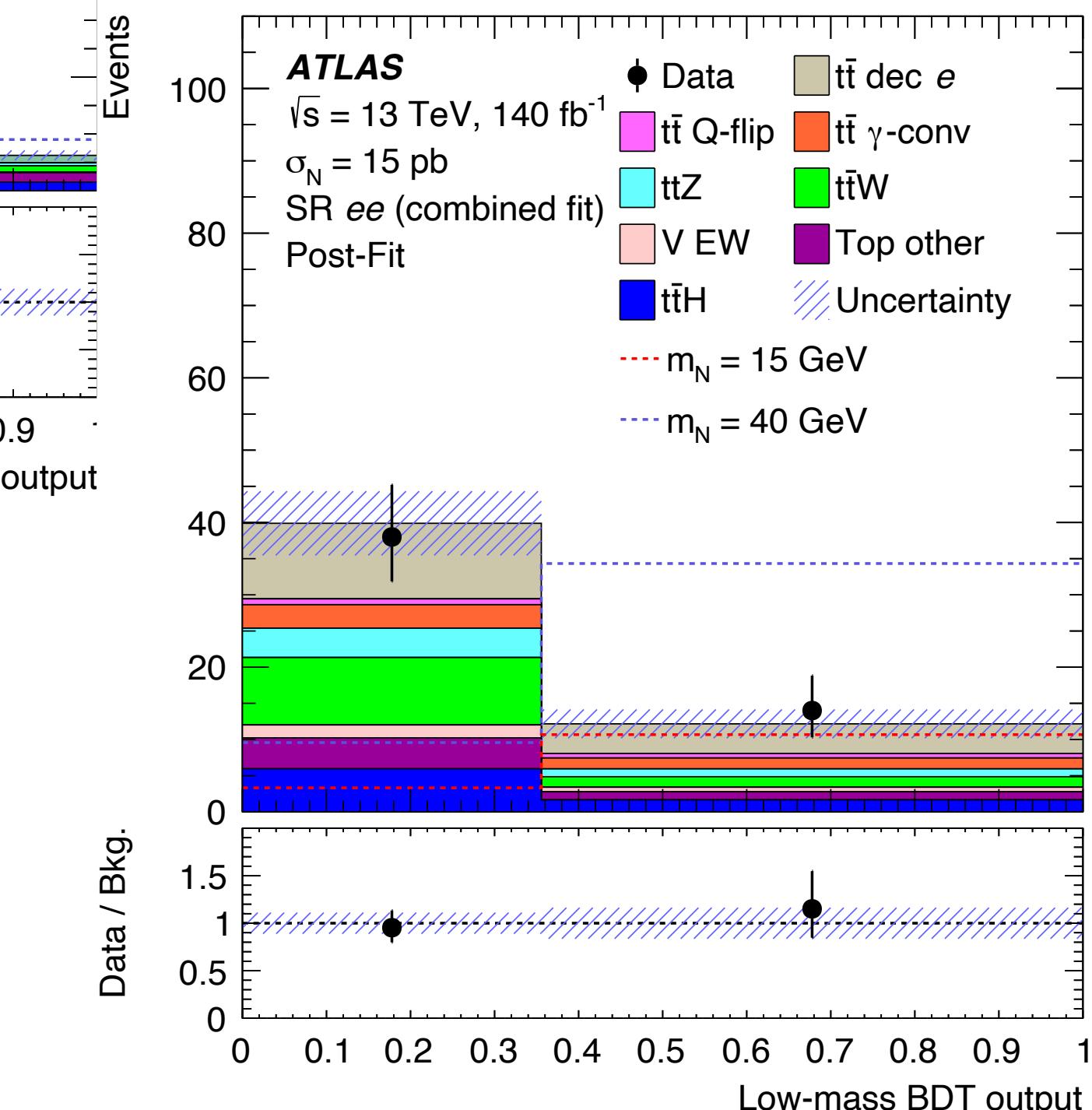
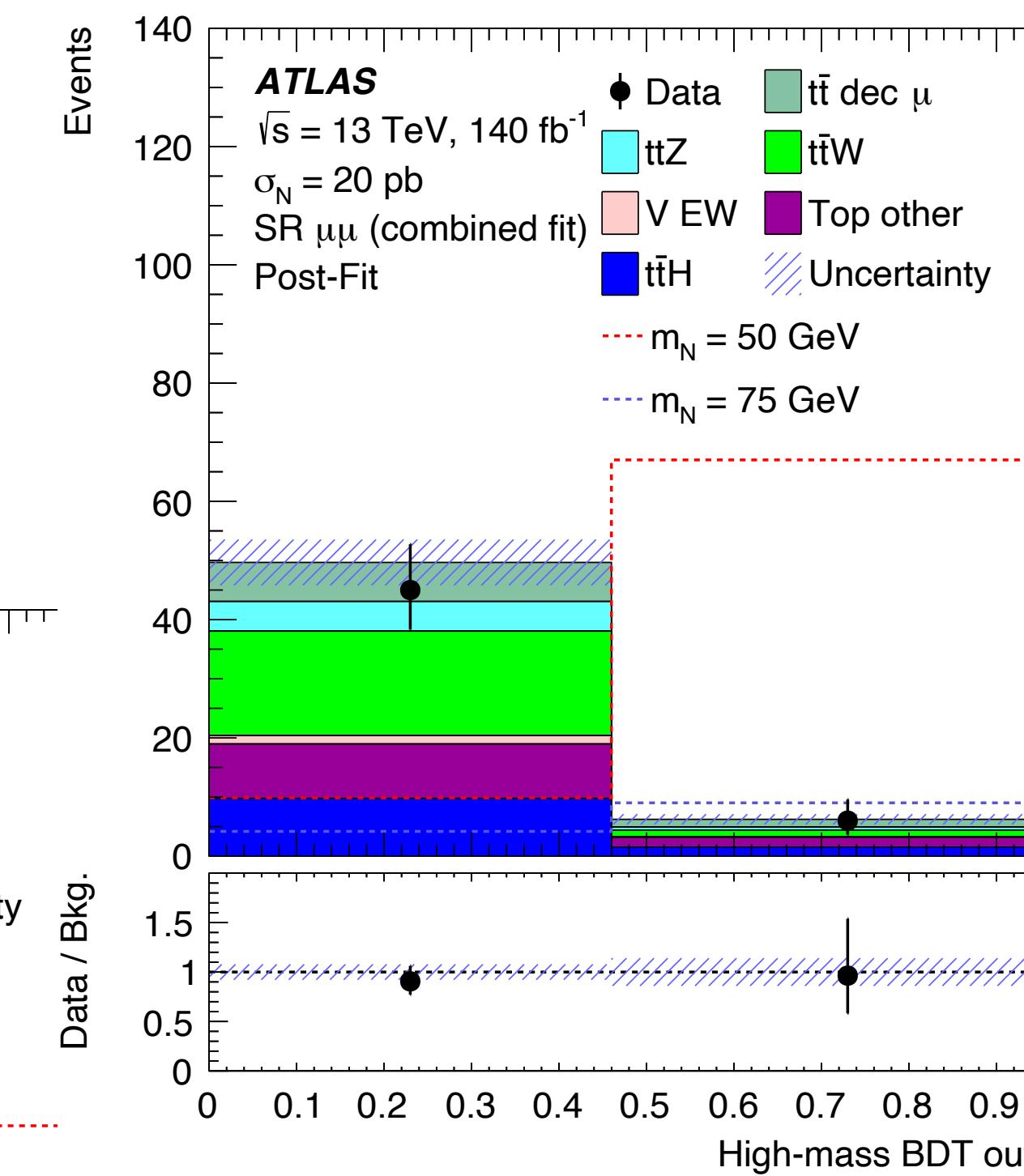
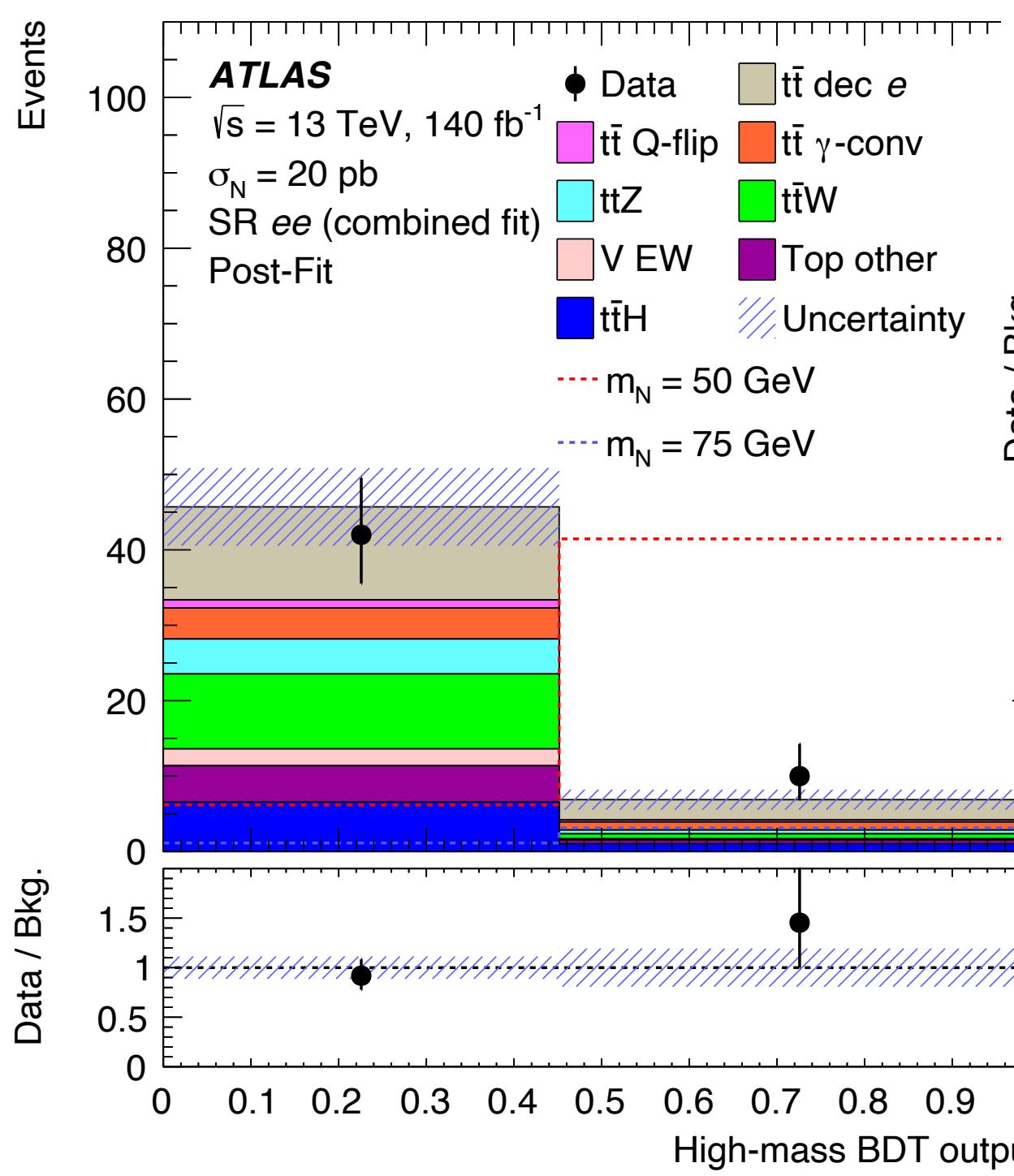
# Heavy neutrino via top production - low mass BDT output ee



# Heavy neutrino via top production - low mass BDT output $\mu\mu$



# Heavy neutrino via top production - BDT output $\tau\tau$ interpretation



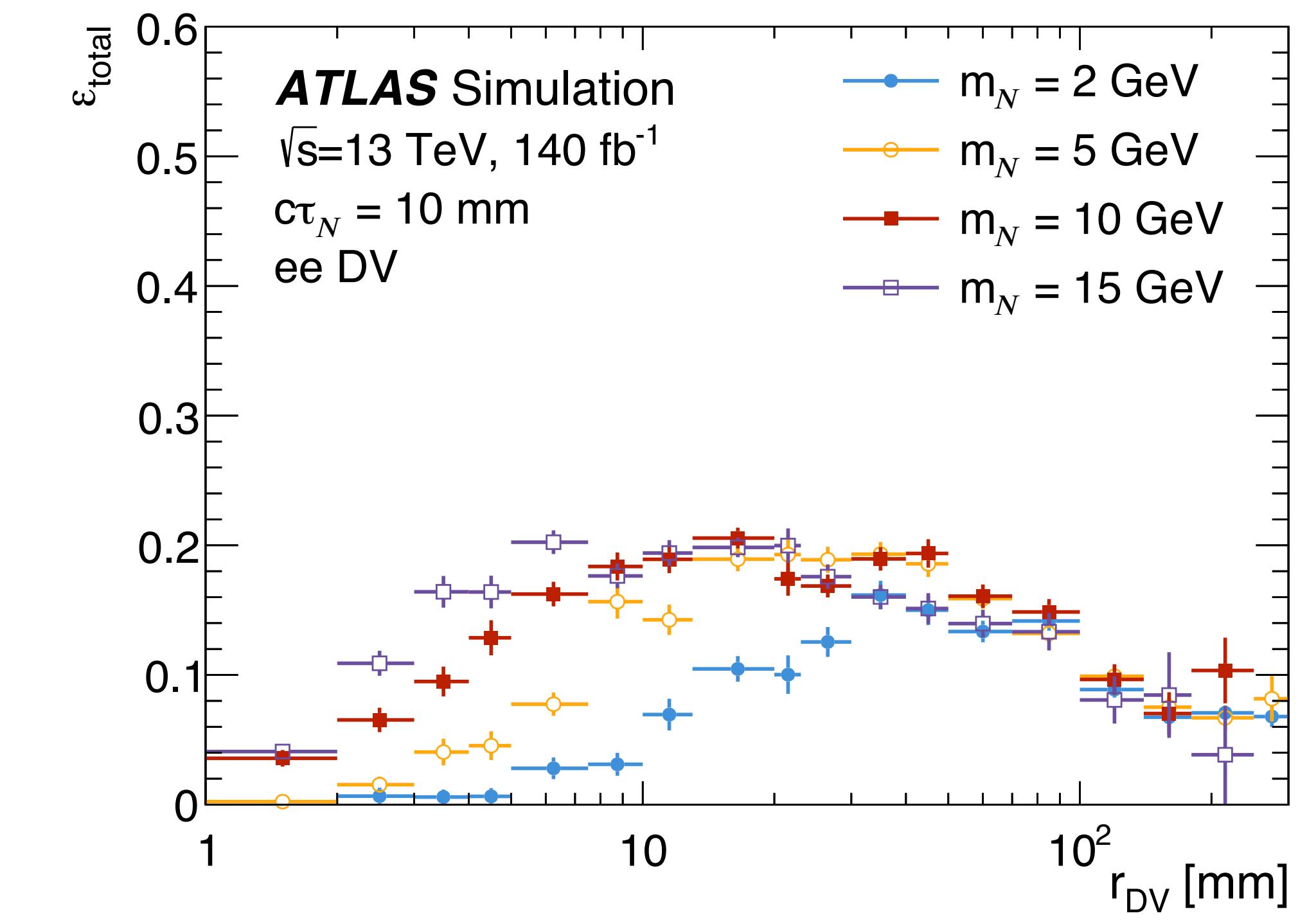
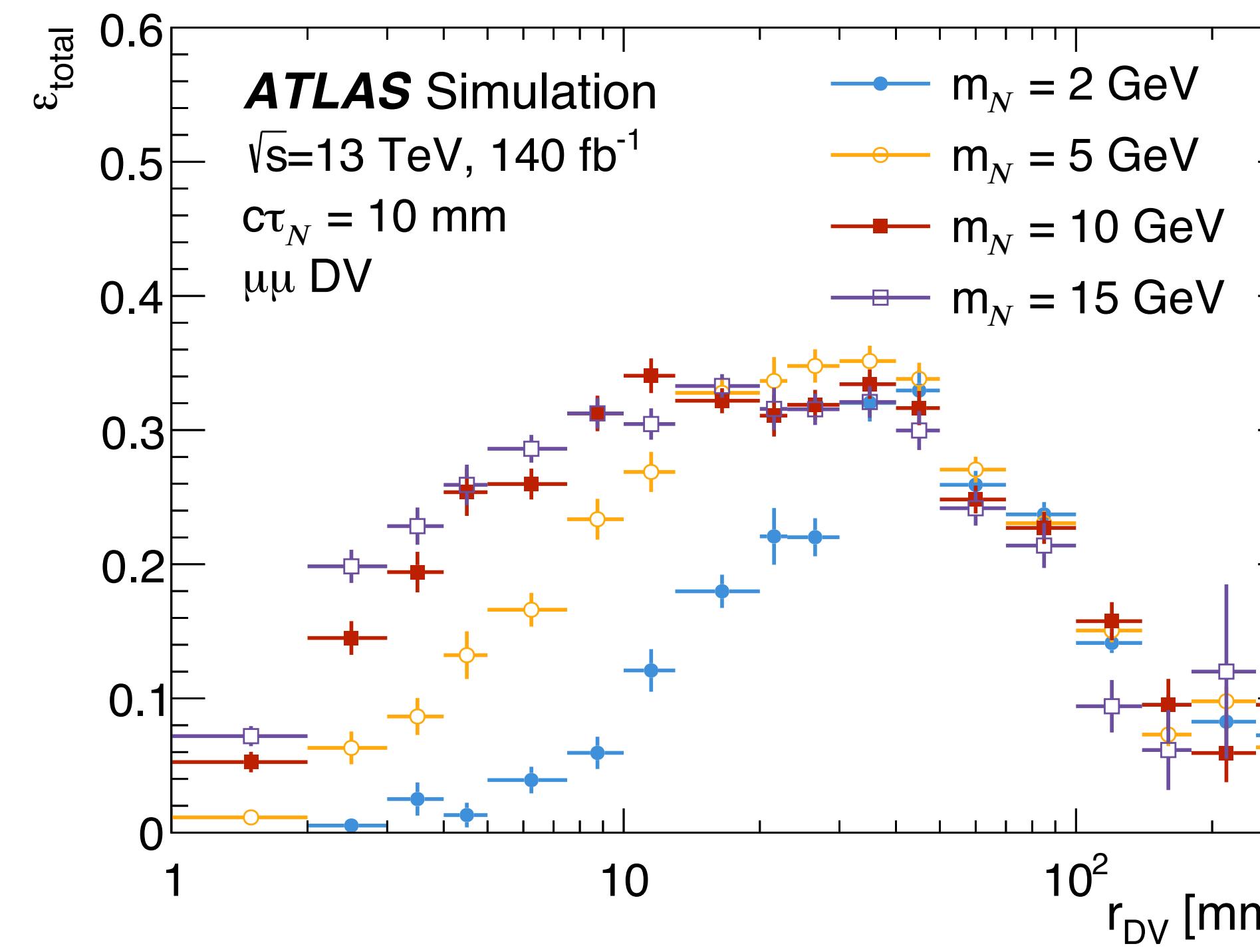
# Heavy neutrino via top production - event yields and exclusions

	Separate fits		Combined fit	
	SR $ee$	SR $\mu\mu$	SR $ee$	SR $\mu\mu$
$t\bar{t}$ decay $e$	$15 \pm 6$	—	$15 \pm 7$	—
$t\bar{t}$ Q-flip	$1.4 \pm 0.9$	—	$1.3 \pm 0.8$	—
$t\bar{t}$ $\gamma$ -conv	$6 \pm 4$	—	$5 \pm 4$	—
$t\bar{t}$ decay $\mu$	—	$8.0 \pm 3.2$	—	$8.4 \pm 3.0$
$t\bar{t}W$	$10.4 \pm 2.6$	$18 \pm 5$	$10.4 \pm 2.6$	$19 \pm 4$
$t\bar{t}Z$	$5.2 \pm 1.1$	$5.6 \pm 1.1$	$5.0 \pm 1.1$	$5.5 \pm 1.1$
$t\bar{t}H$	$7.6 \pm 1.3$	$11.4 \pm 1.9$	$7.5 \pm 1.3$	$11.2 \pm 1.8$
V EW	$2.4 \pm 0.9$	$1.9 \pm 1.5$	$2.3 \pm 0.8$	$1.5 \pm 1.2$
Top other	$5.4 \pm 2.2$	$11 \pm 4$	$5.1 \pm 2.2$	$11 \pm 4$
Total prediction	$52 \pm 6$	$56 \pm 4$	$52 \pm 6$	$56 \pm 4$
Data	52	51	52	51

$m_N$ [GeV]	15	25	35	40	45	50	55	60	70	75
Exp. $\sigma_{e,N}$ [fb]	21	9.8	7.3	6.9	6.9	6.7	7.2	8.5	18	36
Obs. $\sigma_{e,N}$ [fb]	26	12	8.2	7.8	10	9.7	10	12	26	52
Exp. $\sigma_{\mu,N}$ [fb]	9.3	5.0	3.7	3.5	3.2	3.1	3.2	4.0	8.2	15
Obs. $\sigma_{\mu,N}$ [fb]	7.5	3.9	2.8	2.6	3.2	3.1	3.3	4.2	8.3	15
Exp. $\sigma_{\tau,N}$ [pb]	8.9	2.6	2.1	1.7	1.8	1.8	2.0	3.7	7.0	19
Obs. $\sigma_{\tau,N}$ [pb]	13	3.6	2.7	2.3	2.5	2.2	3.2	5.5	7.3	20

# Displaced HNL search backup

# Displaced HNL - Displaced vertex reconstruction efficiency

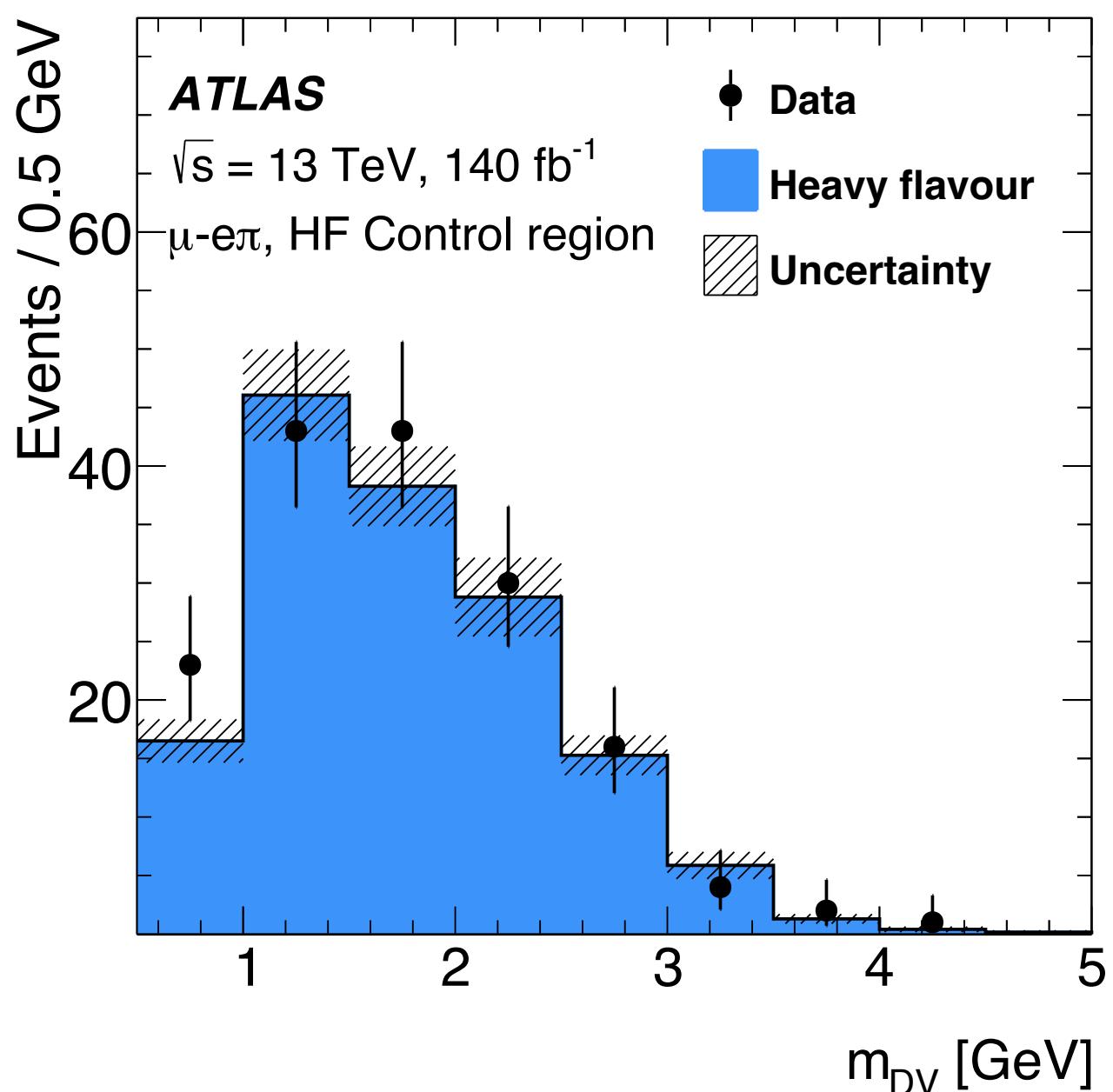
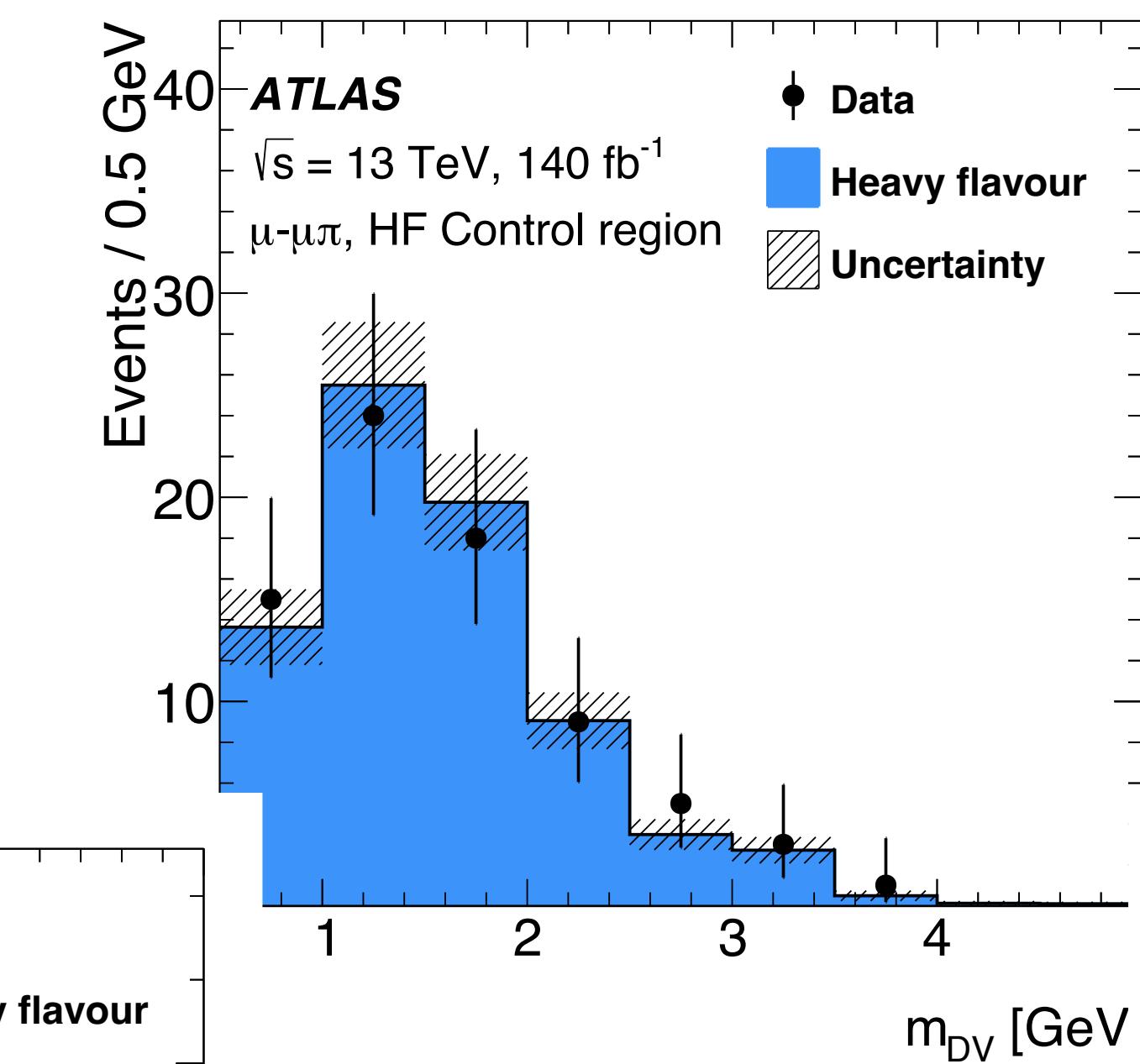
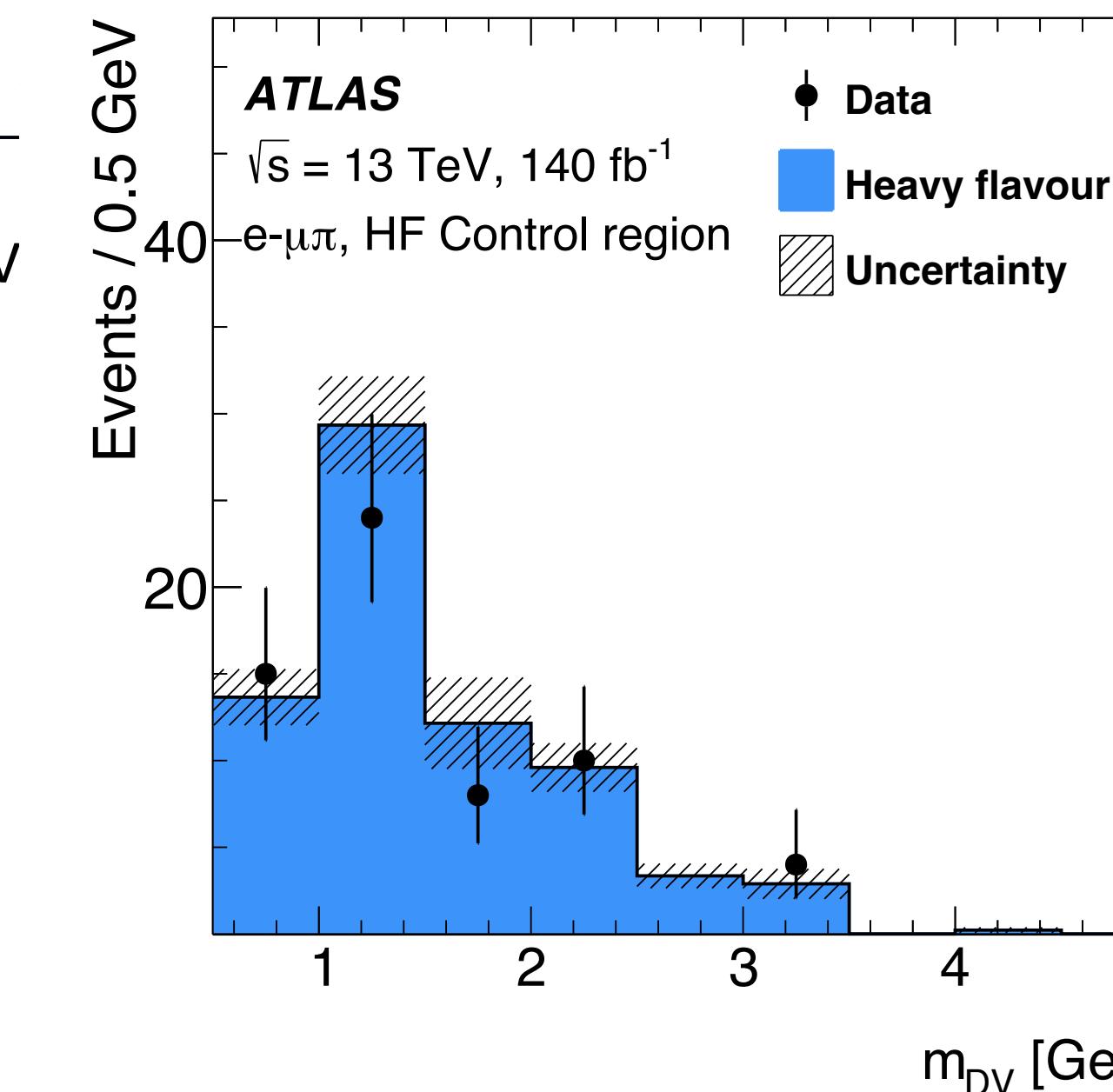
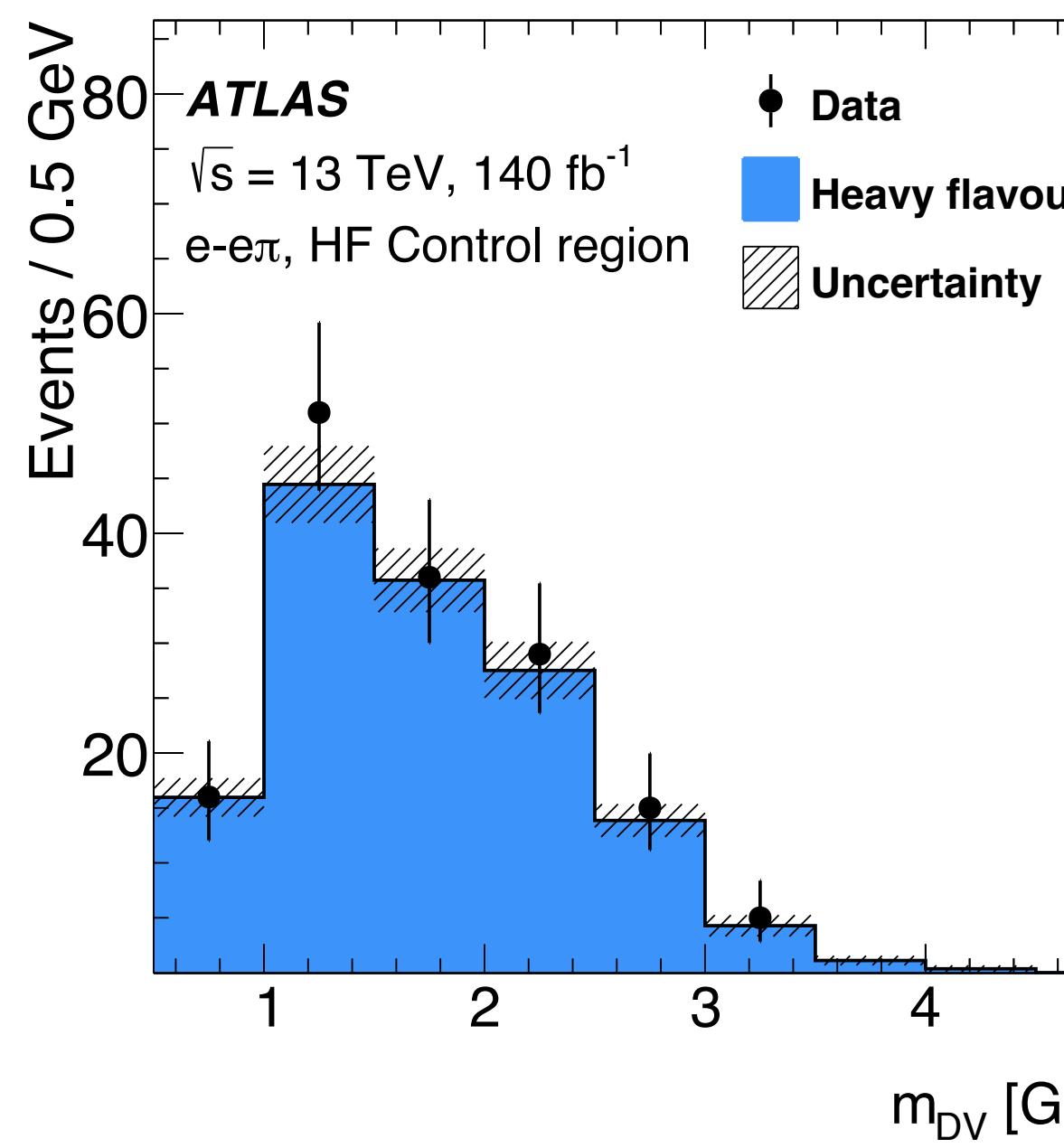


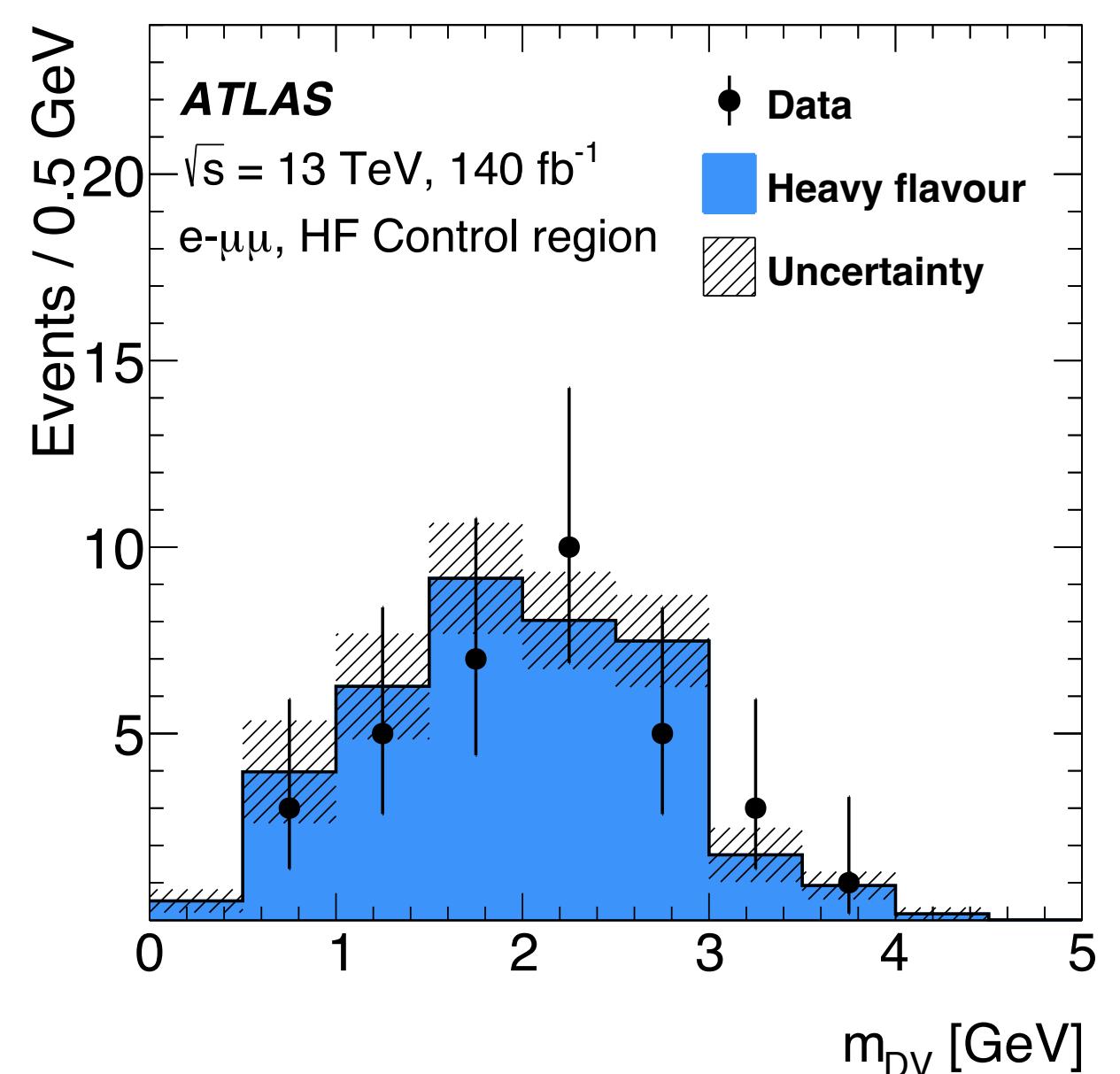
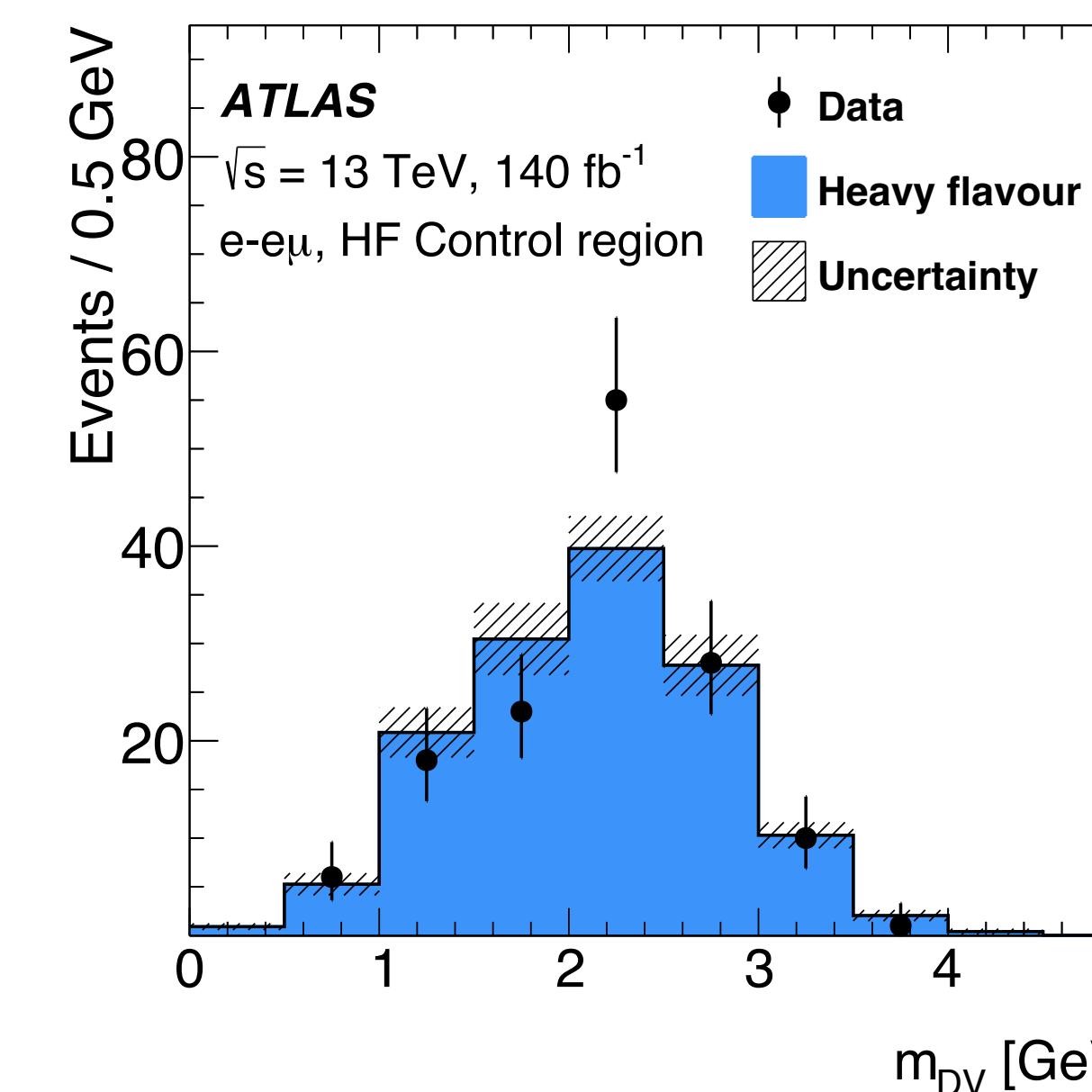
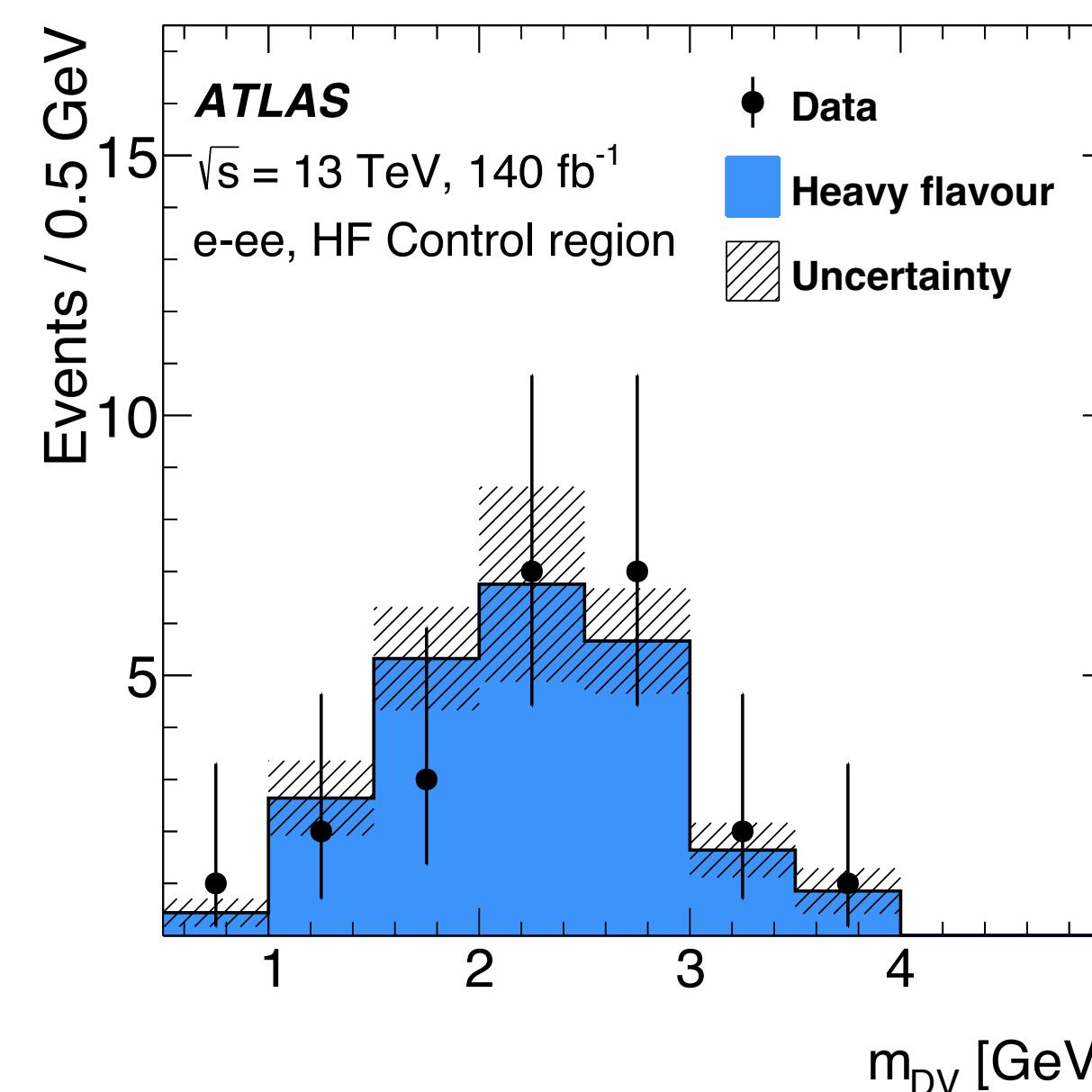
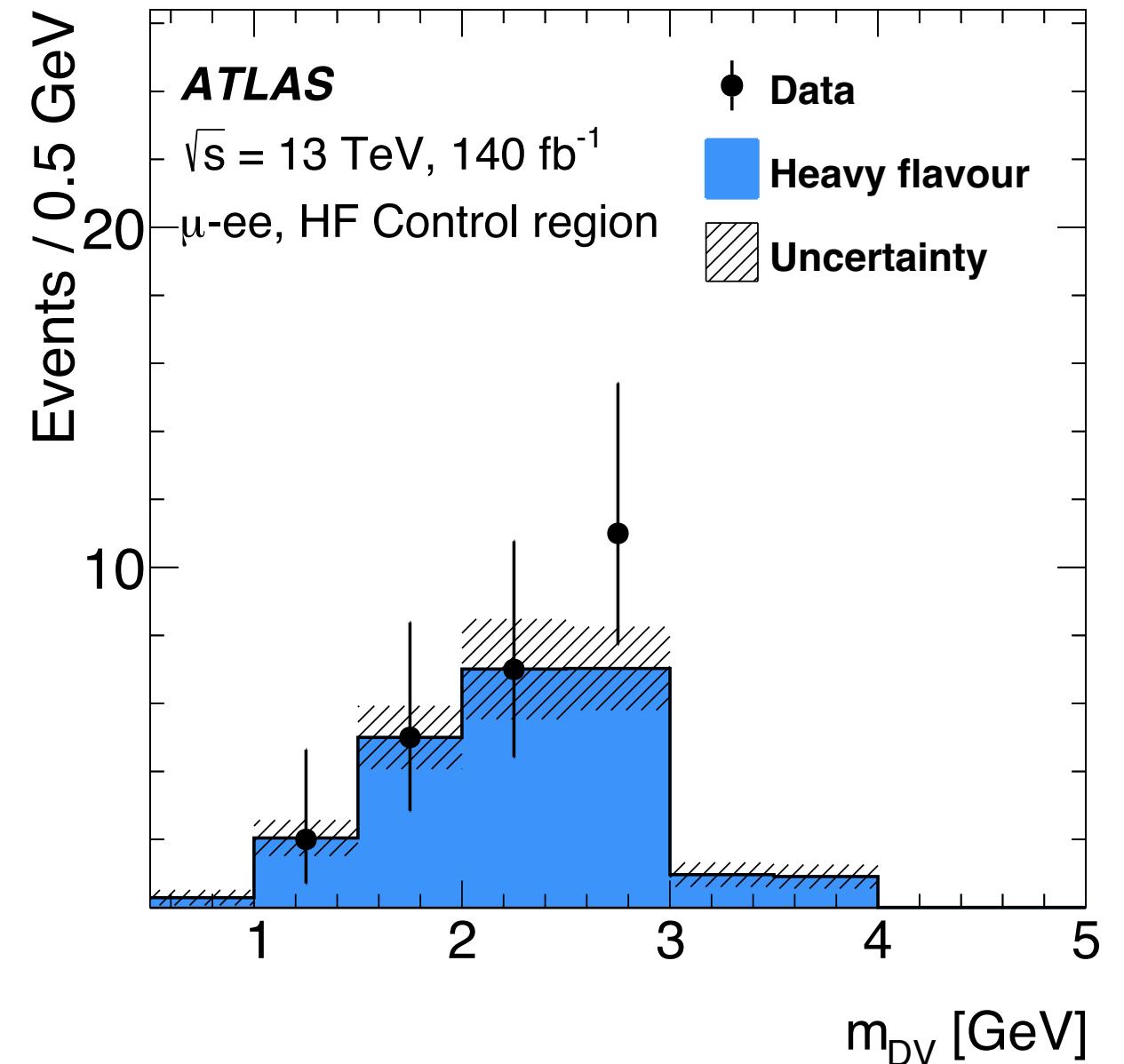
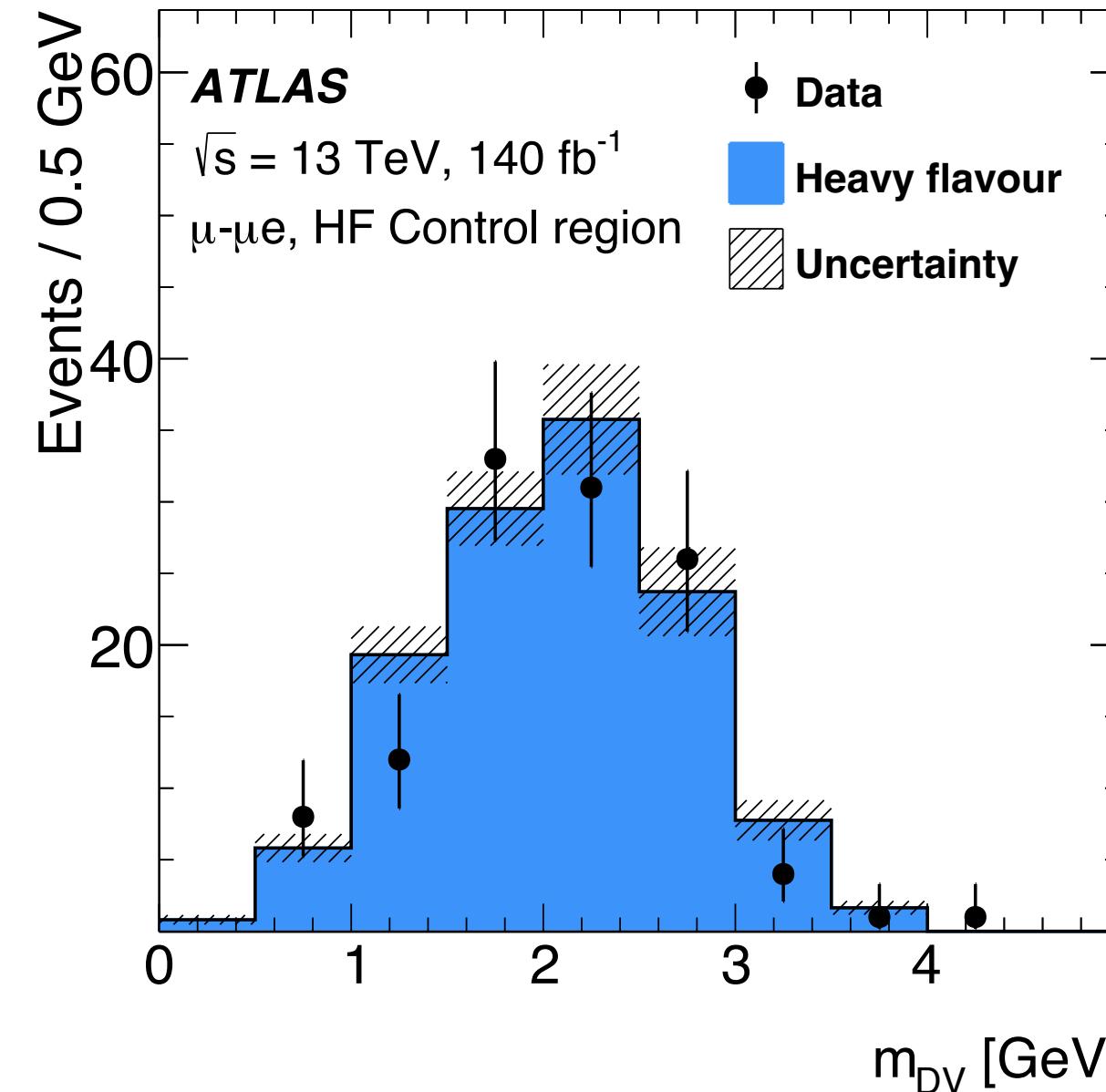
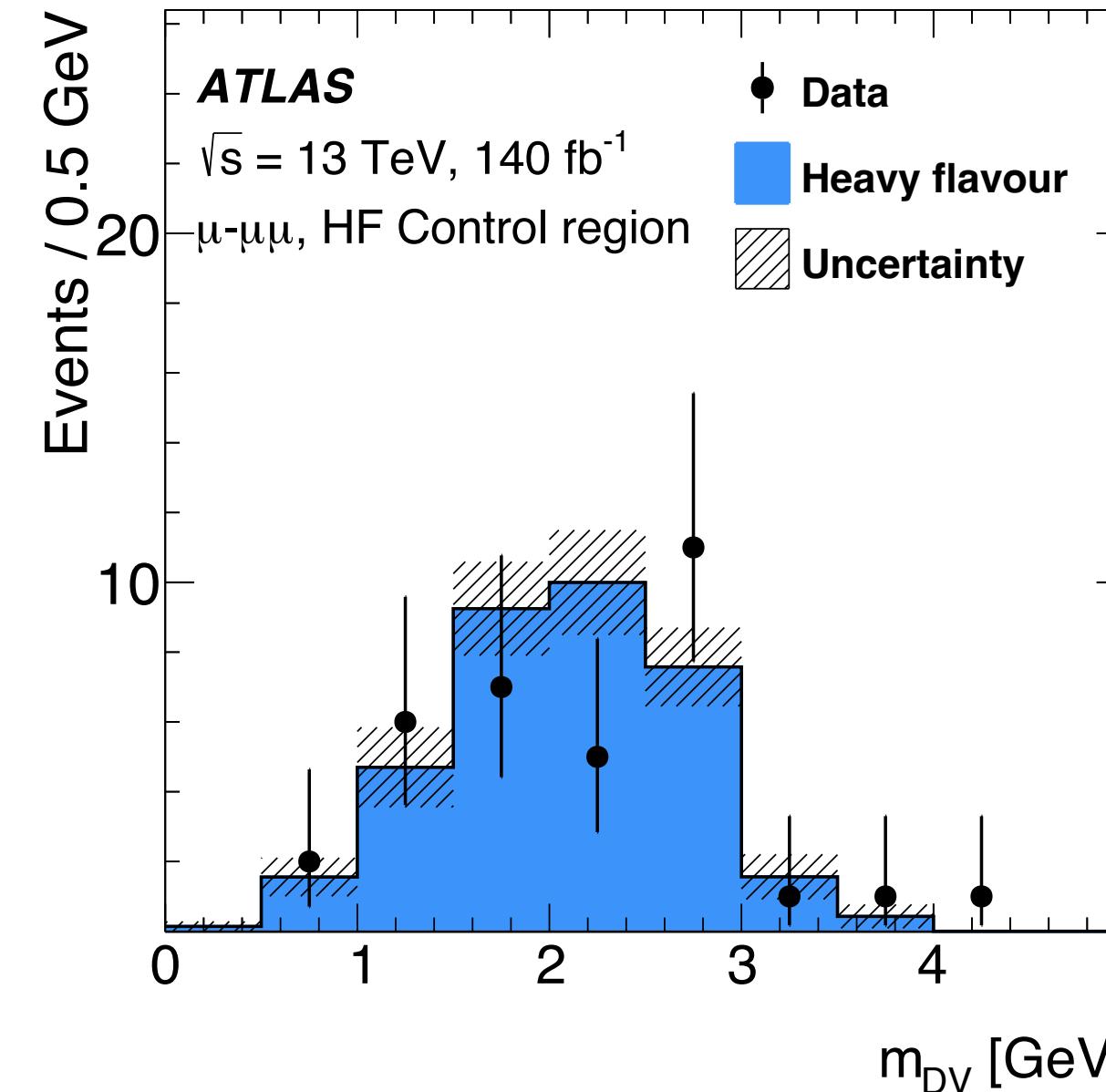
# Displaced HNL - Signal Region selections

- Pre-selection

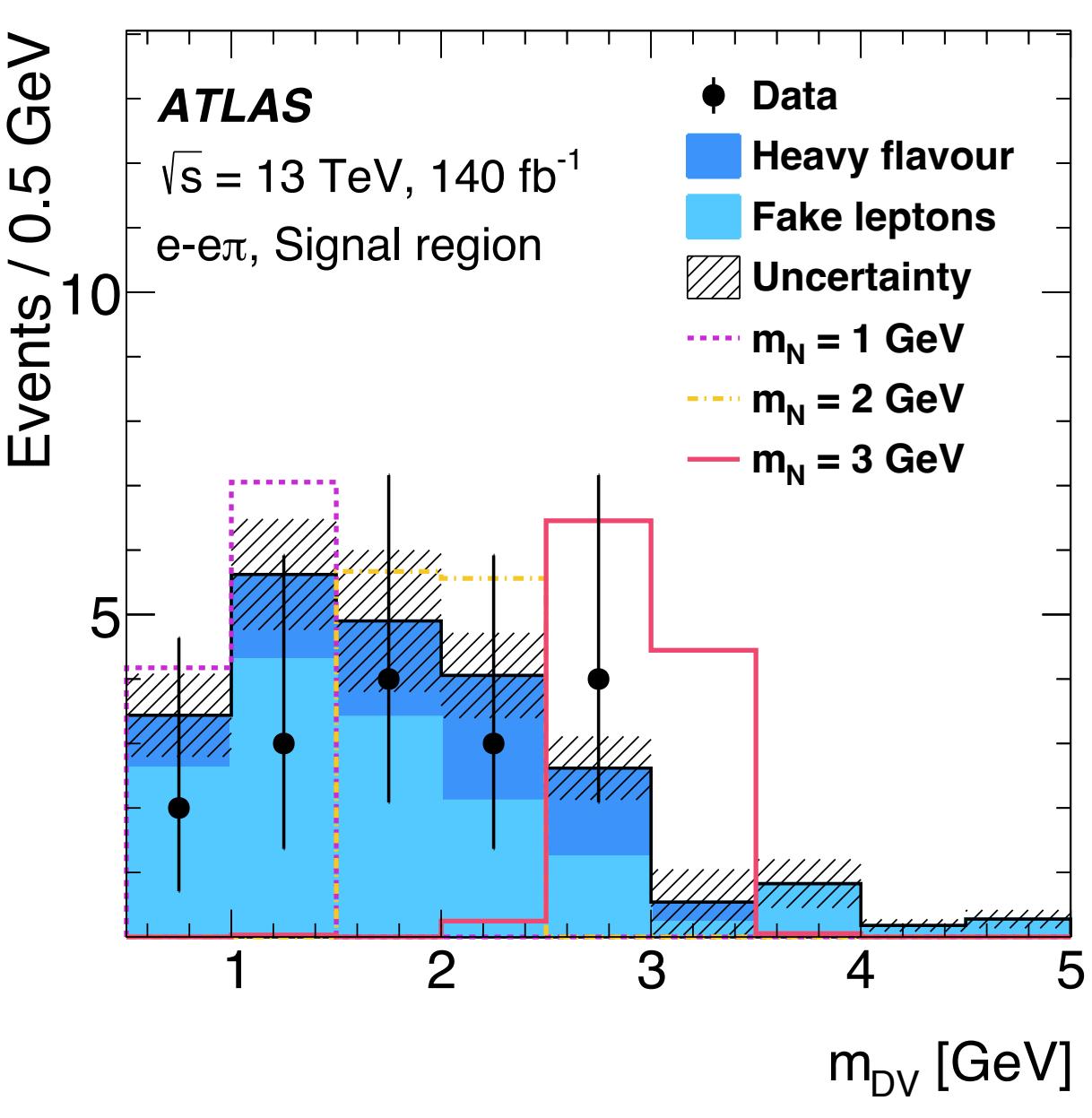
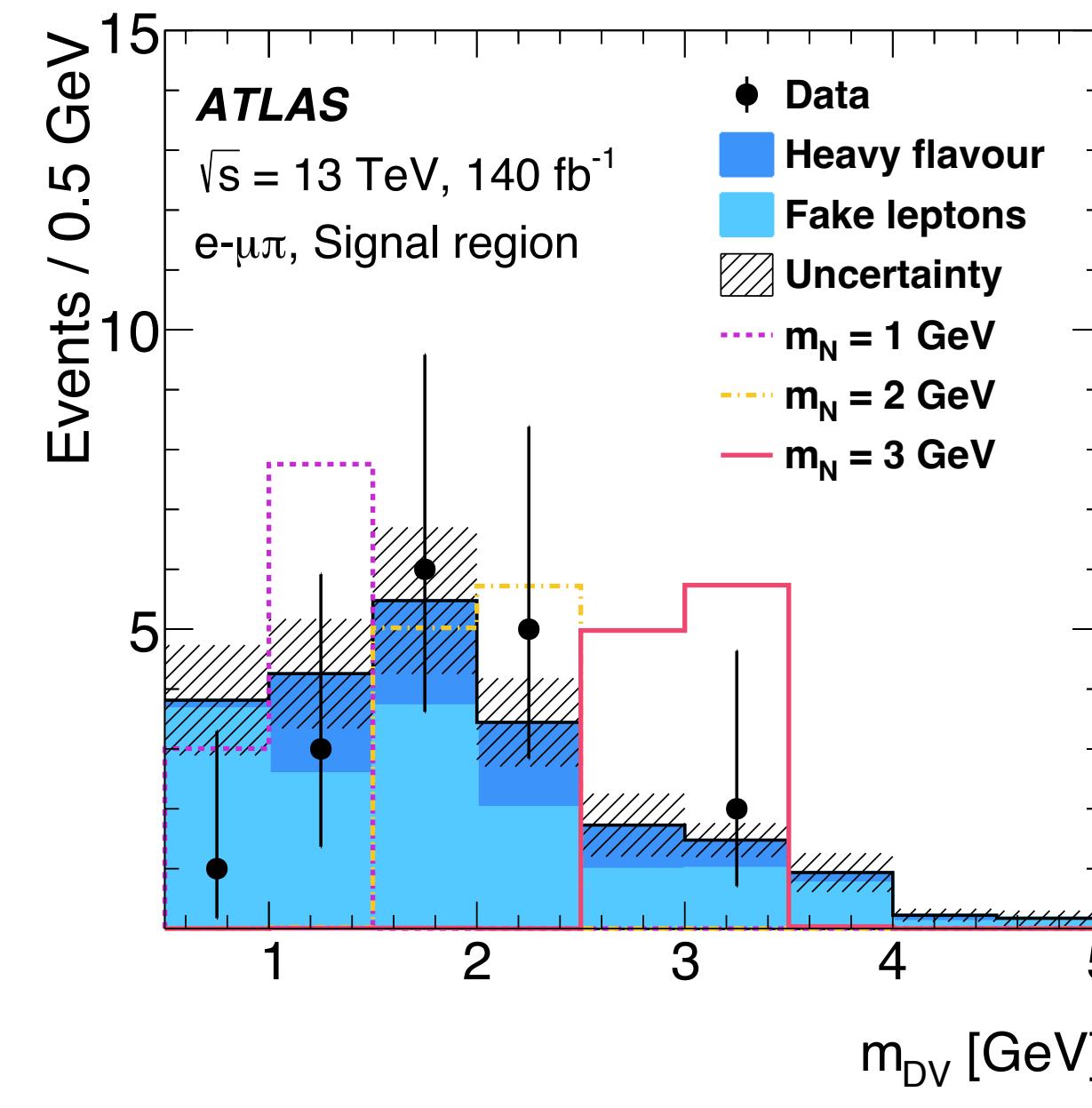
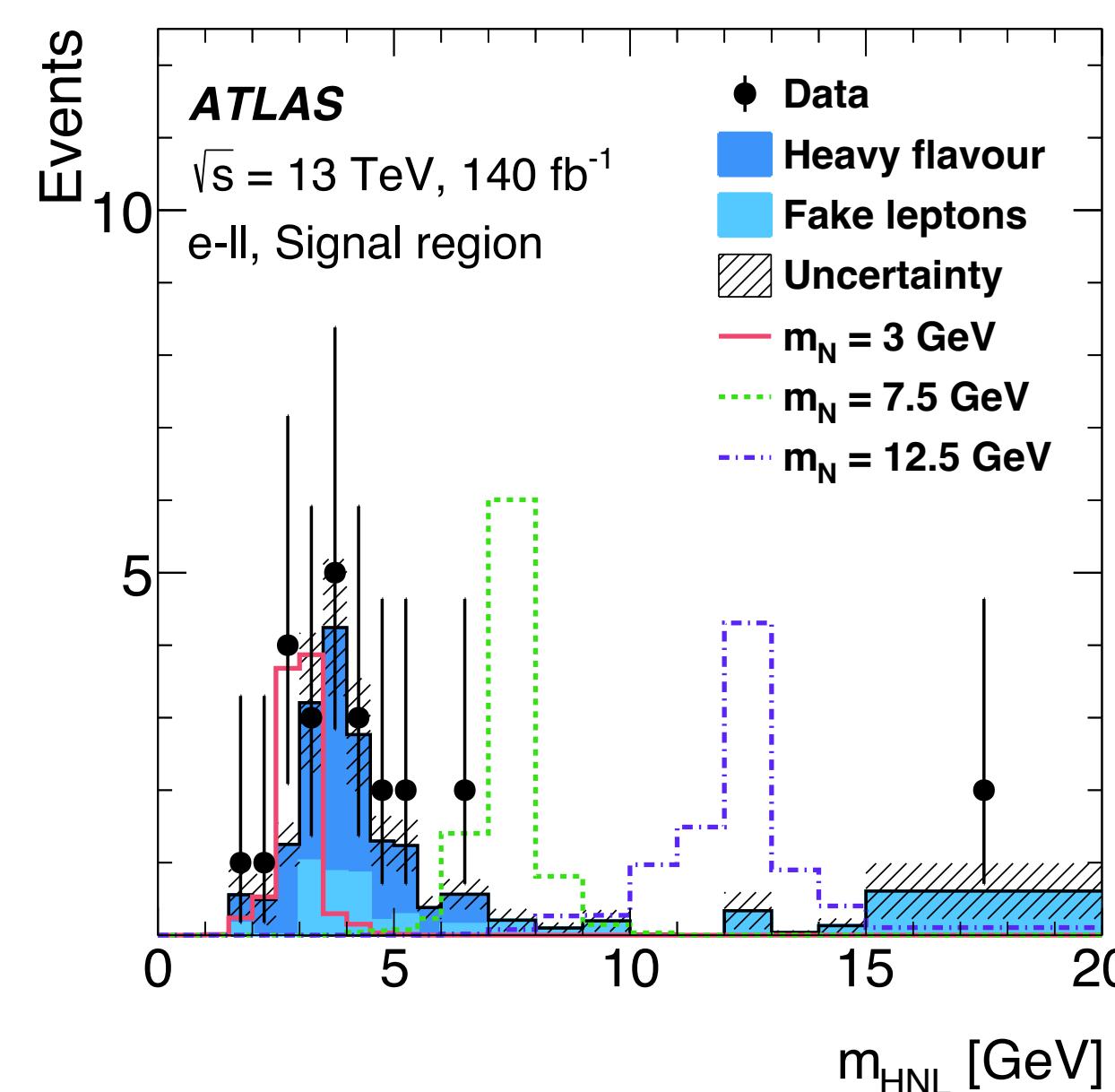
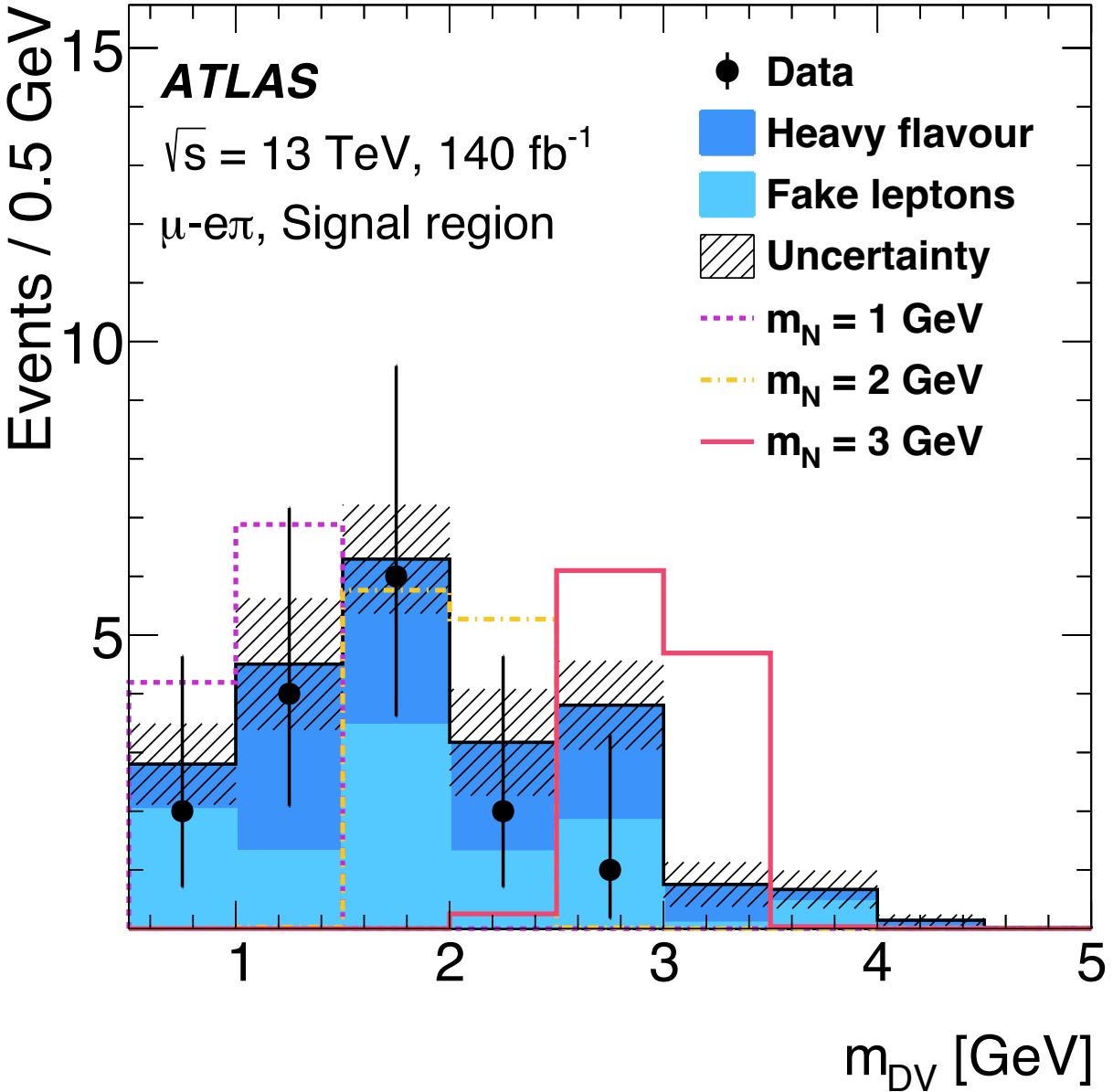
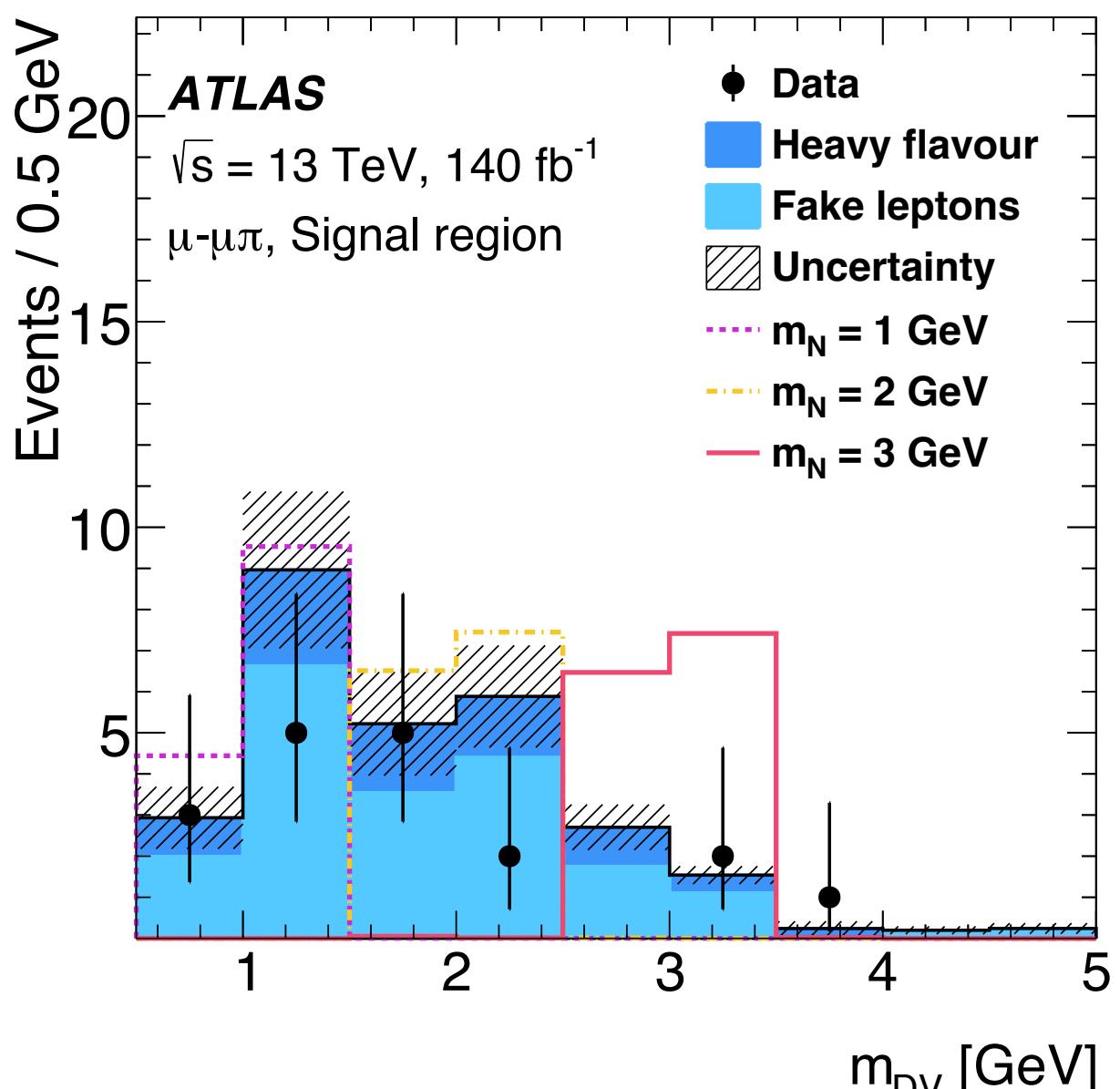
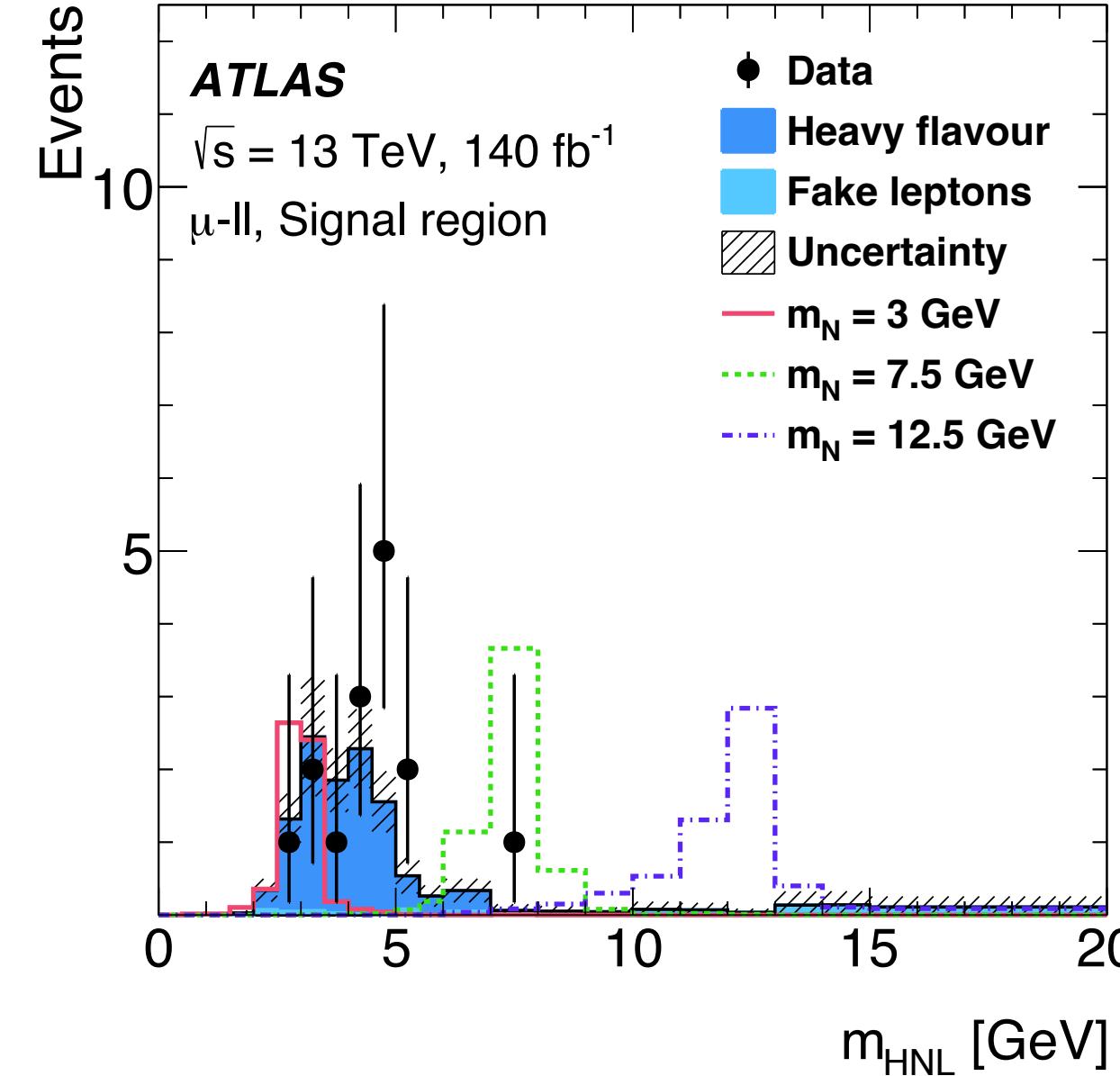
Selection	Leptonic	Semi-leptonic
Trigger	Lowest unprescaled single-lepton triggers, $p_T > 20 - 26 \text{ GeV}$	
Prompt lepton selection	Trigger matched electron/muon, passing Medium ID WP, with $p_T > 27 \text{ GeV}$	
Prompt lepton TTVA	$d_{0,\text{sig}} < 5 (3)$ for electrons (muons), $ z_0 \sin \theta  < 0.5 \text{ mm}$	
Prompt lepton isolation	<code>Loose_VarRad</code> ( <code>PFlowLoose_VarRad</code> ) for electron (muon)	
Displaced vertex	Exactly 2 opposite-sign tracks, with $4 \text{ mm} < r_{\text{DV}} < 300 \text{ mm}$	$20 \text{ mm} < r_{\text{DV}} < 300 \text{ mm}$
Displaced tracks $p_T$	Leading (subleading) track $p_T > 10 (5) \text{ GeV}$	
Displaced-leptons ID WP	$e$ : LLP <code>VeryLoose</code> , $\mu$ : LLP <code>Medium</code>	
DV track $\Leftrightarrow$ lepton match	Only for $e$ , $ \text{track } p_T - \text{lepton } p_T /\text{lepton } p_T < 0.5$	
$\Delta R$ selection	$\Delta R(\text{DV}, \text{jet}) > 0.4$	
Cosmic veto	$\sqrt{(\sum \eta)^2 + (\pi - \Delta\phi)^2} > 0.05$	
Z-boson-mass veto	Same-flavour opposite-sign leptons invariant mass, $m_{\ell\ell}$ not in $[80, 100] \text{ GeV}$	
$K_S^0$ veto	-	$m_{\text{DV}} > 0.6 \text{ GeV}$
$J/\psi$ veto	$ee, \mu\mu$ vertices, $m_{\text{DV}} \notin [3.0, 3.2] \text{ GeV}$	-
Material map veto	Applied in $ee$ channels	Applied in all channels
DV discriminant variable	$\mathcal{S} > 100$ if $m_{\text{DV}} < 5 \text{ GeV}$	

# Displaced HNL - Postfit distributions in HF control regions





# Displaced HNL - Postfit distributions in signal regions



# Displaced HNL - transfer factors and event yields

Transfer factor values					
	$\mu - \ell\ell$	$e - \ell\ell$	$\mu - \mu\pi$	$e - \mu\pi$	$\mu - e\pi$
	$0.009^{+0.09}_{-0.009}$	$0.12 \pm 0.08$	$0.74 \pm 0.13$	$0.75 \pm 0.20$	$0.32 \pm 0.07$
					$0.41 \pm 0.07$

Signal region	$\mu - \ell\ell$	$e - \ell\ell$
Heavy flavour	$10.6 \pm 1.5$	$12.5 \pm 1.7$
Fakes	$1.1 \pm 1.5$	$5.2 \pm 2.8$
Total bkg	$11.7 \pm 2.1$	$17.6 \pm 2.9$
Observed data	15	25

Signal region	$\mu - \mu\pi$	$\mu - e\pi$	$e - \mu\pi$	$e - e\pi$
Heavy flavour	$8.1 \pm 1.3$	$11.7 \pm 1.5$	$6.5 \pm 1.3$	$7.4 \pm 1.1$
Fakes	$20 \pm 4$	$10.5 \pm 2.8$	$15 \pm 4$	$15 \pm 3$
Total bkg	$28 \pm 4$	$22.1 \pm 2.9$	$22 \pm 4$	$22.5 \pm 2.9$
Observed data	18	15	17	16

# Displaced HNL - systematic uncertainties

Systematic	SR $\mu - \ell\ell$	SR $e - \ell\ell$	SR $\mu - \mu\pi$	SR $\mu - e\pi$	SR $e - \mu\pi$	SR $e - e\pi$
Electrons	0.2 %	6 %	–	0.8 %	7 %	6 %
Muons	5 %	2 %	5 %	4 %	1 %	–
Flavour tagging	0.5 %	0.7 %	0.2 %	0.3 %	0.6 %	0.2 %
Pileup reweighting	2 %	2 %	2 %	0.5 %	0.2 %	1 %
Background modelling	12 %	10 %	10 %	9 %	13 %	14 %
SR template building	8 %	8 %	7 %	9 %	15 %	10 %
MC statistics	1.3 %	1.3 %	0.5 %	0.1 %	0.9 %	0.3 %
HF floating normalisation	13 %	13 %	13 %	13 %	13 %	13 %
Total	14 %	14 %	16 %	13 %	20 %	15 %

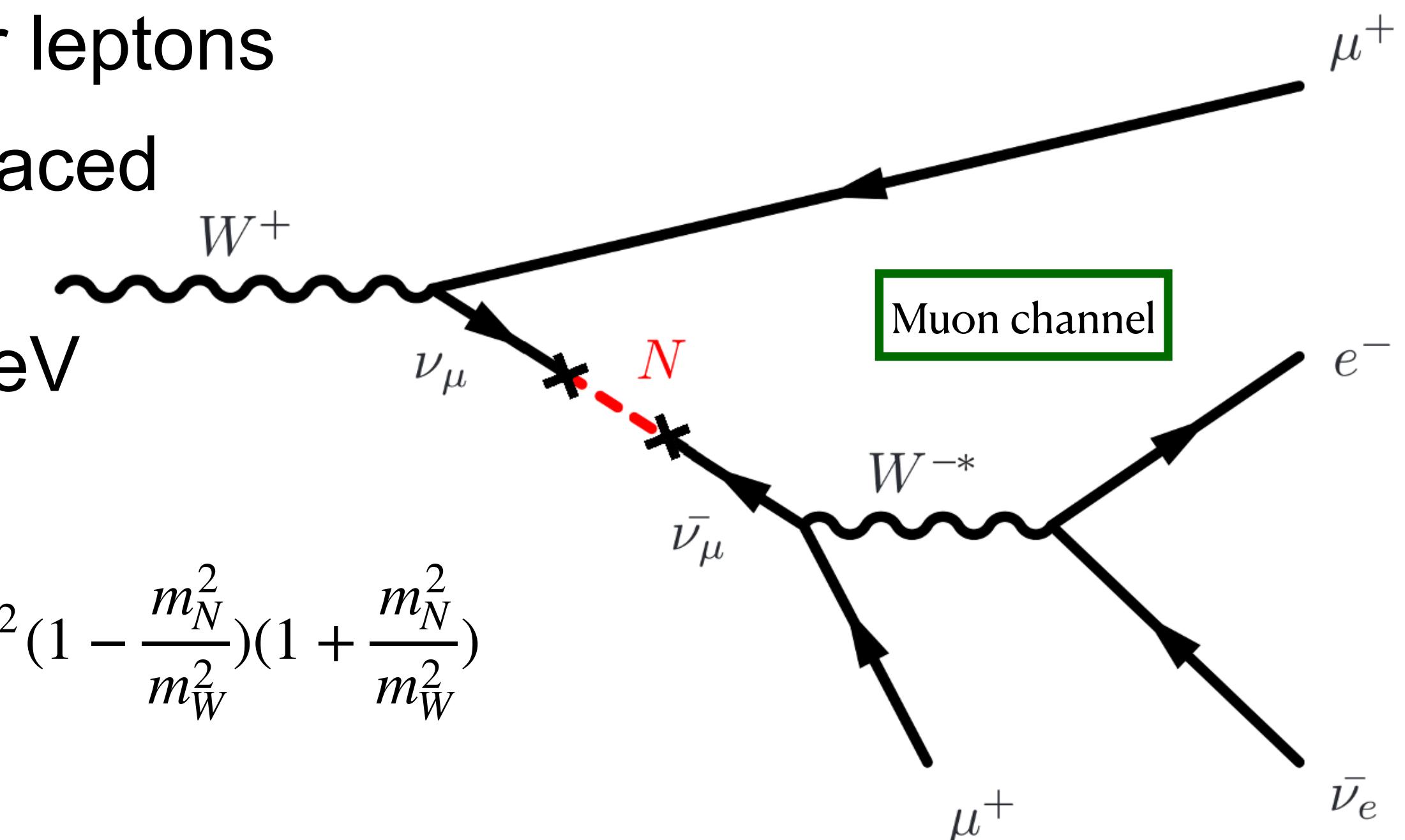
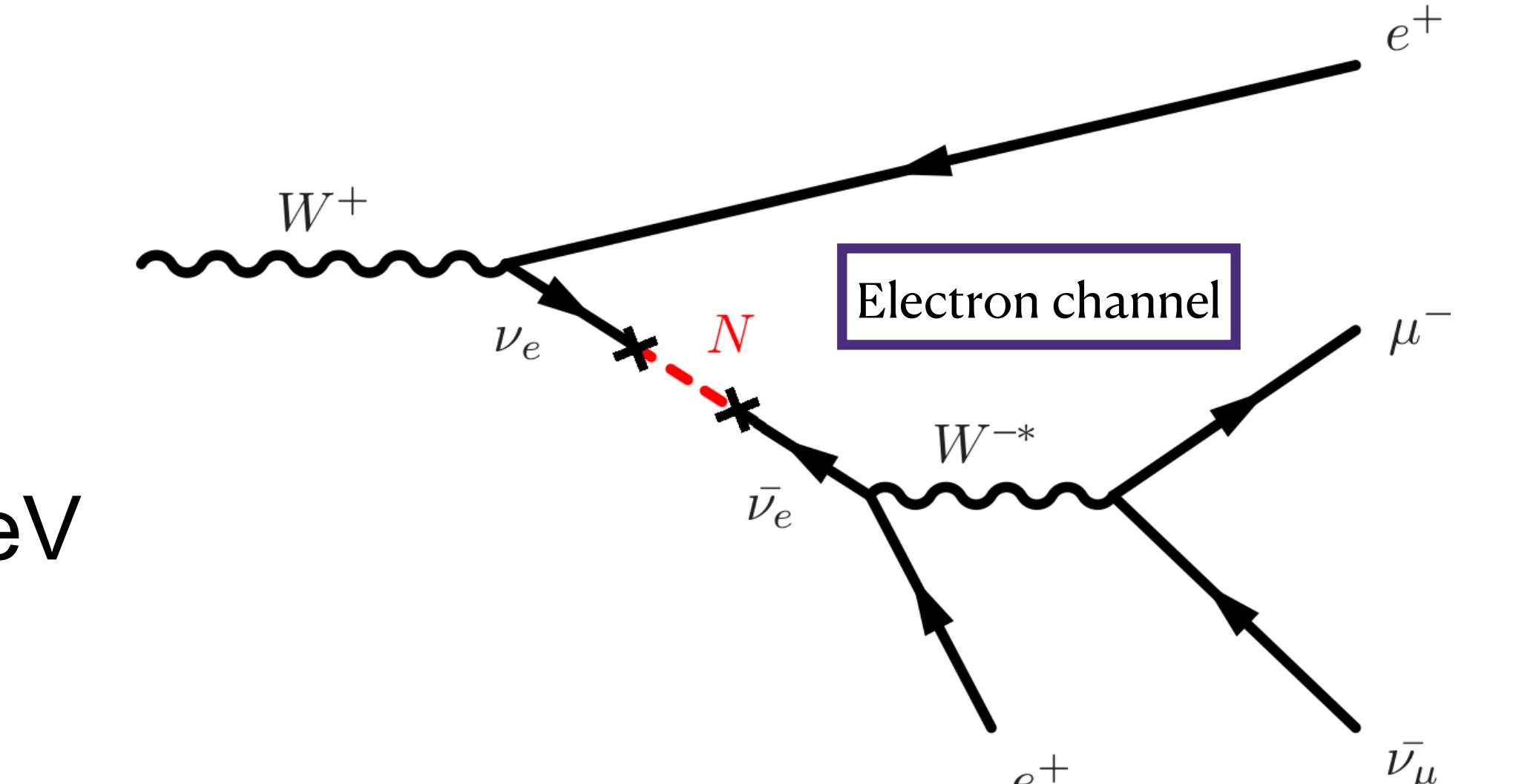
# Displaced HNL - region definitions

	Leptonic channels	Semi-leptonic channels
Signal Region	$40 < m_{\ell\ell\ell} < 90 \text{ GeV}$ 2 isolated displaced leptons b-jet veto	$70 < m_{\ell\ell\pi} < 90 \text{ GeV}$ 1 isolated displaced lepton b-jet veto
Heavy Flavour CR	$40 < m_{\ell\ell\ell} < 90 \text{ GeV}$ $\geq 1$ non-isolated displaced lepton $\geq 1$ b-tagged jet	$40 < m_{\ell\ell\pi} < 90 \text{ GeV}$ $\geq 1$ non-isolated displaced lepton $\geq 1$ b-tagged jet
Sideband CR	low $m_{\ell\ell\ell}$ region: $m_{\ell\ell\ell} < 40 \text{ GeV}$ high $m_{\ell\ell\ell}$ region: $m_{\ell\ell\ell} > 90 \text{ GeV}$	low $m_{\ell\ell\pi}$ region: $m_{\ell\ell\pi} < 70 \text{ GeV}$ high $m_{\ell\ell\pi}$ region: $m_{\ell\ell\pi} > 90 \text{ GeV}$
Relaxed Sideband CR	low $m_{\ell\ell\ell}$ region: $m_{\ell\ell\ell} < 40 \text{ GeV}$ high $m_{\ell\ell\ell}$ region: $m_{\ell\ell\ell} > 90 \text{ GeV}$ no displaced lepton isolation requirement	low $m_{\ell\ell\pi}$ region: $m_{\ell\ell\pi} < 70 \text{ GeV}$ high $m_{\ell\ell\pi}$ region: $m_{\ell\ell\pi} > 90 \text{ GeV}$ no displaced lepton isolation requirement

# Prompt HNL search

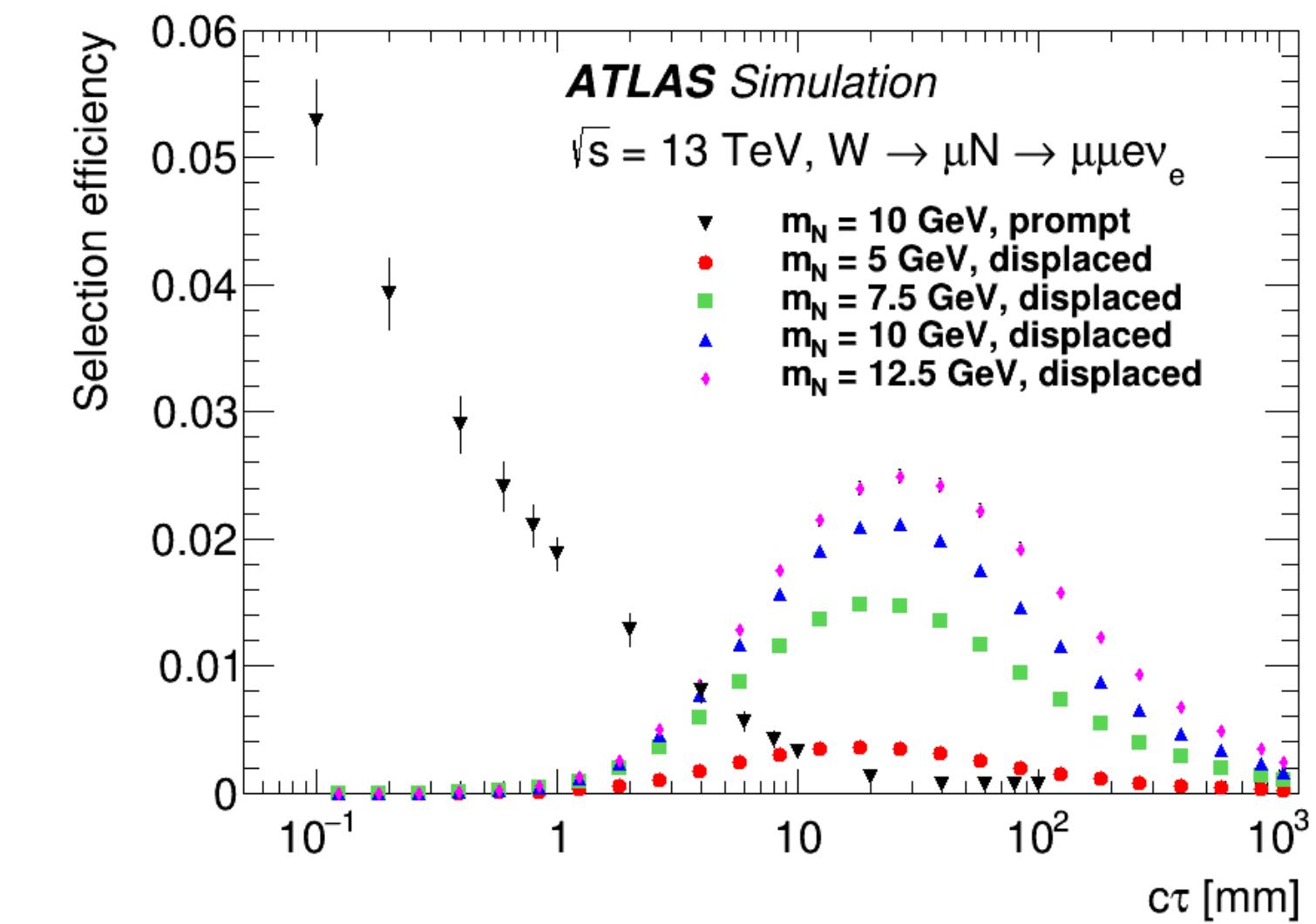
# Prompt HNL decays\*

- Type-I seesaw mechanism - adding HNLs
- Prompt analysis, considers HNL of  $5 < m_N < 50$  GeV
  - LNV only, single flavor mixing only
  - HNL decaying to  $e\bar{e}$ ,  $\mu\bar{\mu}$
  - 3L signature with two same-sign, same-flavor leptons
  - Originally published in combination with displaced search, overall samples generated with
    - $m_N = 4.5, 5, 7.5, 10, 12.5, 15, 20, 30, 50$  GeV
    - $T_N = 0.001, 0.01, 0.1, 1, 10, 100$  mm
    - $\sigma(pp \rightarrow W) \times \mathcal{B}(W \rightarrow \ell N) = \sigma(pp \rightarrow W) \times \mathcal{B}(W \rightarrow \ell\nu) \times |U|^2 (1 - \frac{m_N^2}{m_W^2}) (1 + \frac{m_N^2}{m_W^2})$



# Prompt HNL decays\*

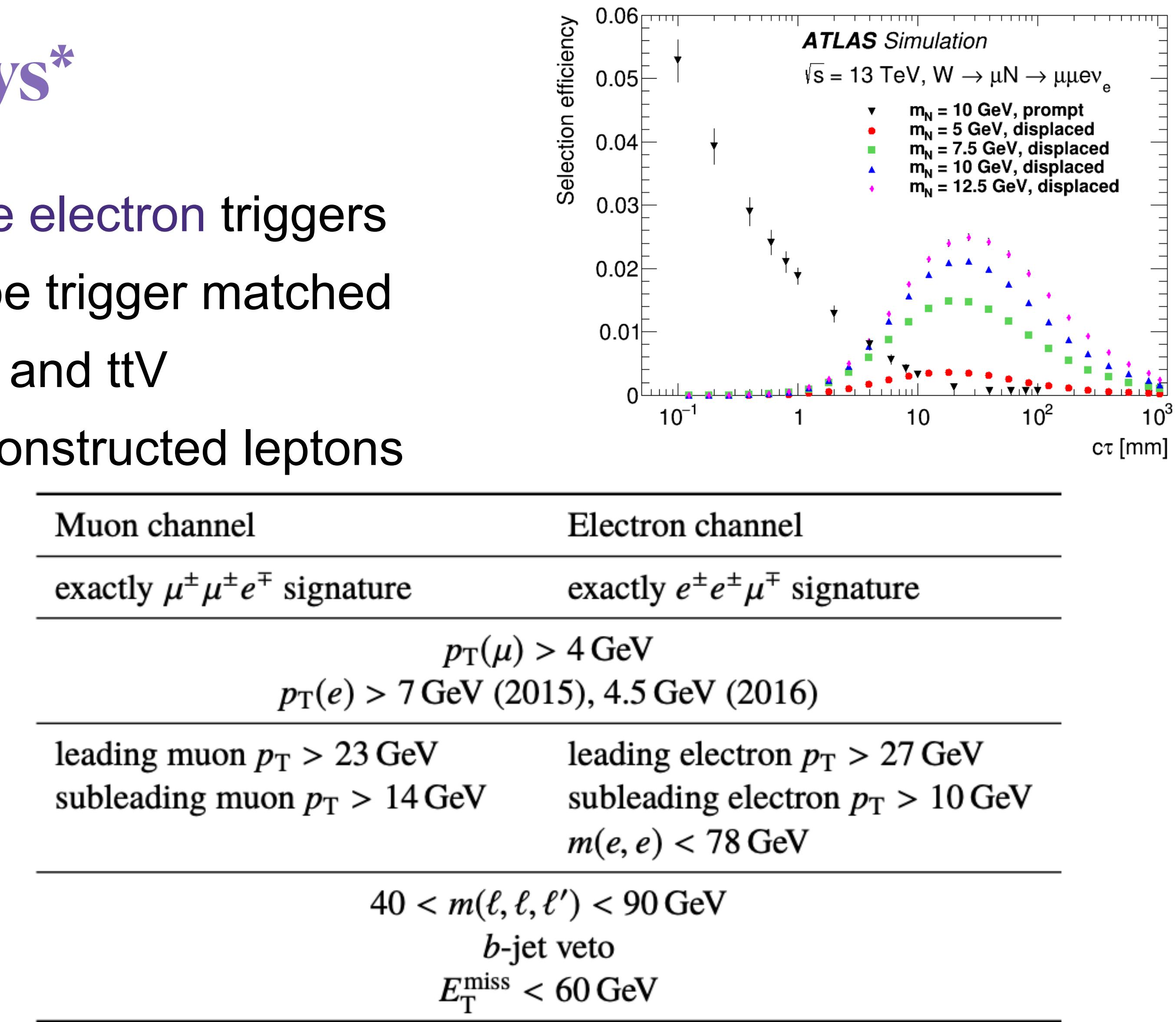
- Events selected with **di-muon** or **single electron triggers**
  - Reconstructed leptons required to be trigger matched
- Main backgrounds - diboson, triboson and ttV
- Also expect backgrounds from misreconstructed leptons
- Fit performed in three control regions and a signal region
- SR is defined by selecting events
  - Same-charge same-flavour leptons
  - $40 < m_{\ell\ell} < 90 \text{ GeV}$
  - A b-jet veto
  - $E_T^{\text{miss}} < 60 \text{ GeV}$
- CRs invert criteria 1-3



Muon channel	Electron channel
exactly $\mu^\pm \mu^\pm e^\mp$ signature	exactly $e^\pm e^\pm \mu^\mp$ signature
$p_T(\mu) > 4 \text{ GeV}$	$p_T(e) > 7 \text{ GeV (2015), } 4.5 \text{ GeV (2016)}$
leading muon $p_T > 23 \text{ GeV}$	leading electron $p_T > 27 \text{ GeV}$
subleading muon $p_T > 14 \text{ GeV}$	subleading electron $p_T > 10 \text{ GeV}$
	$m(e, e) < 78 \text{ GeV}$
$40 < m(\ell, \ell, \ell') < 90 \text{ GeV}$	
<i>b</i> -jet veto	
$E_T^{\text{miss}} < 60 \text{ GeV}$	

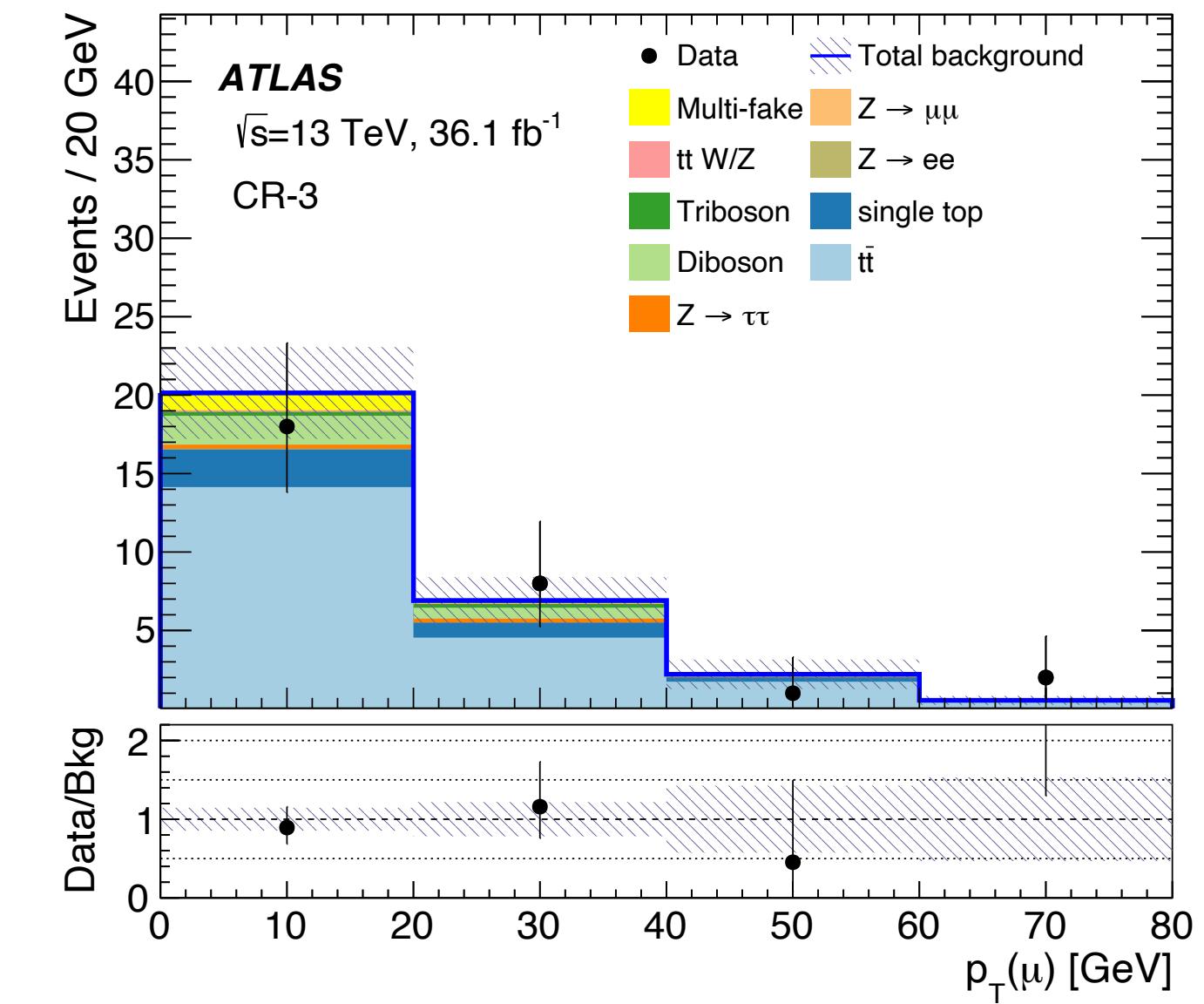
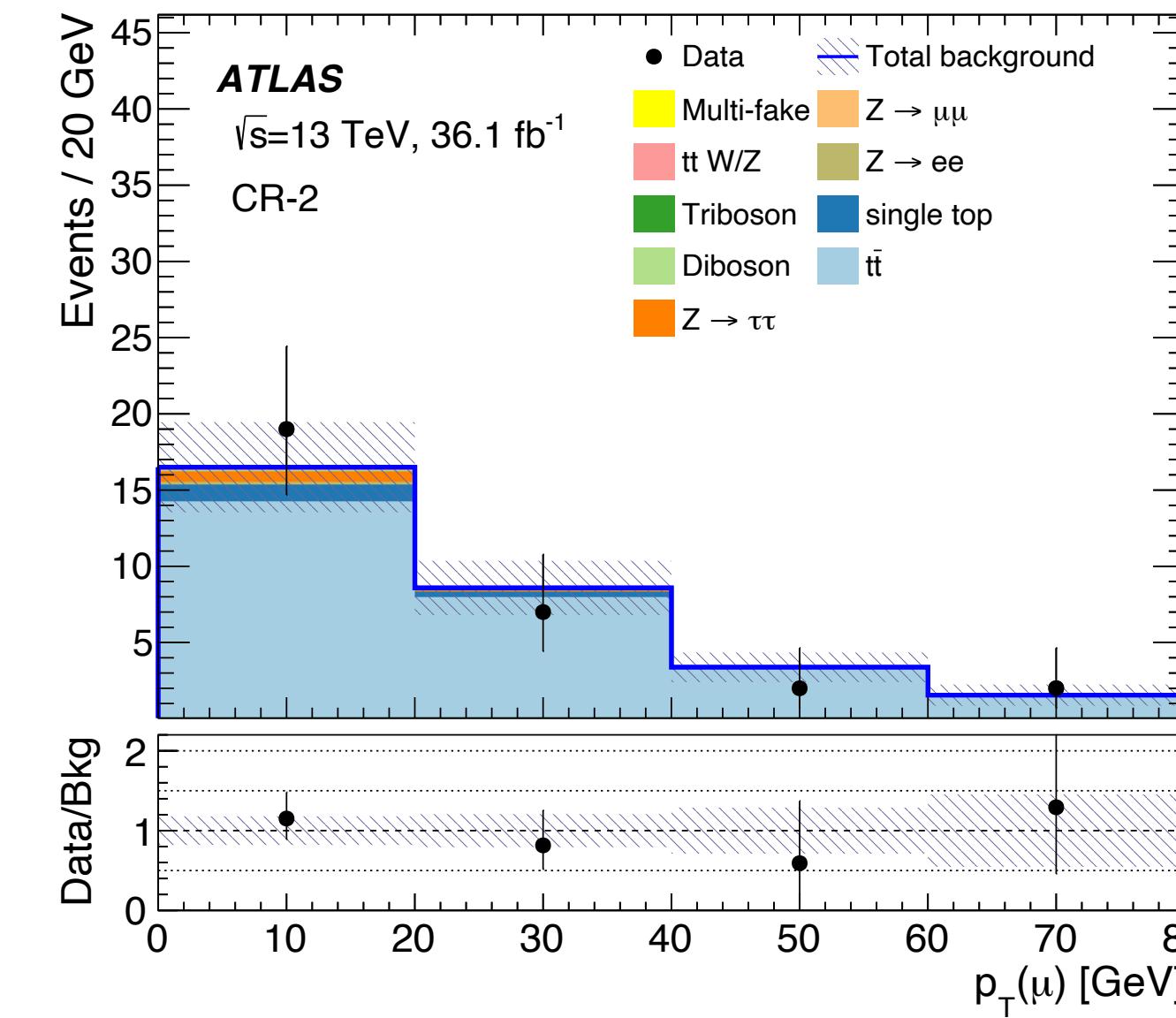
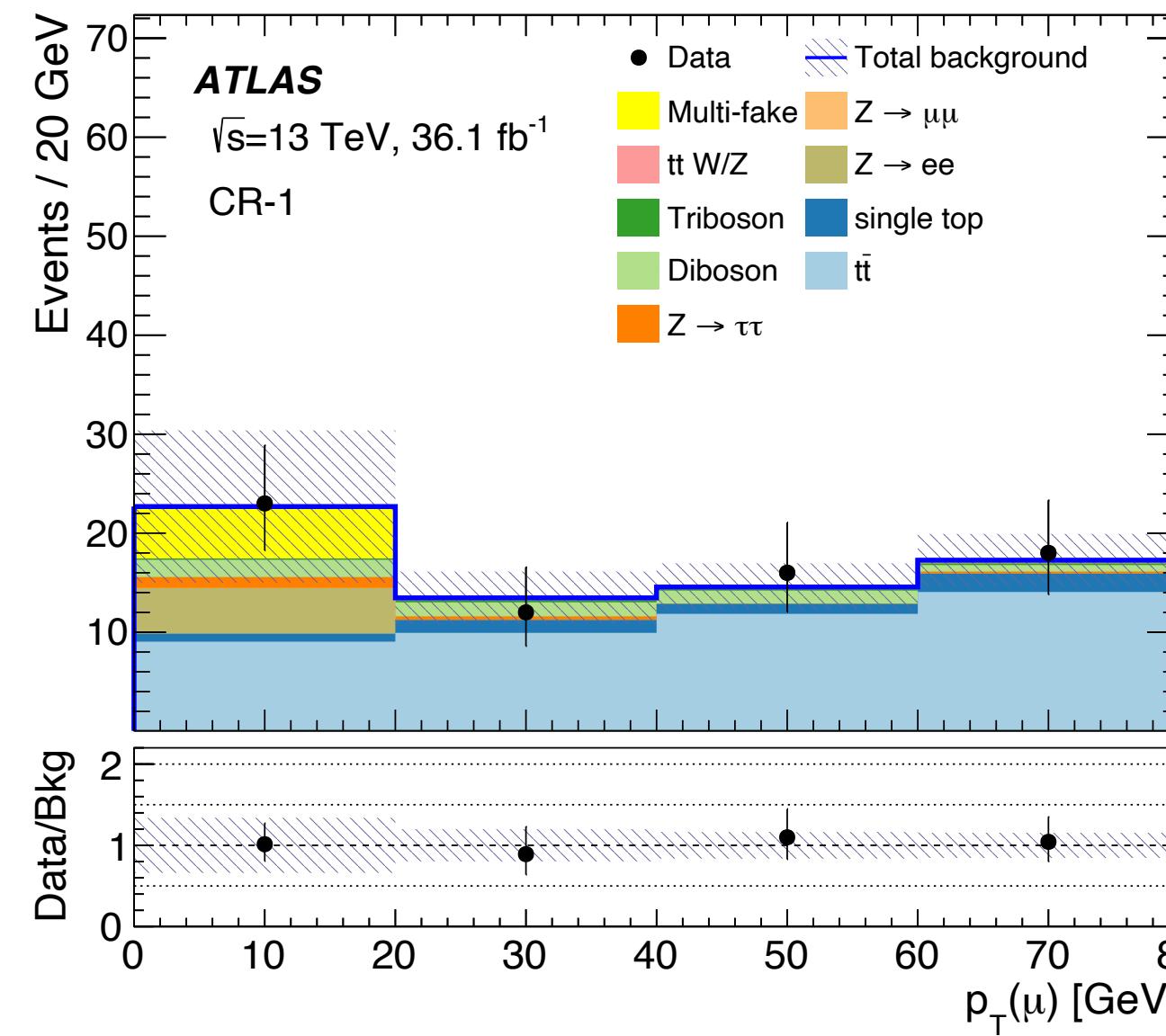
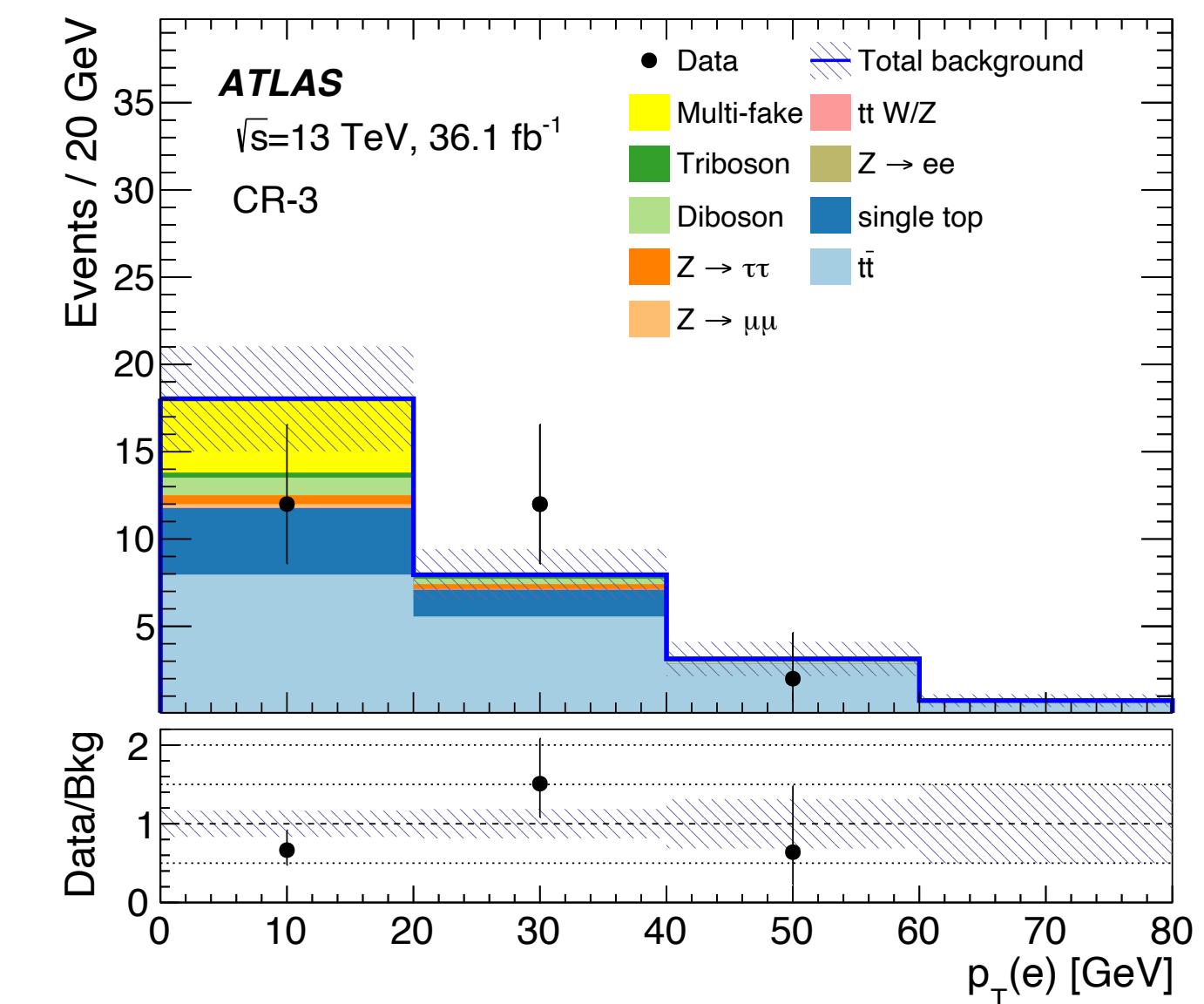
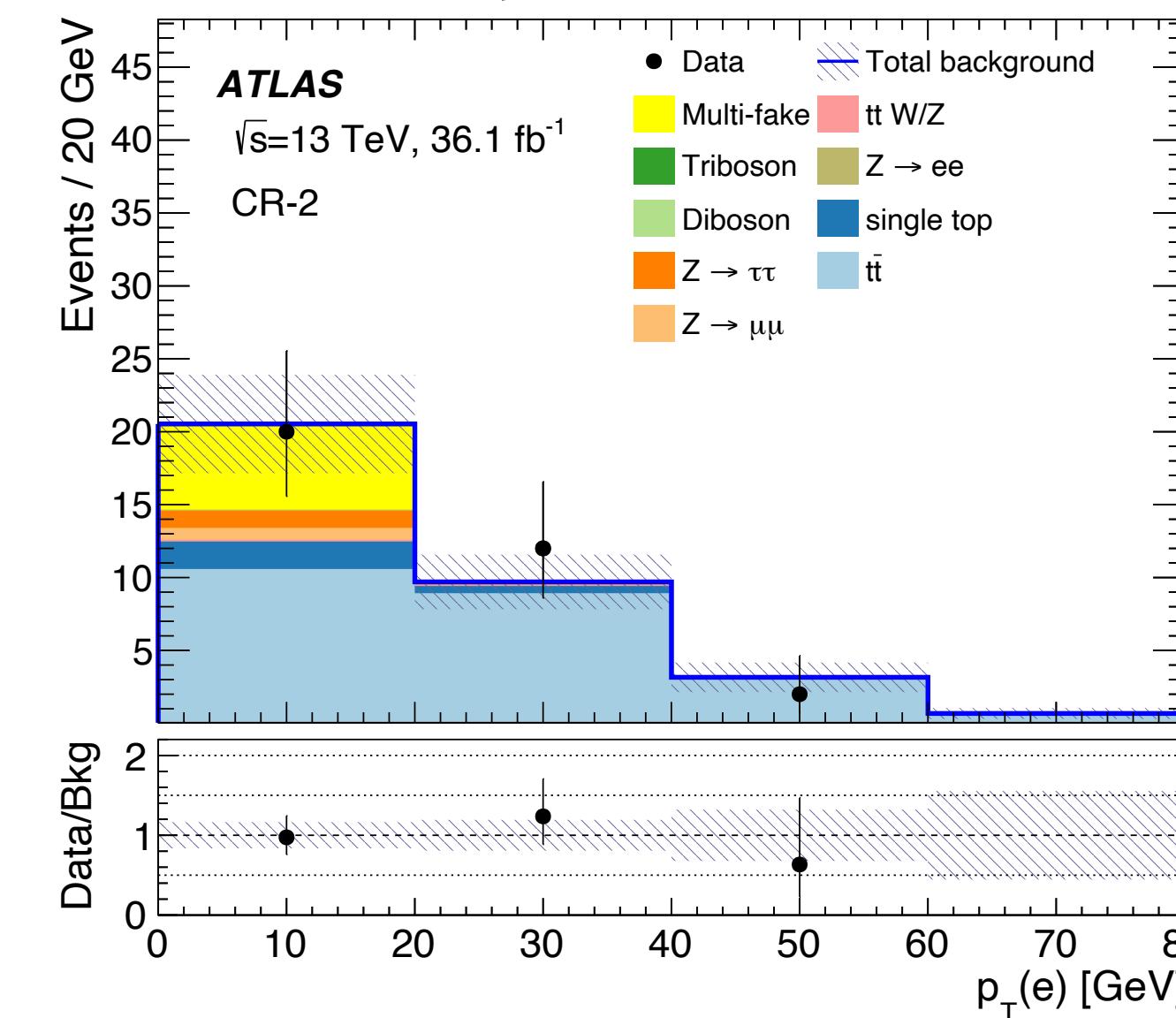
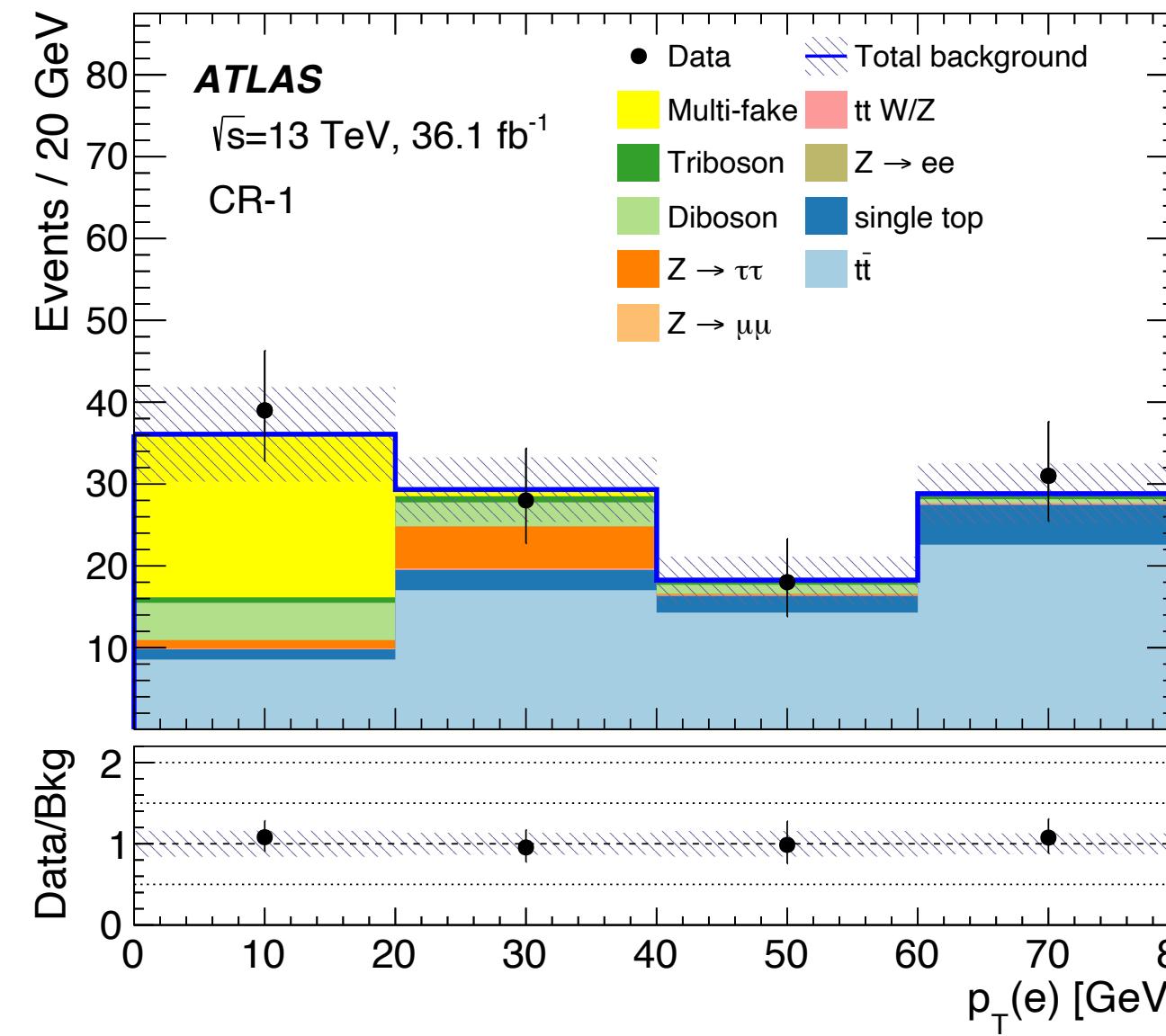
# Prompt HNL decays\*

- Events selected with di-muon or single electron triggers
  - Reconstructed leptons required to be trigger matched
- Main backgrounds - diboson, triboson and ttV
- Also expect backgrounds from misreconstructed leptons
- Fit performed in three control regions and a signal region
- SR is defined by selecting events
  - Same-charge same-flavour leptons
    - $40 < m_{\ell\ell\ell} < 90 \text{ GeV}$
    - A b-jet veto
    - $E_T^{\text{miss}} < 60 \text{ GeV}$
- CRs invert criteria 1-3
- Fit variable is  $p_T$  of third lepton



# Prompt HNL decays\*

\*partial Run 2 result



# Prompt HNL decays\*

- No excess observed - limits set at 95% CL
- Limits for prompt search are straight lines, limits from attached displace search are closed loops
- In mass range 20–30 GeV, regions of  $|U_\mu|^2$  and  $|U_e|^2$  above  $1.4 \times 10^{-5}$  are excluded

